



# The Robustness Problem

Justin Gilmer

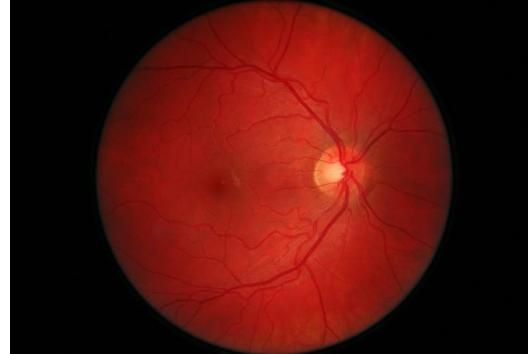
# Table of Contents

1. Overly optimistic IID test sets
2. Robustness, security and adversarial examples
3. Why are models so brittle?

# The Deep Learning Boom



transportation



Medical diagnosis



recommender systems

Google



Robotics

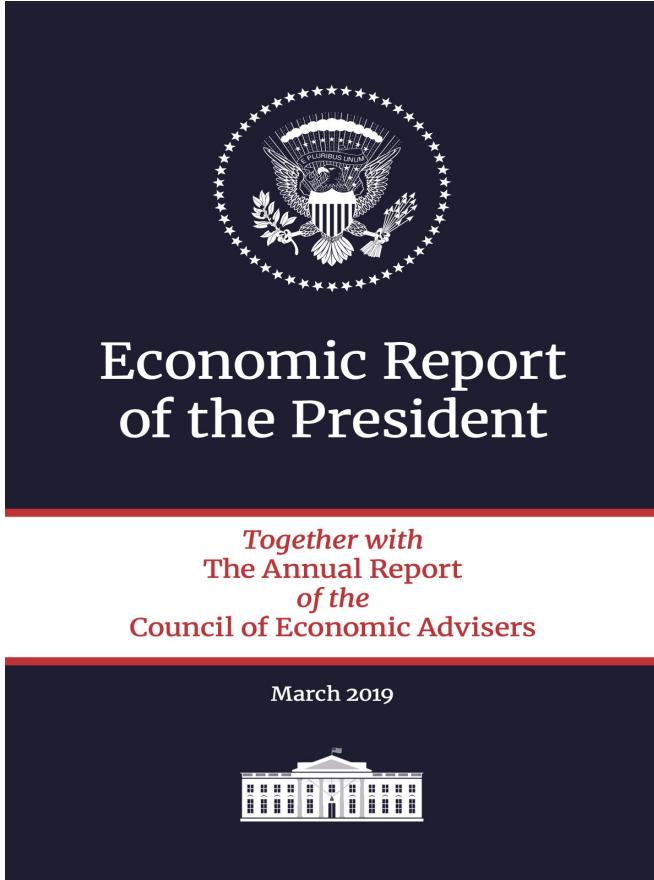
# Hype!

Artificial intelligence rivals radiologists in screening X-rays for certain diseases

**Man against machine: AI is better than dermatologists at diagnosing skin cancer**

Google's lung cancer detection AI outperforms 6 human radiologists

# More Hype!



**Figure 7-1. Error Rate of Image Classification by Artificial Intelligence and Humans, 2010–17**

Error rate (percent)

30

2017

25

20

15

10

5

0

2011 2012 2013 2014 2015 2016 2017

AI

Human

Sources: Russakovsky et al. (2015); CEA calculations.

# The Biggest Lie in Machine Learning

$$P(\text{train}) = P(\text{test})$$

Independent Identically Distributed (IID)

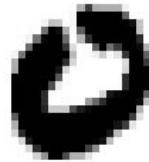
- MNIST
- CIFAR-10
- Imagenet
- SVHN
- Fashion MNIST
- COCO
- ...

# Reality Check

- IID test sets grossly overestimate performance in the real world.
- Models are not robust to even slight changes in distribution.

**In distribution - 99% Accuracy**

Prediction: 0



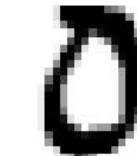
Prediction: 7



Prediction: 4



Prediction: 0



Prediction: 1

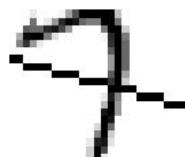


**Out of distribution - 63% Accuracy**

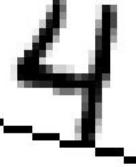
Prediction: 2



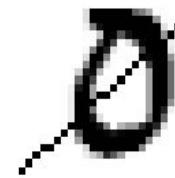
Prediction: 9



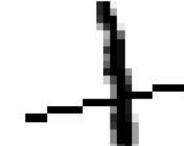
Prediction: 9



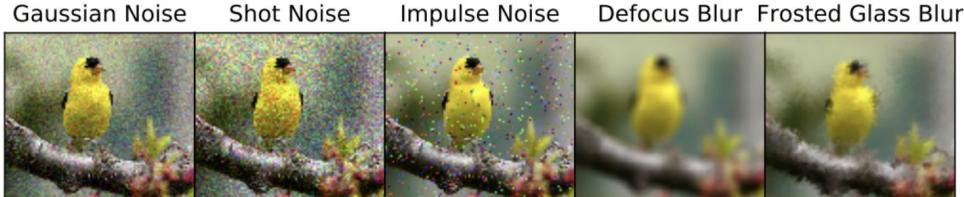
Prediction: 8



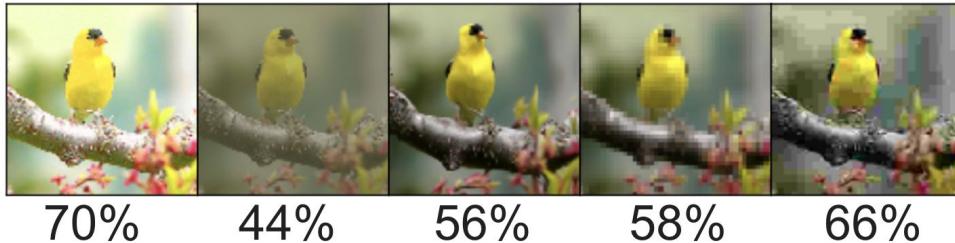
Prediction: 4



# The Real World is Not IID



45%      43%      42%      50%      42%  
Motion Blur      Zoom Blur      Snow      Frost      Fog



Resnet-50  
76% Top-1 Accuracy (IID)

# Distribution Shift is a Real Problem!



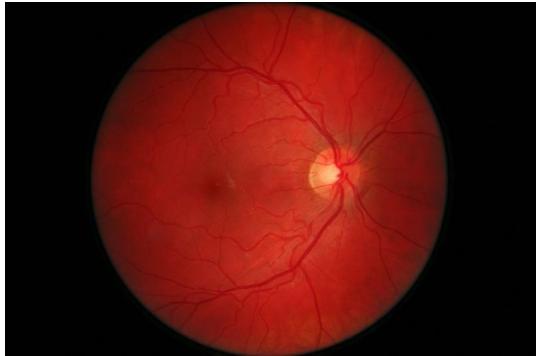
(A) **Cow: 0.99**, Pasture: 0.99, Grass: 0.99, No Person: 0.98, Mammal: 0.98

(B) No Person: 0.99, Water: 0.98, Beach: 0.97, Outdoors: 0.97, Seashore: 0.97

(C) No Person: 0.97, **Mammal: 0.96**, Water: 0.94, Beach: 0.94, Two: 0.94

# Medical Imaging on a Cell Phone Camera?

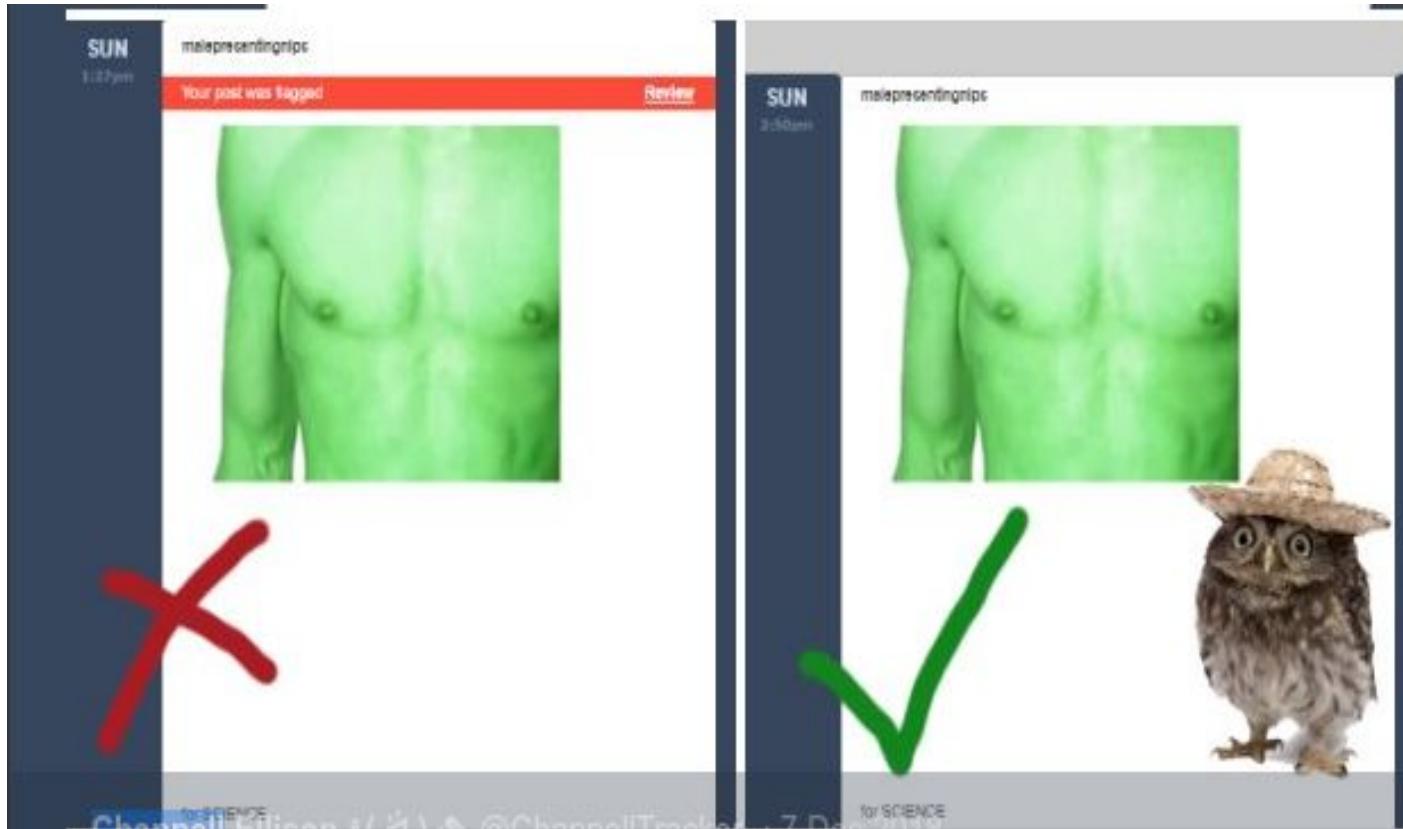
Train on high quality images  
taken in controlled settings.



Deploy on camera phones



# Adversaries Can Exploit this Lack of Robustness



# Robustness Benchmarks

- Image corruptions
  - Imagenet-C: [Hendrycks et. al.] <https://arxiv.org/abs/1807.01697>
  - MNIST-C: [Mu, Gilmer] <https://arxiv.org/abs/1906.02337>
- Natural distribution shifts
  - Imagenet-A [Hendrycks et. al.] <https://arxiv.org/abs/1907.07174>
  - ImagenetV2 [Recht et. al.] <https://arxiv.org/abs/1902.10811>
  - Imagenet-Vid-Robust [Shankar et. al] <https://arxiv.org/pdf/1906.02168.pdf>.
  - Video Robustness [Gu et. al.] <https://arxiv.org/pdf/1904.10076.pdf>

For ML to work well, we need to drop the iid assumption.

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1. Overly optimistic IID test sets
2. **Robustness, security and adversarial examples**
3. Why are models so brittle?

# Adversarial Examples

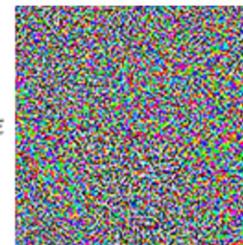
Security

VS

“Surprising” Phenomenon



“panda”  
57.7% confidence



$+\epsilon$



“gibbon”  
99.3% confidence

Goodfellow et. al. <https://arxiv.org/abs/1412.6572>

# Adversarial Examples - Security



Biggio et. al: <https://arxiv.org/abs/1712.03141>

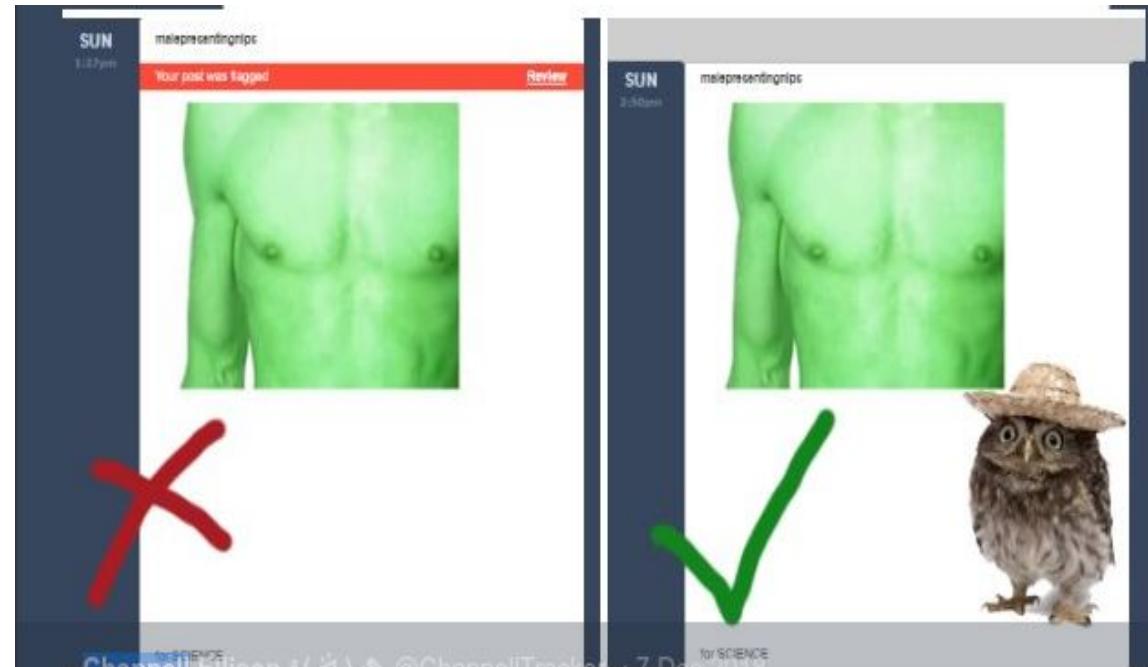
# Adversarial Examples - Security



<https://qz.com/721615/smart-pirates-are-fooling-youtubes-copyright-bots-by-hiding-movies-in-360-degree-videos/>

# Adversarial Examples - Security

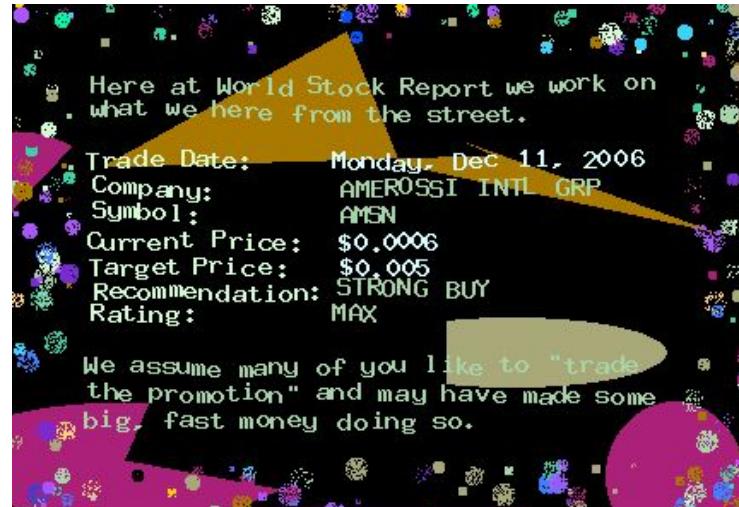
**"State of the art", zero knowledge, limited query, black box attack.**  
[Tumblr Quality Assurance, 2018]



<https://piunikaweb.com/2018/12/08/owl-pics-heres-how-tumblr-censor-bots-are-being-fooled/>

# Questions for Designing a Secure ML System

- How do adversaries typically break systems?
- How would you measure test error?
- Are you secure if test error  $> 0$ ?
- How do we deal with out-of-distribution generalization?

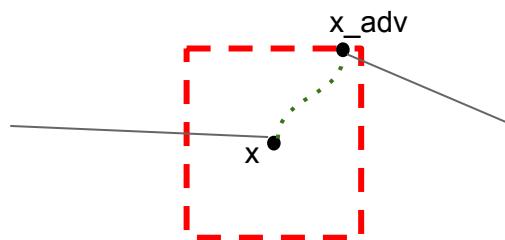


# Adversarial Examples - The "Surprising" Phenomenon

- In 2013 it was discovered that neural networks have “adversarial examples”.
- 2000+ papers written on this topic.



“panda”  
57.7% confidence

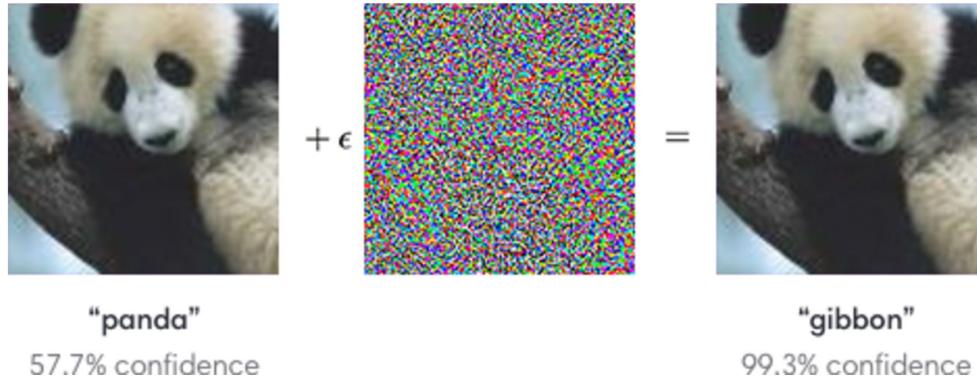


“gibbon”  
99.3% confidence

$$x_{adv} = \max_{x': ||x - x'||_\infty < \epsilon} L(\theta, x', \hat{y})$$

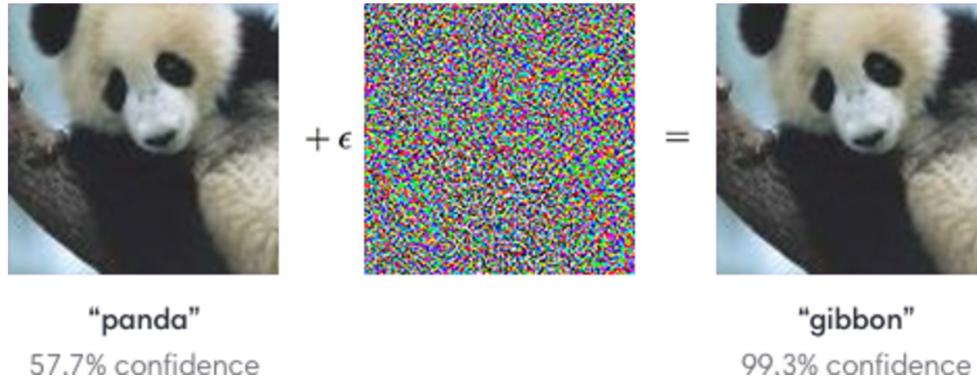
# Adversarial Examples - The Phenomenon

**Why** do our models have adversarial examples?



# Adversarial Examples - The Phenomenon

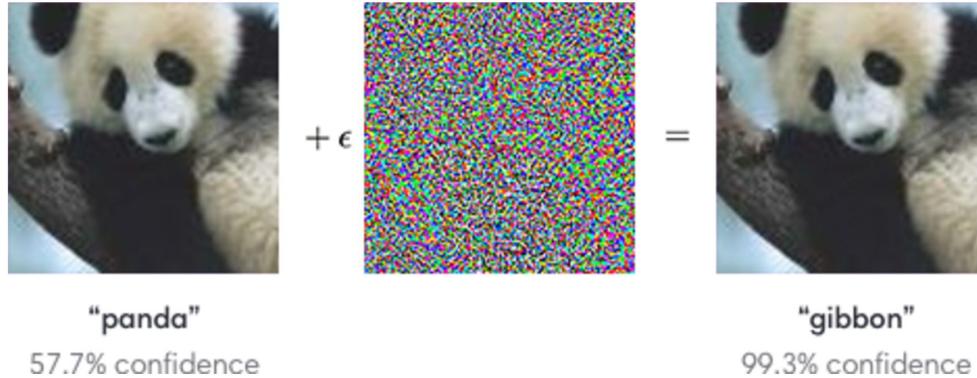
**Why** do our models have adversarial examples?    **A:** ???



# Adversarial Examples - The Phenomenon

**Why** do our models have adversarial examples?    **A:** ???

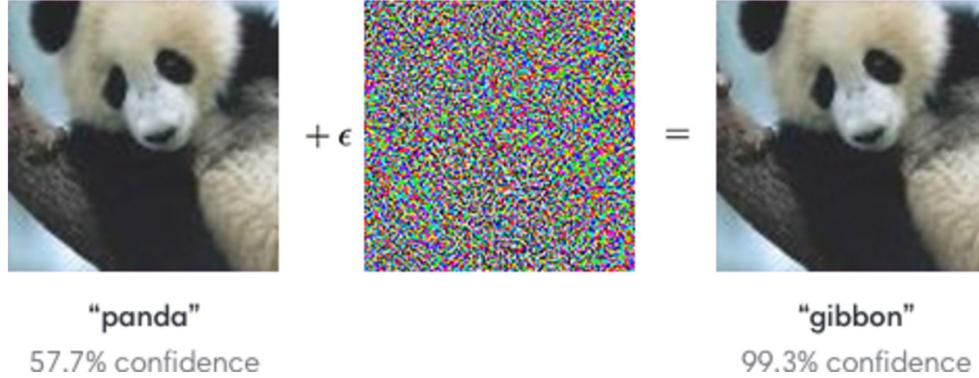
**What** are adversarial examples?



# Adversarial Examples - The Phenomenon

## Why do our models have adversarial examples? A: ???

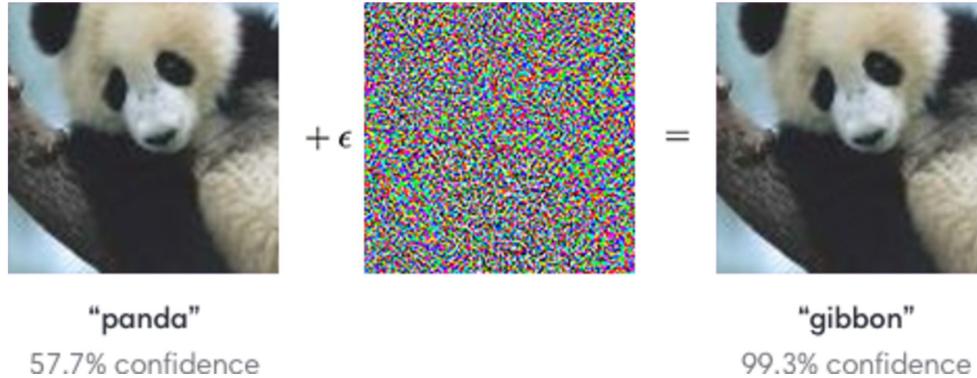
**What** are adversarial examples? **A:** The nearest error



# Adversarial Examples - The Phenomenon

## Why do our models have ~~adversarial~~ examples? A: ???

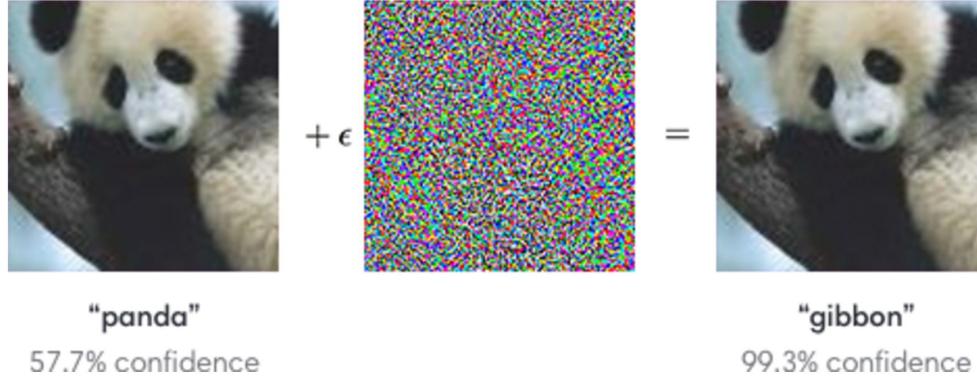
**What** are adversarial examples? **A:** The nearest error



# Adversarial Examples - The Phenomenon

**Why** do our models have (o.o.d) **test error?** A: ???

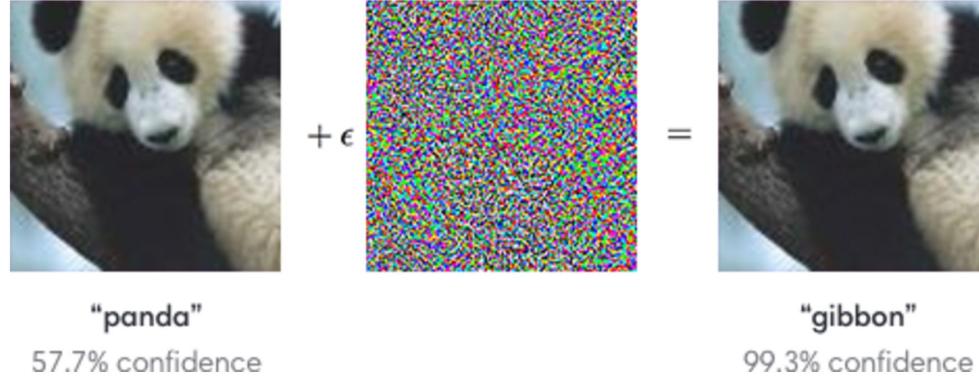
**What** are adversarial examples? A: The nearest error



# Adversarial Examples - The Phenomenon

**Why** do our models have (o.o.d) **test error?** A: ???

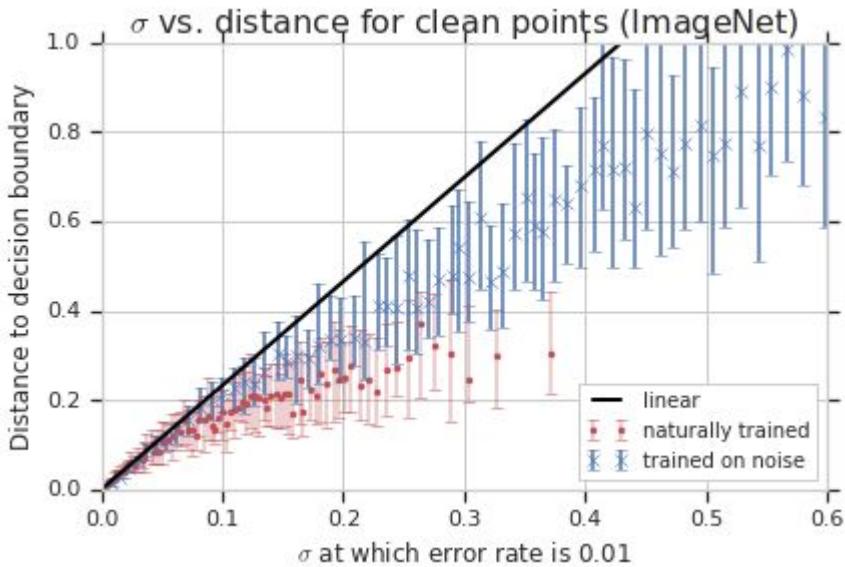
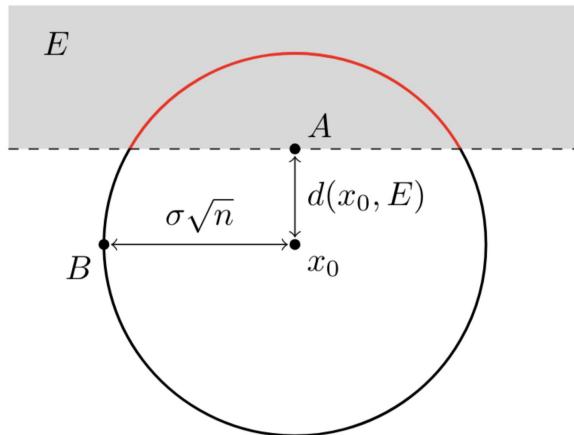
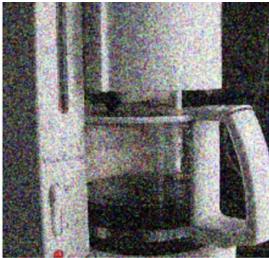
**What** are adversarial examples? A: The nearest error



Test error  $> 0$  (iid, ood)  $\rightarrow$  errors exist  $\rightarrow$  there is a nearest error

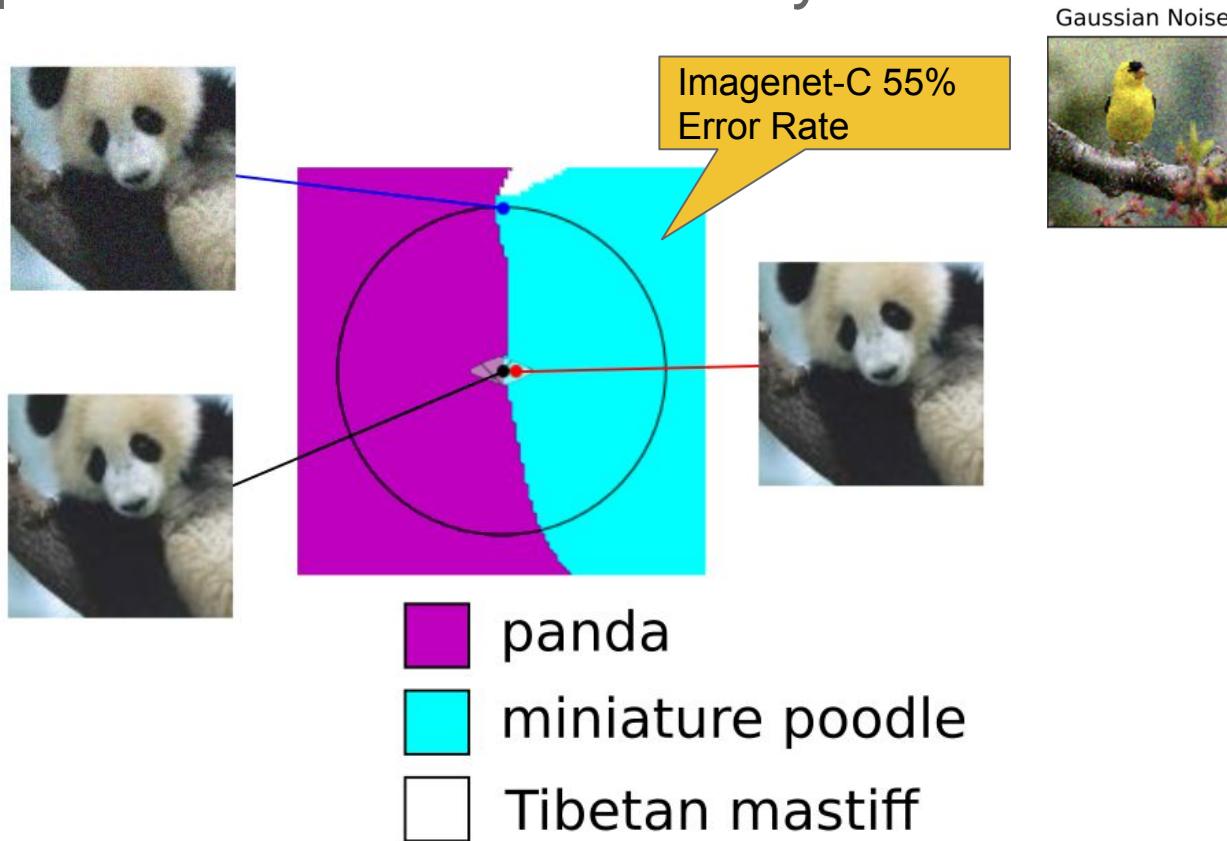
# Linear Assumption

1% error rate on random perturbations of norm 79 => adv ex at norm .5



See also Fawzi et. al.

# InceptionV3 Decision Boundary



# Adversarial Defenses

---

$L_\infty$ -metric ( $\epsilon = 0.3$ )			
Transfer Attacks	0.08 / 0%	0.44 / 85%	
FGSM	0.10 / 4%	0.43 / 77%	
FGSM w/ GE	0.10 / 21%	0.42 / 71%	
$L_\infty$ DeepFool	0.08 / 0%	0.38 / 74%	
$L_\infty$ DeepFool w/ GE	0.09 / 0%	0.37 / 67%	
BIM	0.08 / 0%	0.36 / 70%	
BIM w/ GE	0.08 / 37%	$\infty$ / 70%	
MIM	0.08 / 0%	0.37 / 71%	
MIM w/ GE	0.09 / 36%	$\infty$ / 69%	
<b>All <math>L_\infty</math> Attacks</b>	0.08 / 0%	0.34 / 64%	

# Adversarial Defenses

Why are we trying to  
"defend" against the  
nearest error?

---

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# Adversarial Defenses

**Why are we trying to  
"defend" against the  
nearest error?**

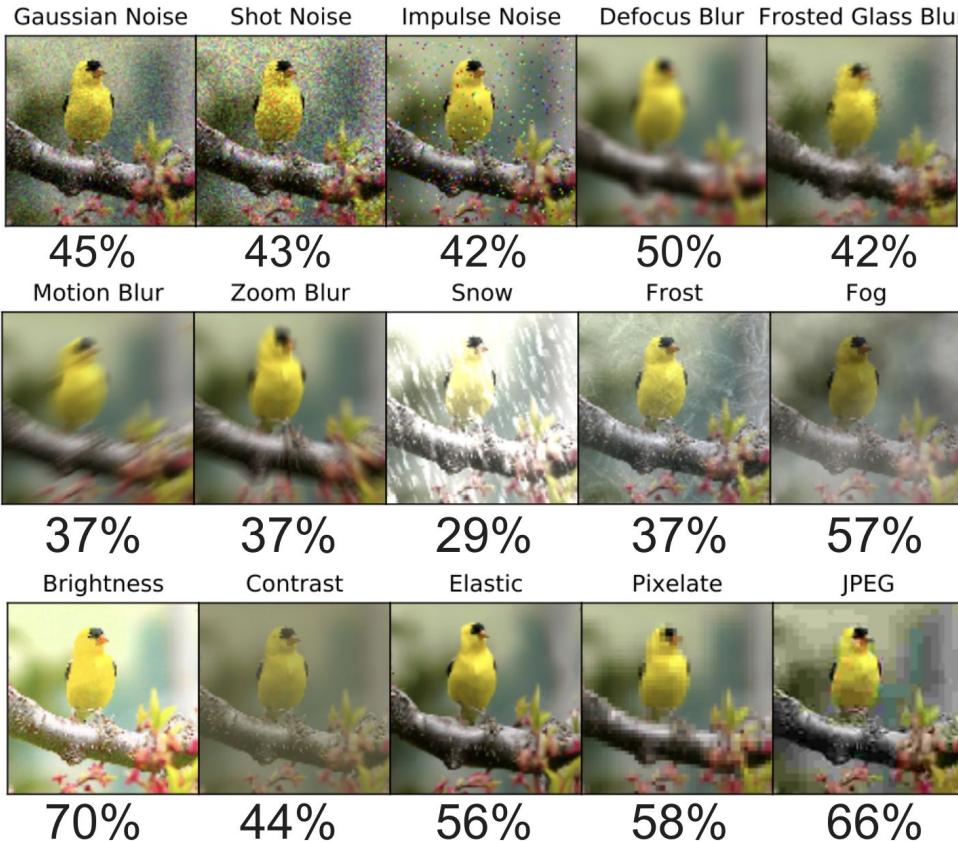
**Not a useful measure of  
robustness**

---

$L_\infty$ -metric ( $\epsilon = 0.3$ )

Transfer Attacks	0.08 / 0%	0.44 / 85%
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# Takeaways



- We should not be surprised that there is a nearest error.
- **The problem to study is robustness to distribution shift.**

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# A Fourier Perspective on Model Robustness in Computer Vision



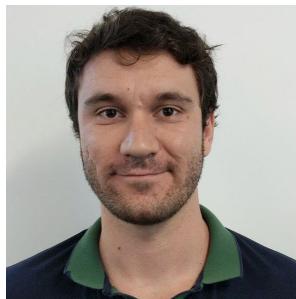
Dong Yin



Raphael Lopez



Jon Shlens



Dogus Cubuk



Justin Gilmer

# Common Corruption Benchmark

Gaussian Noise   Shot Noise   Impulse Noise   Defocus Blur   Frosted Glass Blur



45%  
Motion Blur   43%  
Zoom Blur   42%  
Snow

50%  
Frost   42%  
Fog



37%  
Brightness   37%  
Contrast   29%  
Elastic

37%  
Pixelate   57%  
JPEG



70%   44%   56%   58%   66%

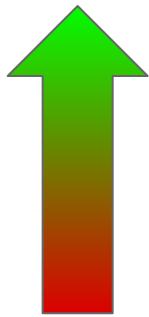
# A Motivating Experiment

Adversarial training helps some measures of robustness, but hurts others. Why?

Gaussian Noise



70% Acc



45% Acc

Also helps...

Frosted Glass Blur



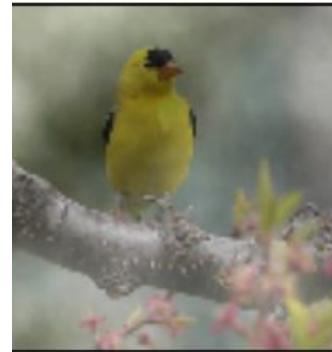
JPEG



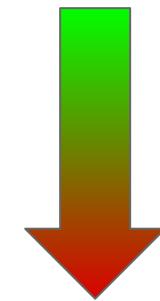
Shot Noise



Fog



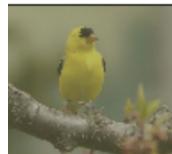
77% Acc



44% Acc

Also hurts...

Contrast



Brightness



# Spurious Correlations

## **Hypothesis:**

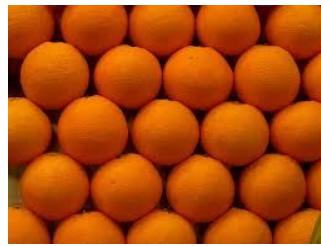
Models lack robustness because they latch onto spurious correlations in the data.

Which correlations they latch onto determines their robustness properties.

Apples

VS

Oranges



Train  
ResnetV5000

Eval on IID  
Test Set

100% Accuracy

# Cheating Models/Spurious Correlations



Apple

Is there more red pixels than orange in the photo?



Totally an Orange!

Yes

No



Orange

# Spurious Correlations - MNIST

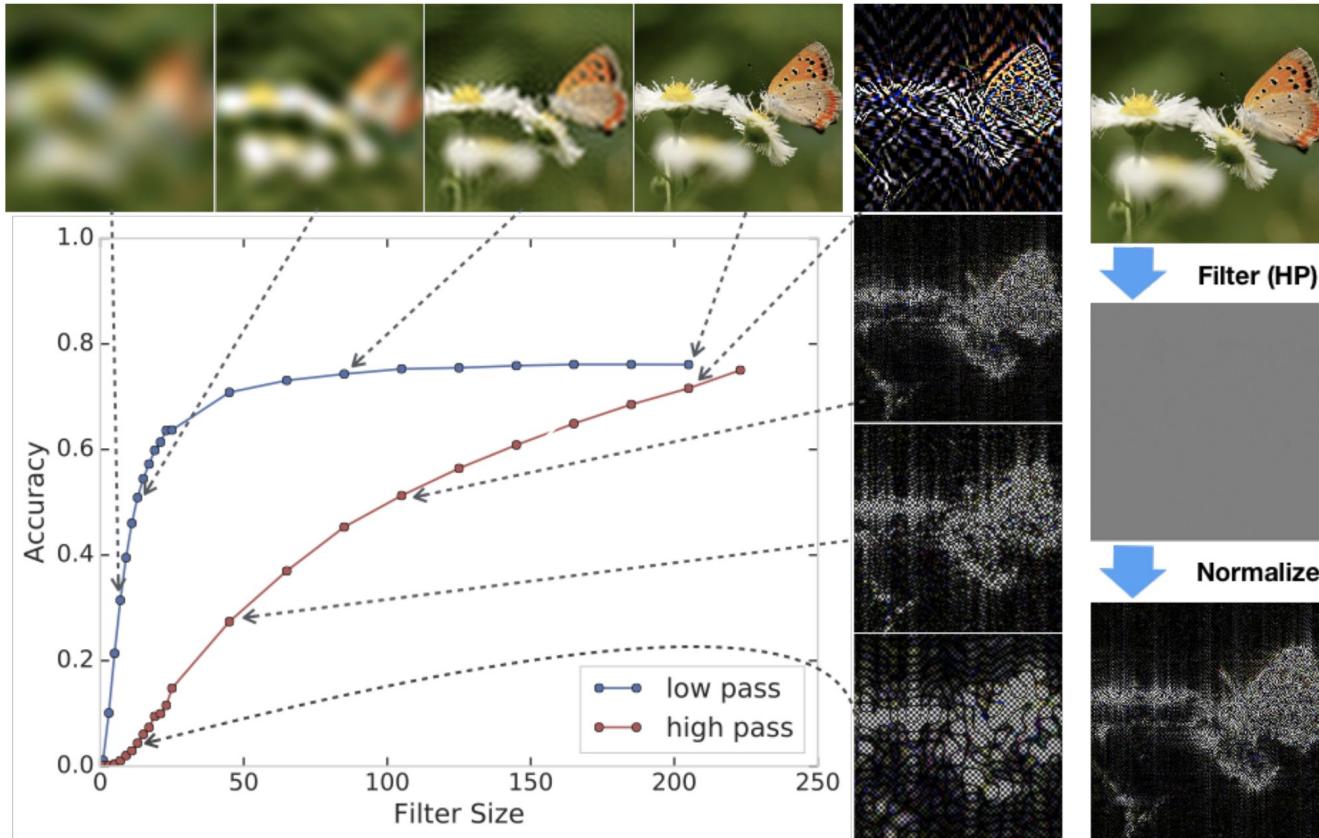
Train



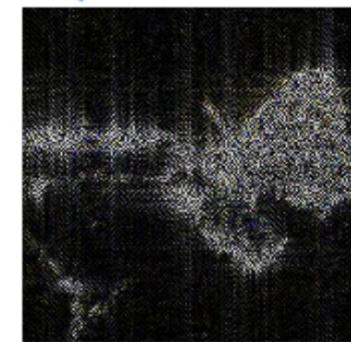
Test



# Some spurious correlations may be unintuitive



# Main Hypothesis: Model Bias Determines Robustness



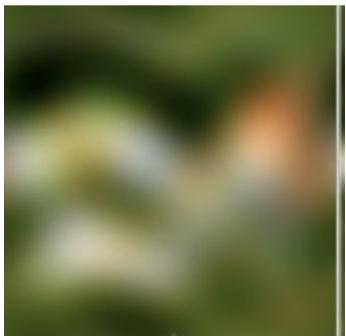
Fog



Gaussian Noise

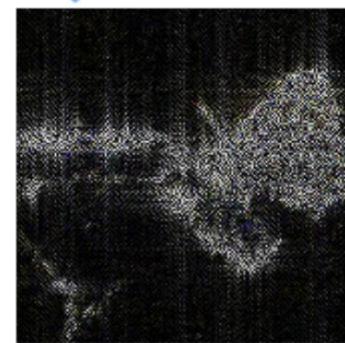


# Data Augmentation Shifts Model Bias

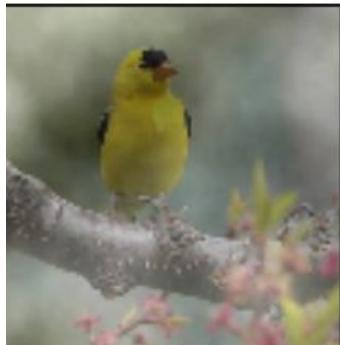


Low freq bias

High freq bias



Fog



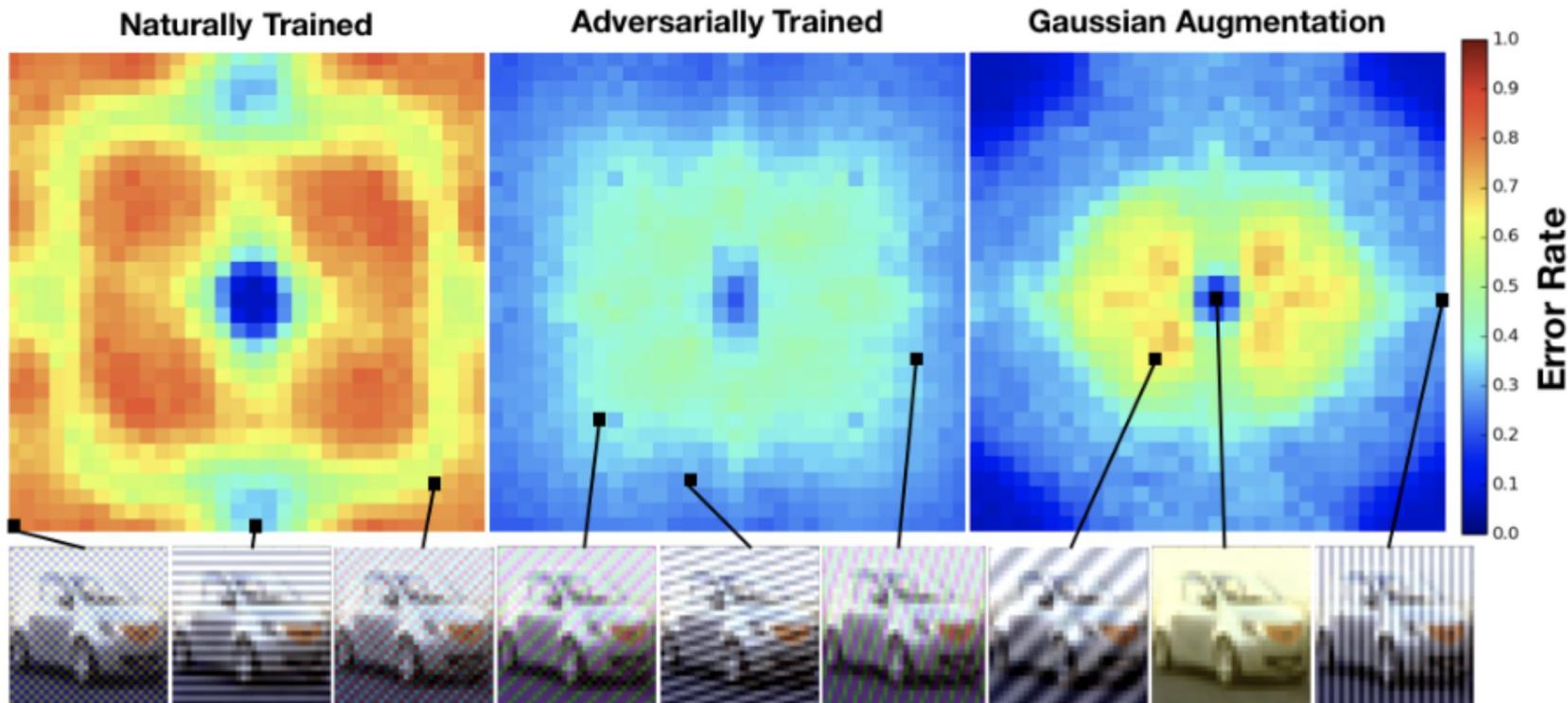
Poor Low freq robustness

Poor High freq robustness

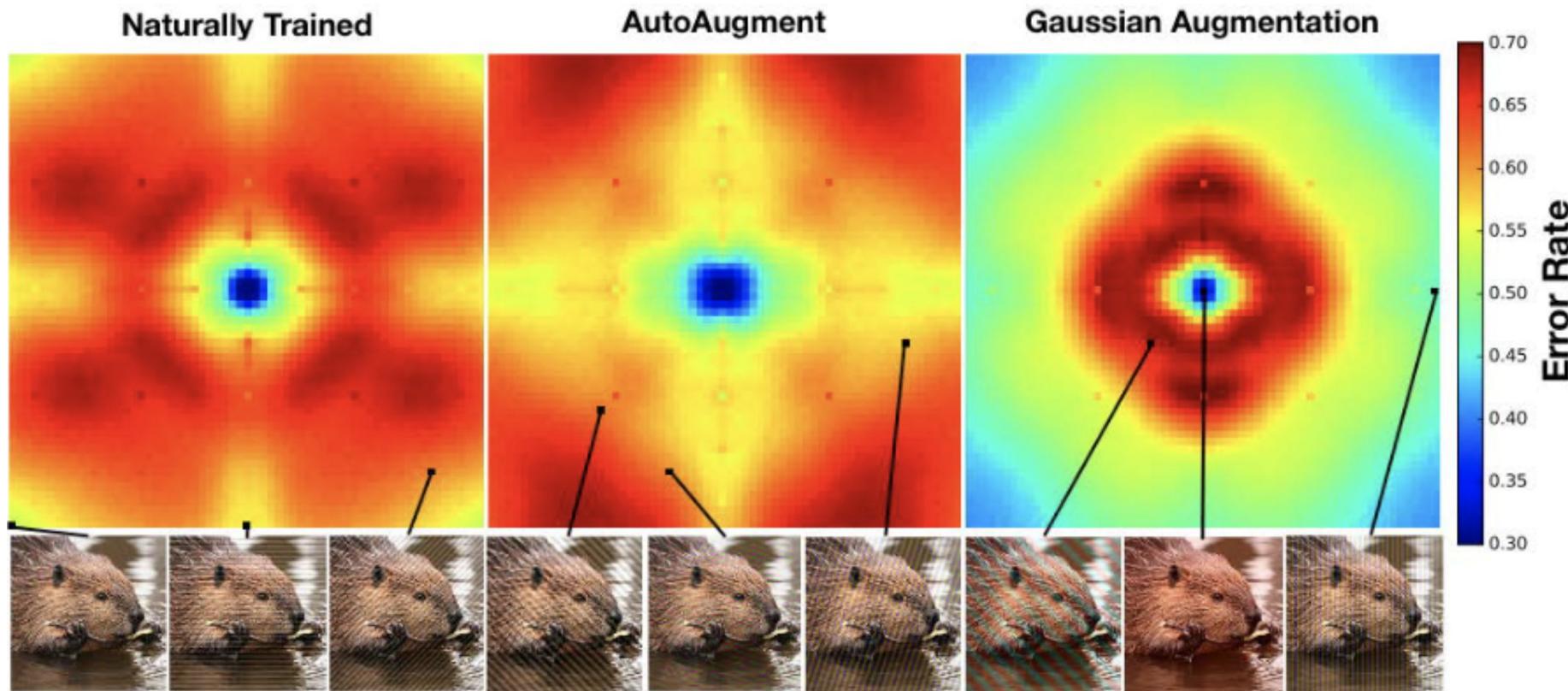
Gaussian Noise



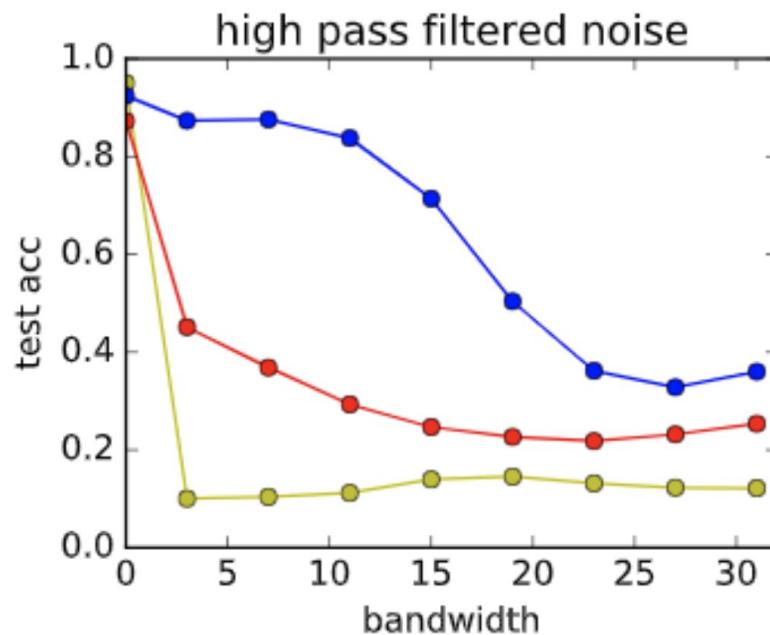
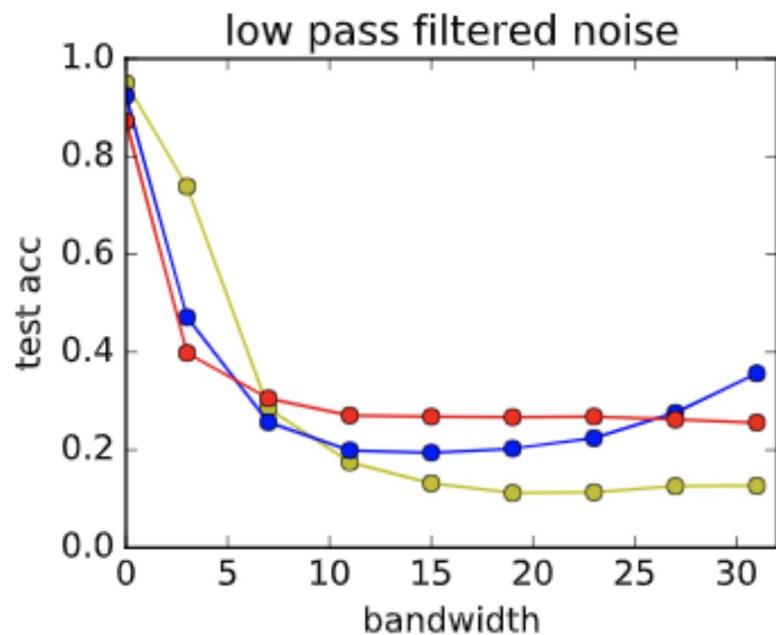
# Measuring the Effects of Data Augmentation - CIFAR10



# Measuring the Effects of Data Augmentation - Imagenet

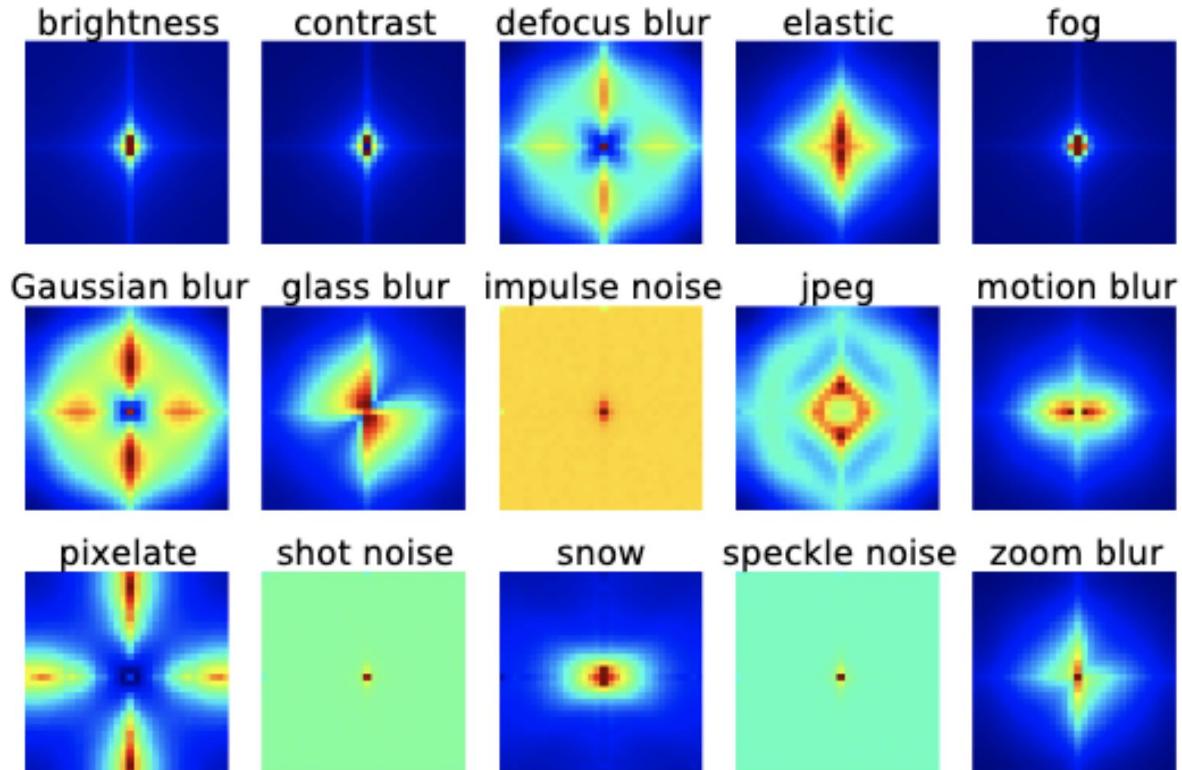
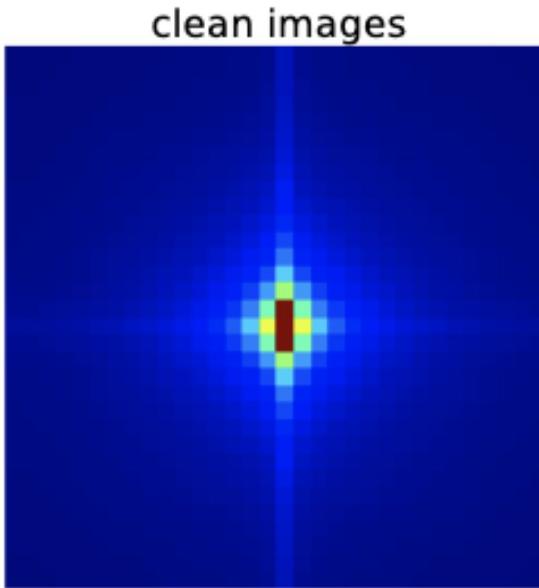


# Tradeoffs from Data Aug

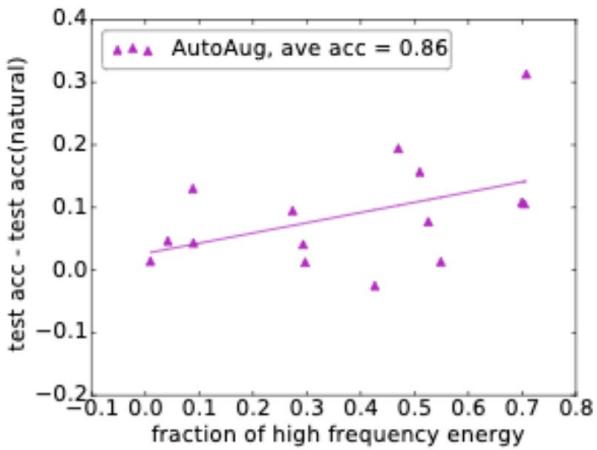
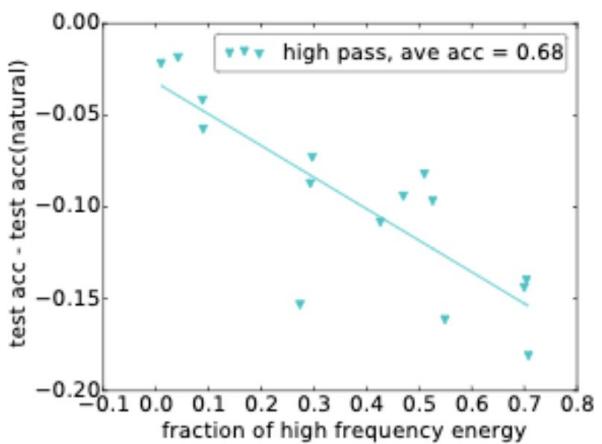
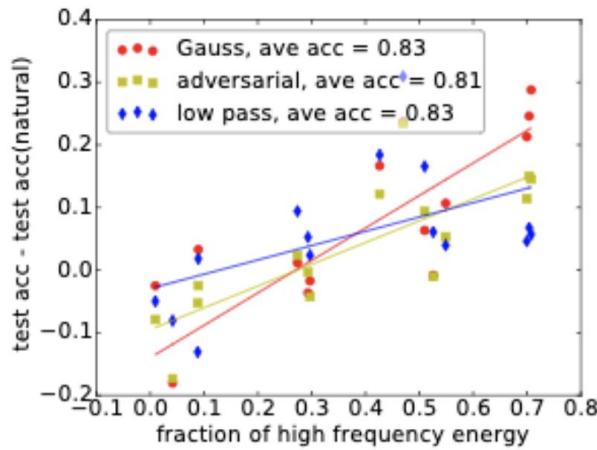
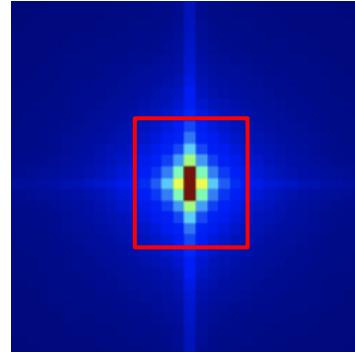
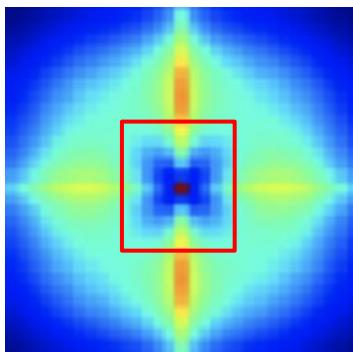


● ● naturally trained   ● ● Gaussian augmentation   ● ● adversarially trained

# A Fourier Perspective on Common Corruptions



# Tradeoffs from Data Aug



— k=0.52, r=0.11

— k=0.35, r=0.05

— k=0.23, r=0.18

— k=-0.17, r=0.01

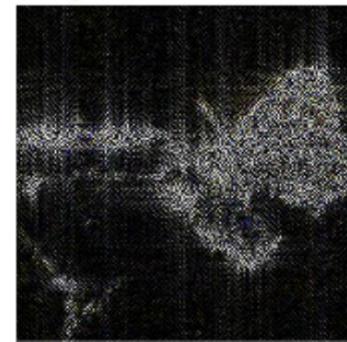
— k=0.16, r=0.08

# Can we be robust to both high and low frequency?



Low freq bias

High freq bias



Fog



Poor Low freq robustness

??????

Poor High freq robustness

Gaussian Noise

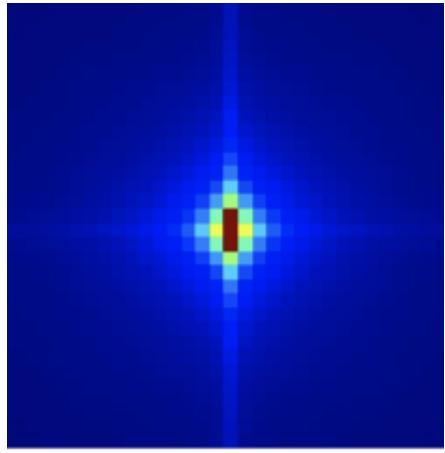


Gaussian Data Augmentation  
Adversarial Training  
Low pass filtering

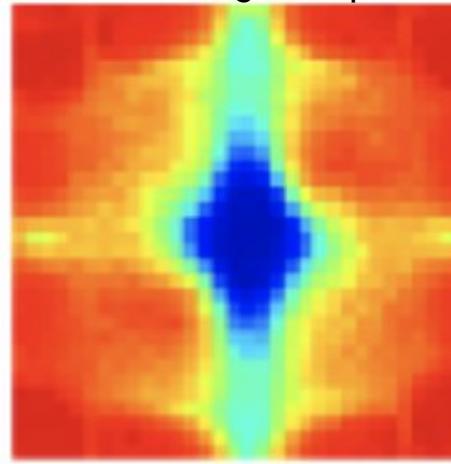
Naturally Trained  
High pass filtering

# Story is Complicated for Low Frequency Corruptions

Train on "Fog" noise

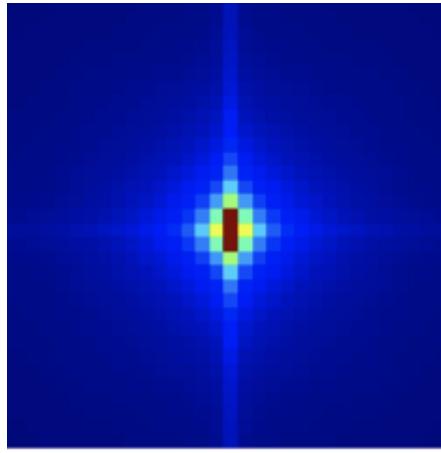


Increase High Freq Bias

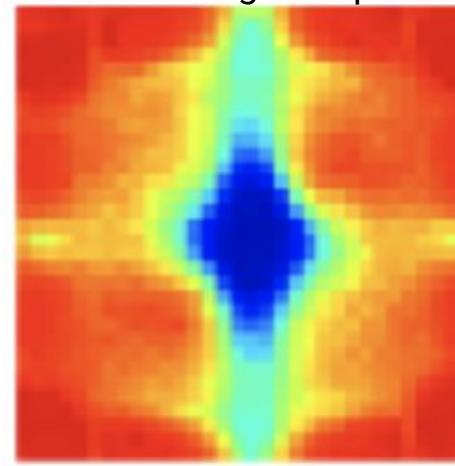


# Story is Complicated for Low Frequency Corruptions

Train on "Fog" noise



Increase High Freq Bias



Degraded performance in true fog???

fog severity	1	2	3	4	5
naturally trained	0.9606	0.9484	0.9395	0.9072	0.7429
fog noise augmentation	0.9090	0.8726	0.8120	0.7175	0.4626

# Maybe More Diverse Data Augmentation Needed?

	Operation 1	Operation 2
Sub-policy 0	(Posterize,0.4,8)	(Rotate,0.6,9)
Sub-policy 1	(Solarize,0.6,5)	(AutoContrast,0.6,5)
Sub-policy 2	(Equalize,0.8,8)	(Equalize,0.6,3)
Sub-policy 3	(Posterize,0.6,7)	(Posterize,0.6,6)
Sub-policy 4	(Equalize,0.4,7)	(Solarize,0.2,4)
Sub-policy 5	(Equalize,0.4,4)	(Rotate,0.8,8)
Sub-policy 6	(Solarize,0.6,3)	(Equalize,0.6,7)
Sub-policy 7	(Posterize,0.8,5)	(Equalize,1.0,2)
Sub-policy 8	(Rotate,0.2,3)	(Solarize,0.6,8)
Sub-policy 9	(Equalize,0.6,8)	(Posterize,0.4,6)
Sub-policy 10	(Rotate,0.8,8)	(Color,0.4,0)
Sub-policy 11	(Rotate,0.4,9)	(Equalize,0.6,2)
Sub-policy 12	(Equalize,0.0,7)	(Equalize,0.8,8)
Sub-policy 13	(Invert,0.6,4)	(Equalize,1.0,8)
Sub-policy 14	(Color,0.6,4)	(Contrast,1.0,8)
Sub-policy 15	(Rotate,0.8,8)	(Color,1.0,2)
Sub-policy 16	(Color,0.8,8)	(Solarize,0.8,7)
Sub-policy 17	(Sharpness,0.4,7)	(Invert,0.6,8)
Sub-policy 18	(ShearX,0.6,5)	(Equalize,1.0,9)
Sub-policy 19	(Color,0.4,0)	(Equalize,0.6,3)
Sub-policy 20	(Equalize,0.4,7)	(Solarize,0.2,4)
Sub-policy 21	(Solarize,0.6,5)	(AutoContrast,0.6,5)
Sub-policy 22	(Invert,0.6,4)	(Equalize,1.0,8)
Sub-policy 23	(Color,0.6,4)	(Contrast,1.0,8)
Sub-policy 24	(Equalize,0.8,8)	(Equalize,0.6,3)

Table 9. AutoAugment policy found on reduced ImageNet.

# AutoAugment Improves robustness on CIFAR-10-C

			noise			blur					weather			digital			
model	acc	mCE	speckle	shot	impulse	defocus	Gauss	glass	motion	zoom	snow	fog	bright	contrast	elastic	pixel	jpeg
natural	77	100	70	68	54	85	73	57	81	80	85	90	95	82	86	73	80
Gauss	83	98	<b>92</b>	<b>92</b>	83	84	79	<b>80</b>	77	82	88	72	92	57	84	<b>90</b>	<b>91</b>
adversarial	81	108	82	83	69	84	82	<b>80</b>	80	83	83	73	87	77	82	85	85
Auto	<b>86</b>	<b>64</b>	81	78	<b>86</b>	<b>92</b>	<b>88</b>	76	<b>85</b>	<b>90</b>	<b>89</b>	<b>95</b>	<b>96</b>	<b>95</b>	<b>87</b>	71	81

- Stylized imagenet training does better on Imagenet-C.
- Current SOTA on Imagenet-C is AugMix, which builds off of AutoAugment.

# Takeaways

- Model bias determines robustness.
- Data augmentation can help but there may be tradeoffs.
  - Shift bias towards low frequency -> improve robustness to high frequency.
  - Shift bias towards low frequency -> degrade robustness to low frequency.
- Diversity is needed for more general robustness.
  - See AugMix follow-up <https://openreview.net/forum?id=S1gmrxHFvB>

Thank You!