



# The Robustness Problem

Justin Gilmer

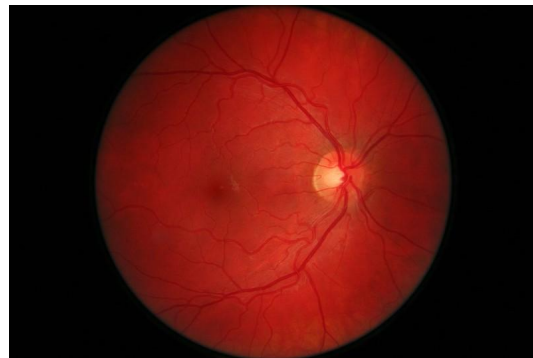
# Table of Contents

1. **Overly optimistic IID test sets**
2. Robustness, security and adversarial examples
3. Why are models so brittle?

# The Deep Learning Boom



transportation



Medical diagnosis



Google

recommender systems



Robotics

# Hype!

Artificial intelligence rivals radiologists in screening X-rays for certain diseases

**Man against machine: AI is better than dermatologists at diagnosing skin cancer**

Google's lung cancer detection AI outperforms 6 human radiologists

# More Hype!



## Economic Report of the President

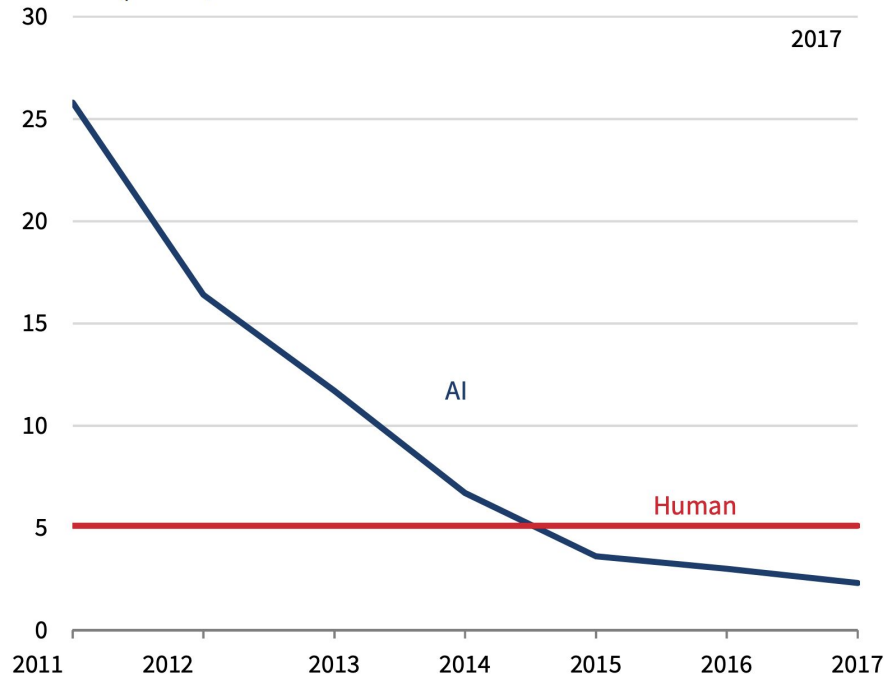
*Together with  
The Annual Report  
of the  
Council of Economic Advisers*

March 2019



**Figure 7-1. Error Rate of Image Classification by Artificial Intelligence and Humans, 2010–17**

Error rate (percent)



Sources: Russakovsky et al. (2015); CEA calculations.

# The Biggest Lie in Machine Learning

$$P(\textit{train}) = P(\textit{test})$$

Independent Identically Distributed (IID)

- MNIST
- CIFAR-10
- Imagenet
- SVHN
- Fashion MNIST
- COCO
- ...

# Reality Check

- IID test sets grossly overestimate performance in the real world.
- Models are not robust to even slight changes in distribution.

## In distribution - 99% Accuracy

Prediction: 0



Prediction: 7



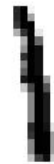
Prediction: 4



Prediction: 0



Prediction: 1



## Out of distribution - 63% Accuracy

Prediction: 2



Prediction: 9



Prediction: 9



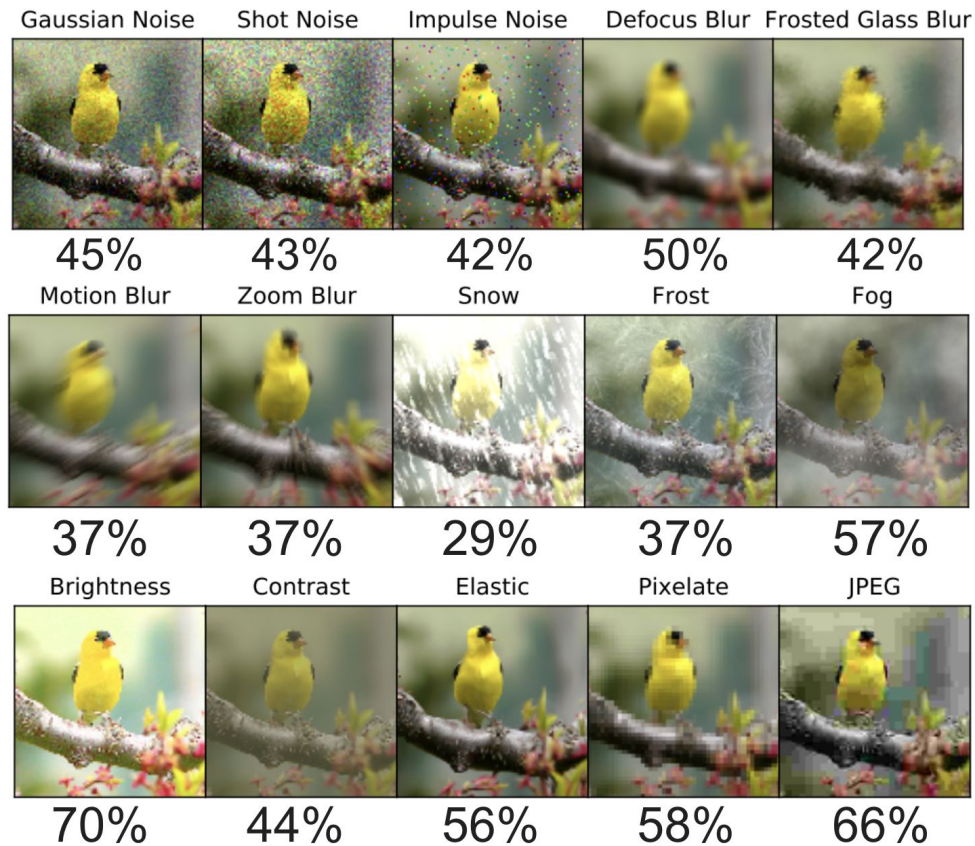
Prediction: 8



Prediction: 4



# The Real World is **Not** IID



Resnet-50  
76% Top-1 Accuracy (IID)



# Distribution Shift is a Real Problem!



(A) **Cow: 0.99**, Pasture: 0.99, Grass: 0.99, No Person: 0.98, Mammal: 0.98



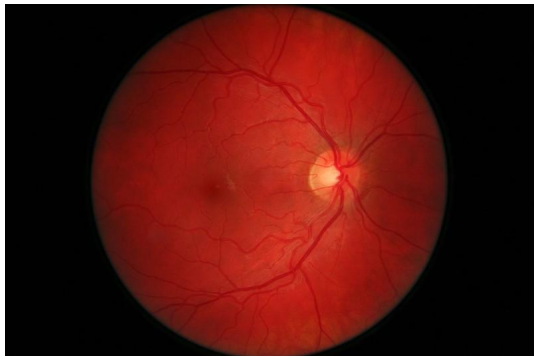
(B) No Person: 0.99, Water: 0.98, Beach: 0.97, Outdoors: 0.97, Seashore: 0.97



(C) No Person: 0.97, **Mammal: 0.96**, Water: 0.94, Beach: 0.94, Two: 0.94

# Medical Imaging on a Cell Phone Camera?

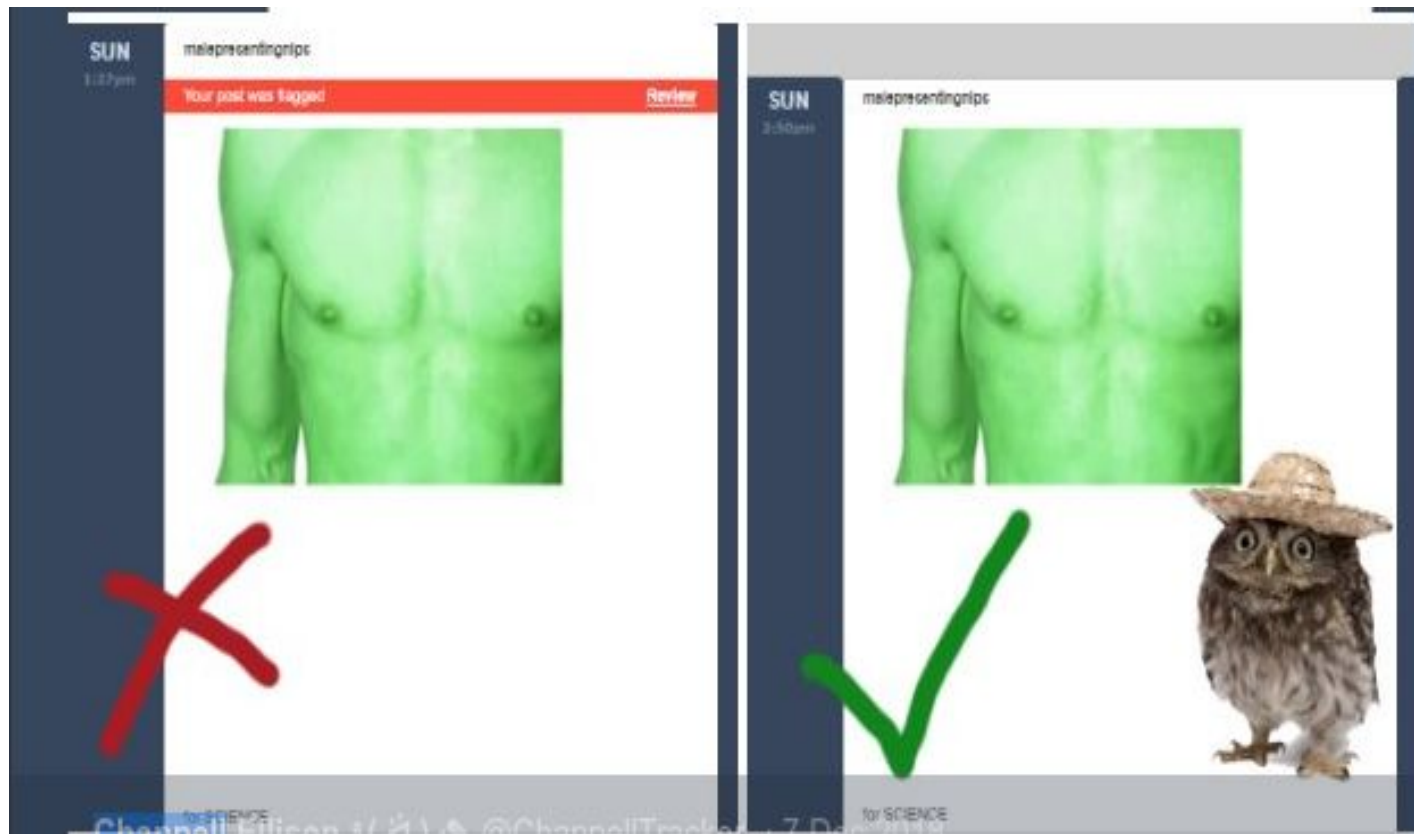
Train on high quality images taken in controlled settings.



Deploy on camera phones



# Adversaries Can Exploit this Lack of Robustness



# Robustness Benchmarks

- Image corruptions
  - Imagenet-C: [Hendrycks et. al.] <https://arxiv.org/abs/1807.01697>
  - MNIST-C: [Mu, Gilmer] <https://arxiv.org/abs/1906.02337>
- Natural distribution shifts
  - Imagenet-A [Hendrycks et. al.] <https://arxiv.org/abs/1907.07174>
  - ImagenetV2 [Recht et. al.] <https://arxiv.org/abs/1902.10811>
  - Imagenet-Vid-Robust [Shankar et. al] <https://arxiv.org/pdf/1906.02168.pdf>.
  - Video Robustness [Gu et. al.] <https://arxiv.org/pdf/1904.10076.pdf>

For ML to work well, we need to drop the iid assumption.

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1. Overly optimistic IID test sets
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# Adversarial Examples

Security

vs

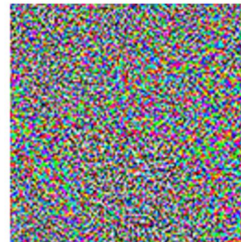
“Surprising” Phenomenon



“panda”

57.7% confidence

+  $\epsilon$



=



“gibbon”

99.3% confidence

Goodfellow et. al. <https://arxiv.org/abs/1412.6572>

# Adversarial Examples - Security



Biggio et. al: <https://arxiv.org/abs/1712.03141>



# Adversarial Examples - Security

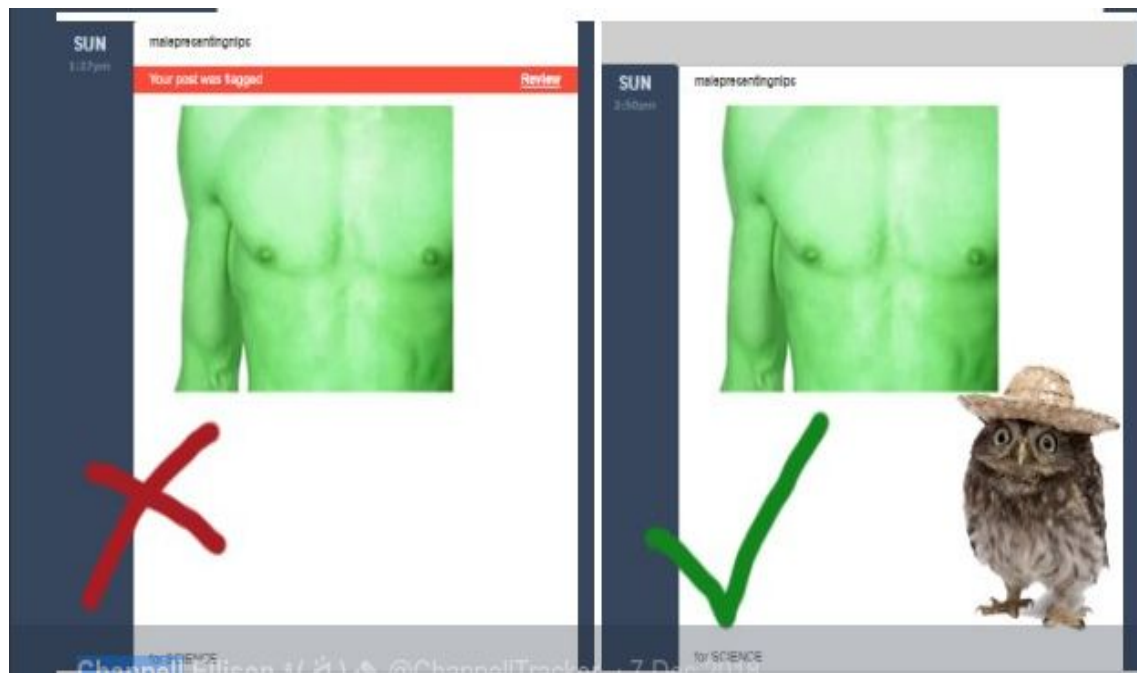


<https://qz.com/721615/smart-pirates-are-fooling-youtubes-copyright-bots-by-hiding-movies-in-360-degree-videos/>



# Adversarial Examples - Security

**"State of the art", zero knowledge, limited query, black box attack.**  
[Tumblr Quality Assurance, 2018]



<https://piunikaweb.com/2018/12/08/owl-pics-heres-how-tumblr-censor-bots-are-being-fooled/>

# Questions for Designing a Secure ML System

- How do adversaries typically break systems?
- How would you measure test error?
- Are you secure if test error  $> 0$ ?
- How do we deal with out-of-distribution generalization?



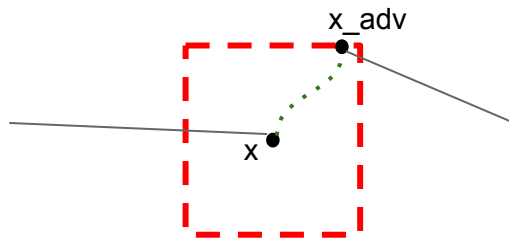
# Adversarial Examples - The "Surprising" Phenomenon

- In 2013 it was discovered that neural networks have “adversarial examples”.
- 2000+ papers written on this topic.



“panda”

57.7% confidence



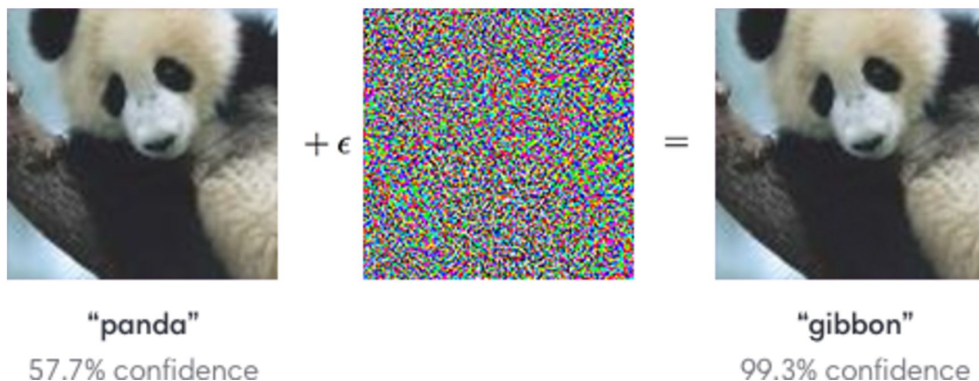
“gibbon”

99.3% confidence

$$x_{adv} = \max_{x': ||x - x'||_{\infty} < \epsilon} L(\theta, x', \hat{y})$$

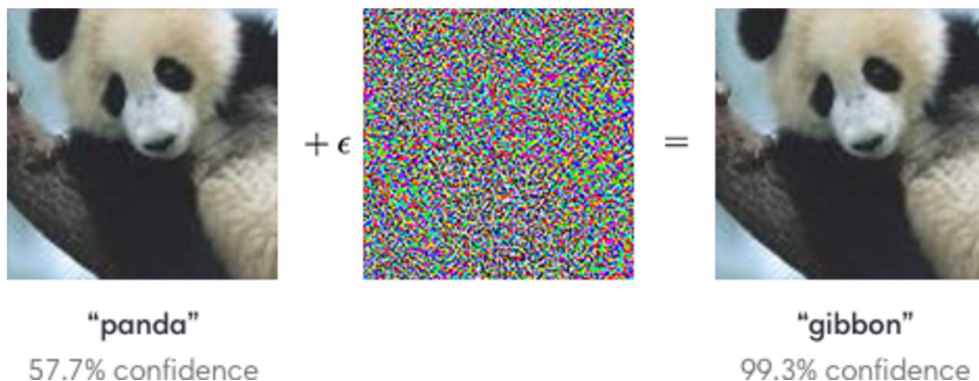
# Adversarial Examples - The Phenomenon

**Why** do our models have adversarial examples?



# Adversarial Examples - The Phenomenon

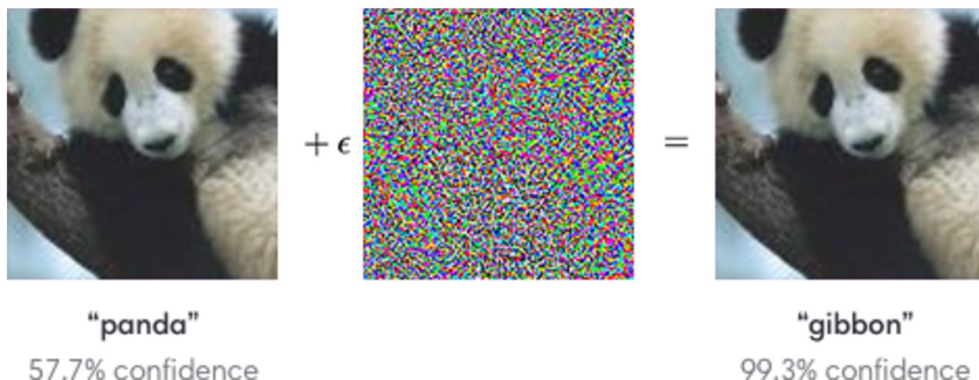
**Why** do our models have adversarial examples?    **A:** ???



# Adversarial Examples - The Phenomenon

**Why** do our models have adversarial examples?    **A:** ???

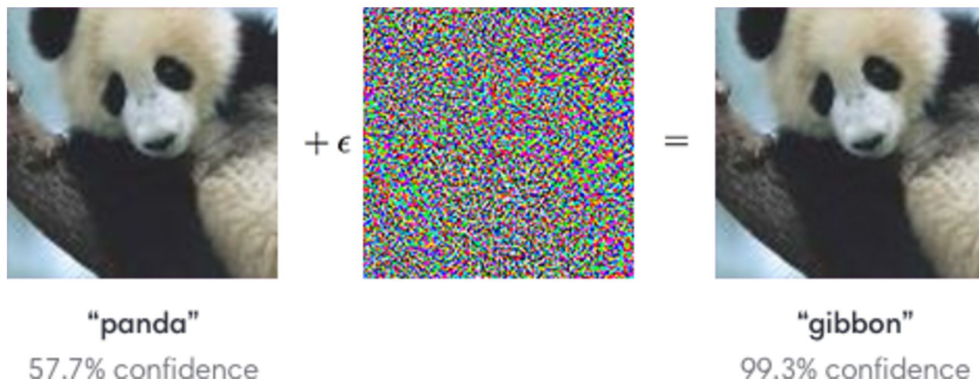
**What** are adversarial examples?



# Adversarial Examples - The Phenomenon

**Why** do our models have adversarial examples?     **A:** ???

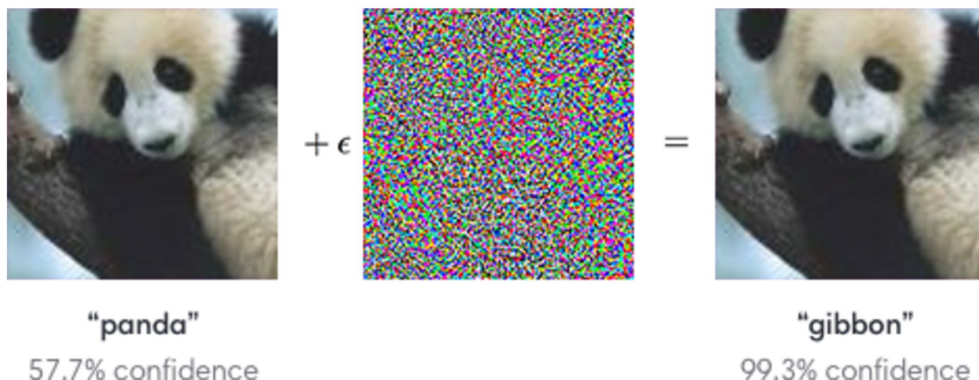
**What** are adversarial examples?     **A:** The nearest error



# Adversarial Examples - The Phenomenon

**Why** do our models have adversarial examples?     **A:** ???

**What** are adversarial examples?     **A:** The nearest error

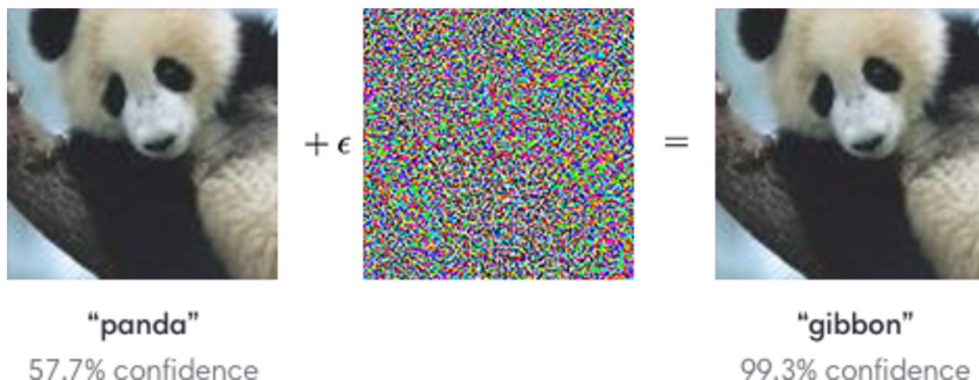




# Adversarial Examples - The Phenomenon

**Why** do our models have (o.o.d) **test error**?      A: ???

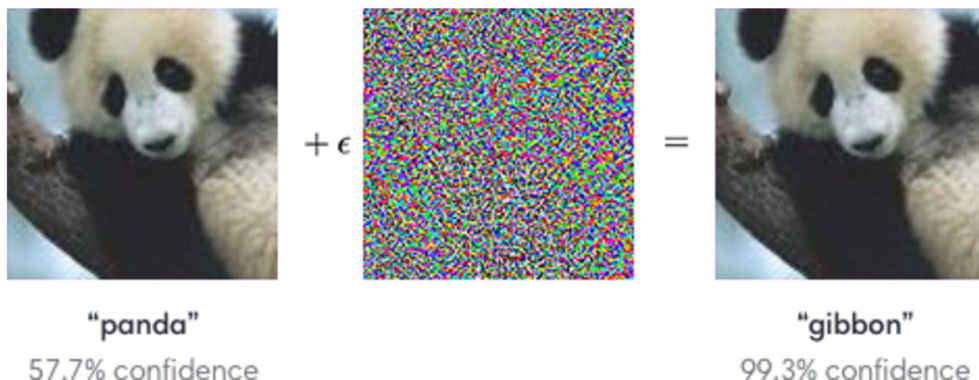
**What** are adversarial examples?      A: The nearest error



# Adversarial Examples - The Phenomenon

**Why** do our models have (o.o.d) **test error**?      A: ???

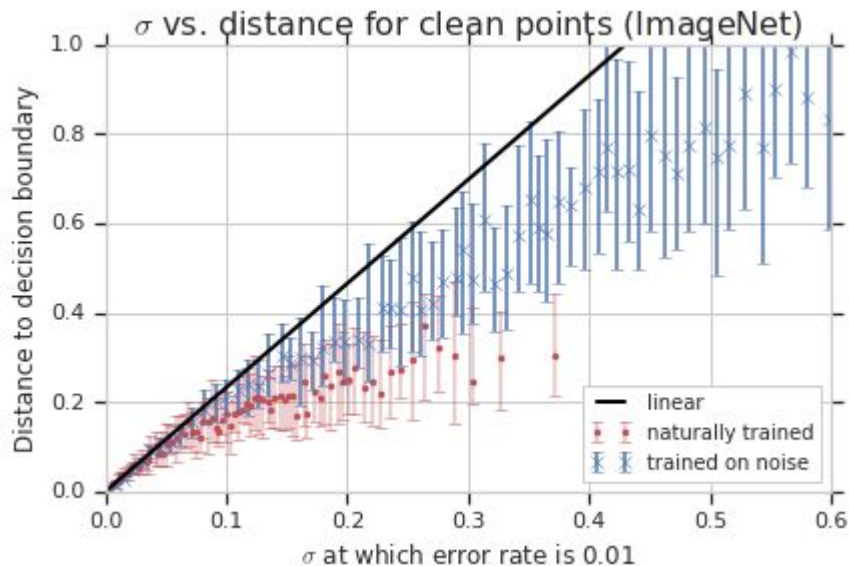
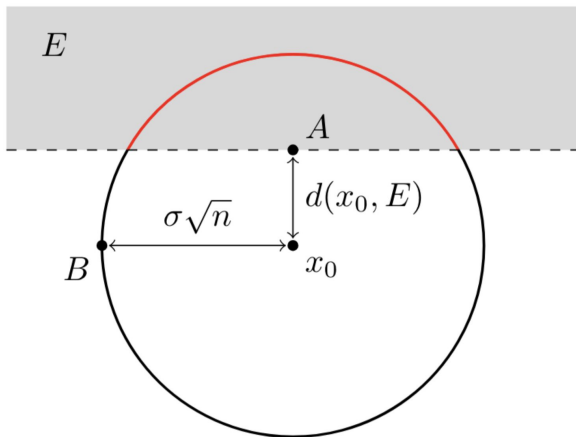
**What** are adversarial examples?      A: The nearest error



Test error  $> 0$  (iid, ood)  $\rightarrow$  errors exist  $\rightarrow$  there is a nearest error

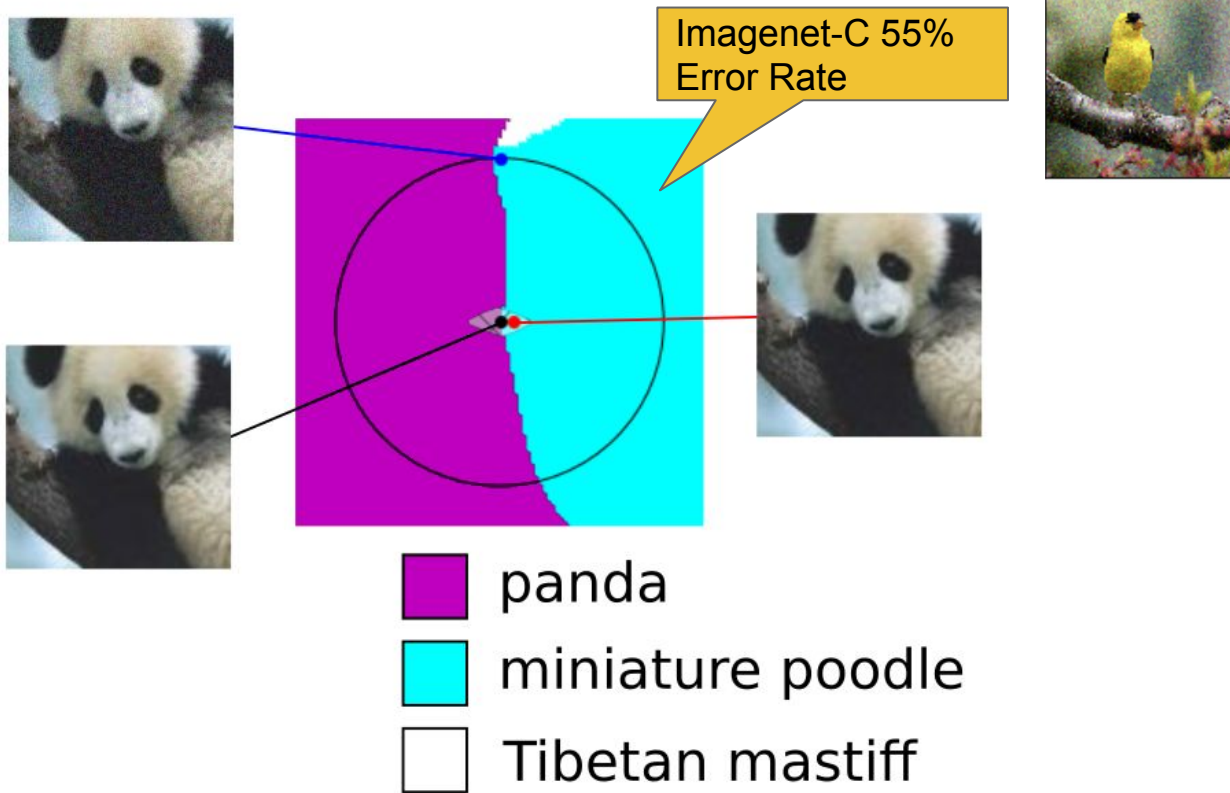
# Linear Assumption

**1% error rate on random perturbations of norm 79 => adv ex at norm .5**



See also Fawzi et. al.

# InceptionV3 Decision Boundary



# Adversarial Defenses

---

$L_\infty$ -metric ( $\epsilon = 0.3$ )		
Transfer Attacks	0.08 / 0%	0.44 / 85%
FGSM	0.10 / 4%	0.43 / 77%
FGSM w/ GE	0.10 / 21%	0.42 / 71%
$L_\infty$ DeepFool	0.08 / 0%	0.38 / 74%
$L_\infty$ DeepFool w/ GE	0.09 / 0%	0.37 / 67%
BIM	0.08 / 0%	0.36 / 70%
BIM w/ GE	0.08 / 37%	$\infty$ / 70%
MIM	0.08 / 0%	0.37 / 71%
MIM w/ GE	0.09 / 36%	$\infty$ / 69%
<b>All <math>L_\infty</math> Attacks</b>	0.08 / 0%	0.34 / 64%

---

# Adversarial Defenses

Why are we trying to  
"defend" against the  
nearest error?

<hr/> $L_\infty$ -metric ( $\epsilon = 0.3$ )		
Transfer Attacks	0.08 / 0%	0.44 / 85%
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# Adversarial Defenses

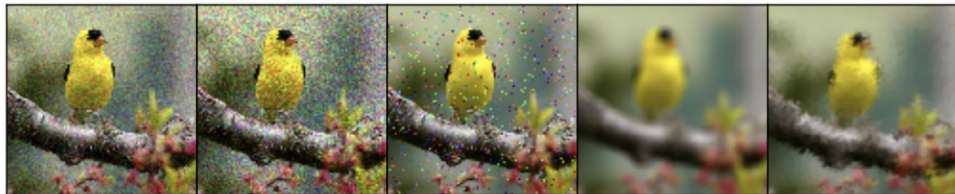
Why are we trying to  
"defend" against the  
nearest error?

Not a useful measure of  
robustness

<hr/> $L_\infty$ -metric ( $\epsilon = 0.3$ )		
Transfer Attacks	0.08 / 0%	0.44 / 85%
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# Takeaways

Gaussian Noise Shot Noise Impulse Noise Defocus Blur Frosted Glass Blur



45%

Motion Blur

43%

Zoom Blur

42%

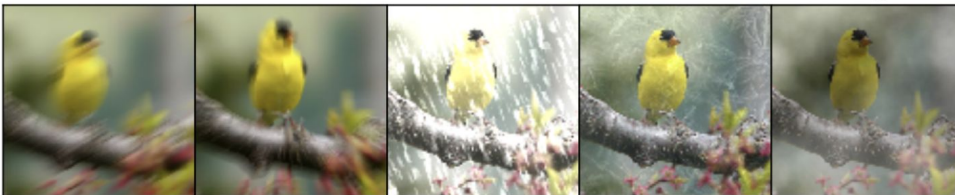
Snow

50%

Frost

42%

Fog



37%

Brightness

37%

Contrast

29%

Elastic

37%

Pixelate

57%

JPEG



70%

44%

56%

58%

66%

- We should not be surprised that there is a nearest error.
- **The problem to study is robustness to distribution shift.**



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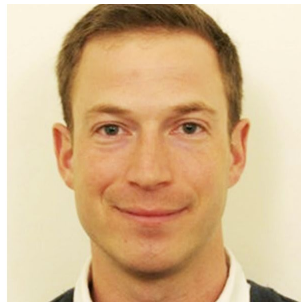
# A Fourier Perspective on Model Robustness in Computer Vision



Dong Yin



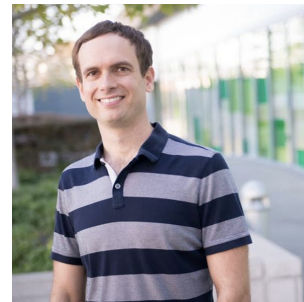
Raphael  
Lopez



Jon  
Shlens

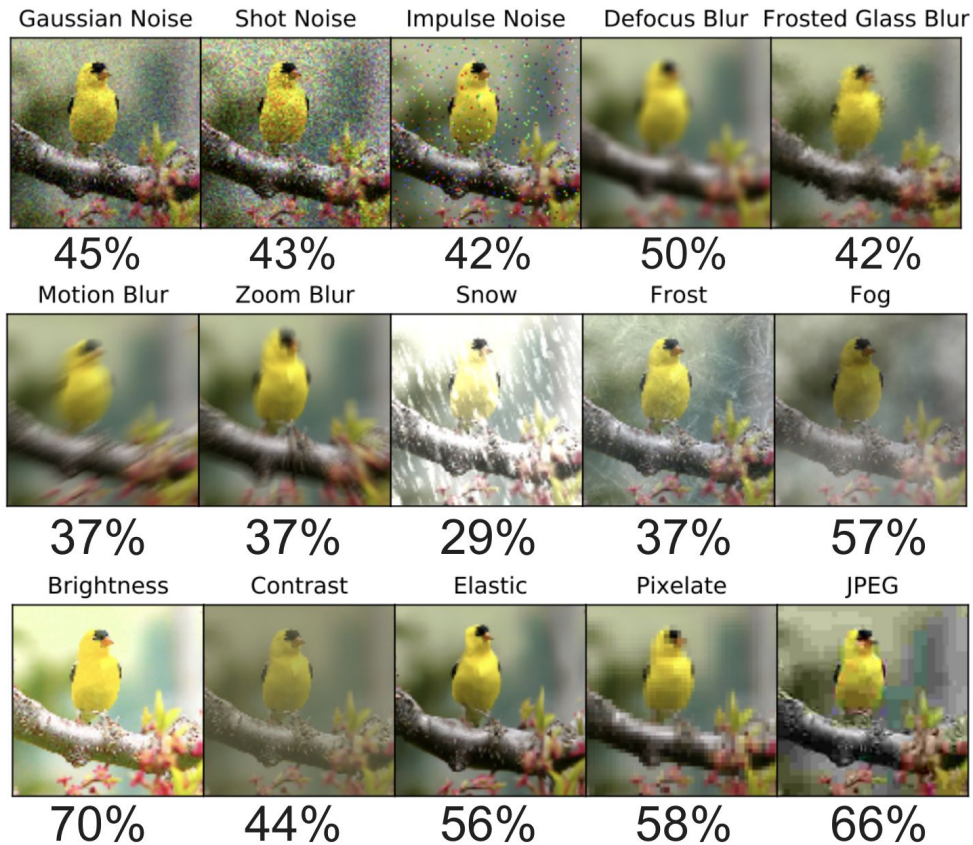


Dogus  
Cubuk



Justin  
Gilmer

# Common Corruption Benchmark



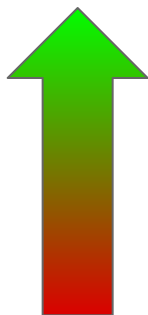
# A Motivating Experiment

Adversarial training helps some measures of robustness, but hurts others. Why?

Gaussian Noise

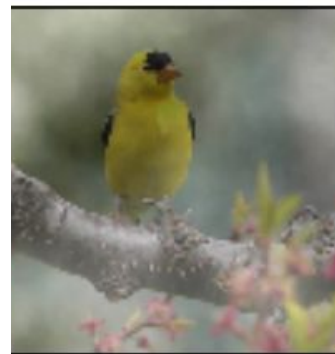


**70% Acc**

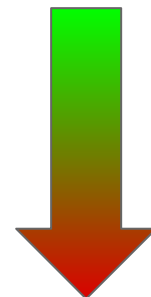


**45% Acc**

Fog



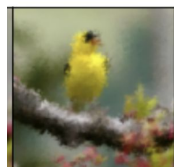
**77% Acc**



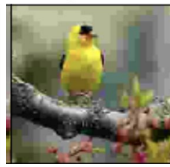
**44% Acc**

Also helps...

Frosted Glass Blur



JPEG

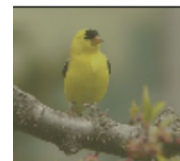


Shot Noise



Also hurts...

Contrast



Brightness



# Spurious Correlations

## **Hypothesis:**

Models lack robustness because they latch onto spurious correlations in the data.

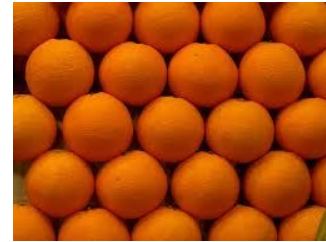
Which correlations they latch onto determines their robustness properties.

Apples



VS

Oranges



Train  
ResnetV5000

Eval on IID  
Test Set

100% Accuracy

# Cheating Models/Spurious Correlations



Apple

Yes

Is there more red pixels than orange in the photo?



No

Orange



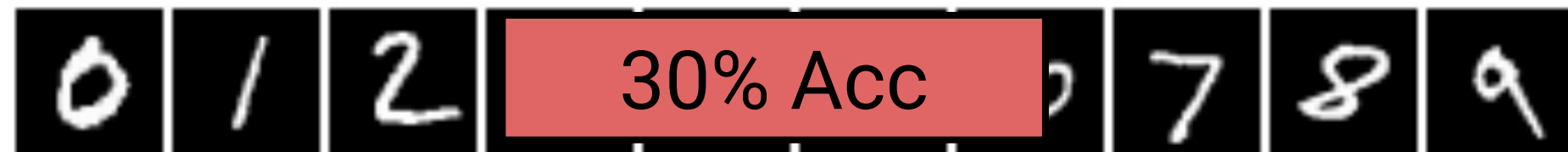
Totally an Orange!

# Spurious Correlations - MNIST

Train

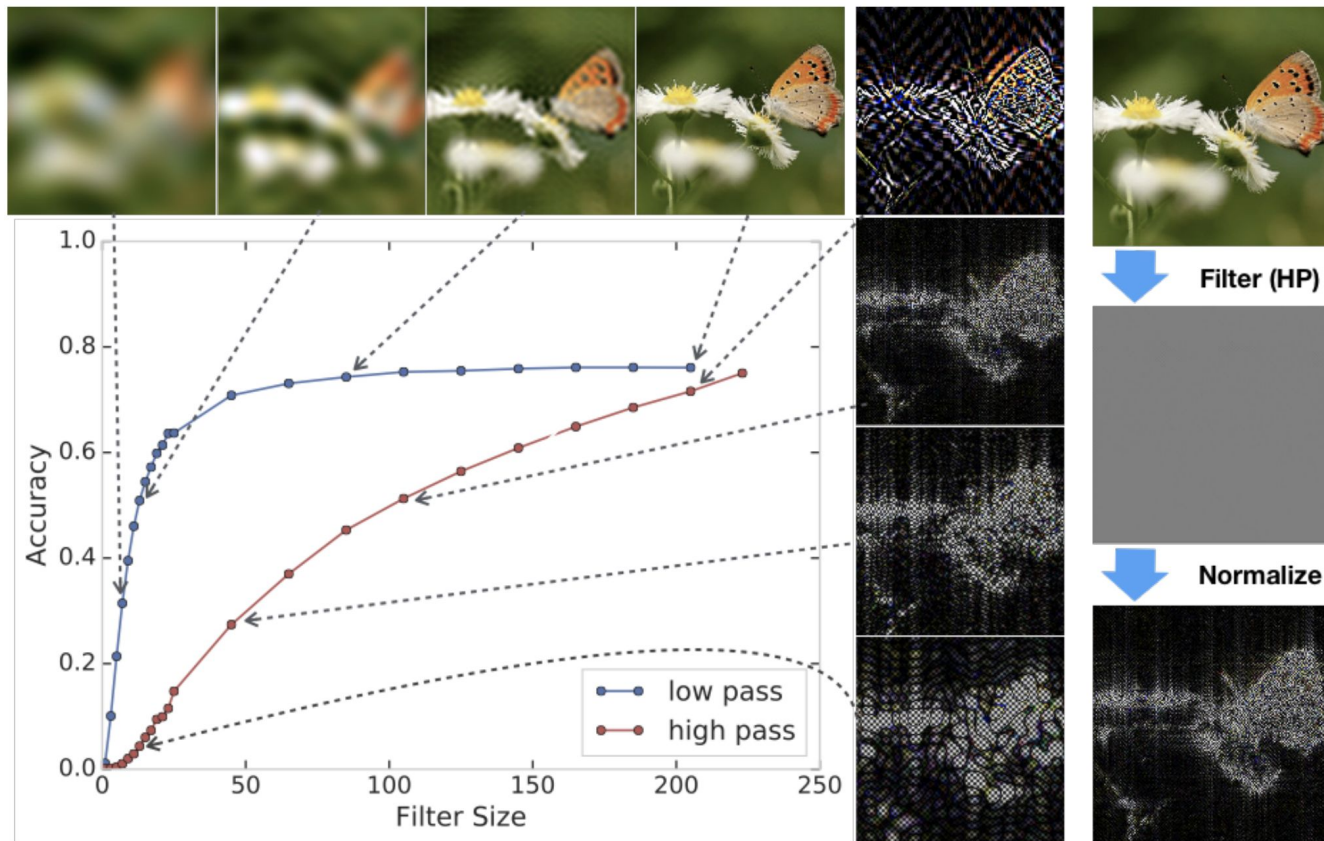


Test

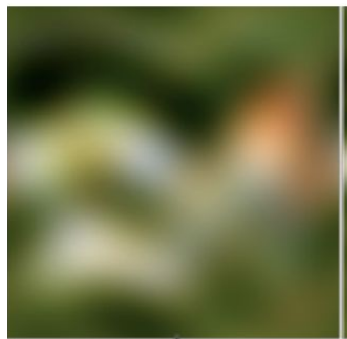




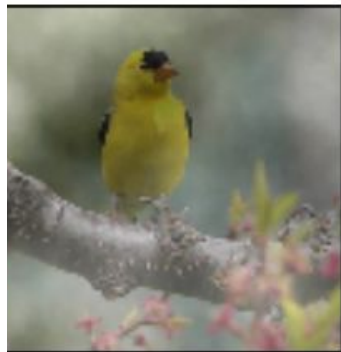
# Some spurious correlations may be unintuitive



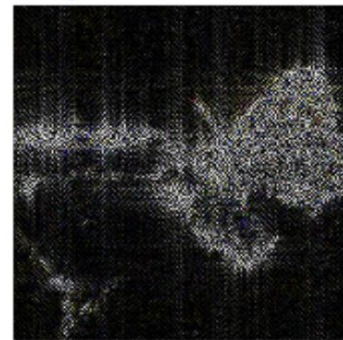
# Main Hypothesis: Model Bias Determines Robustness



Fog



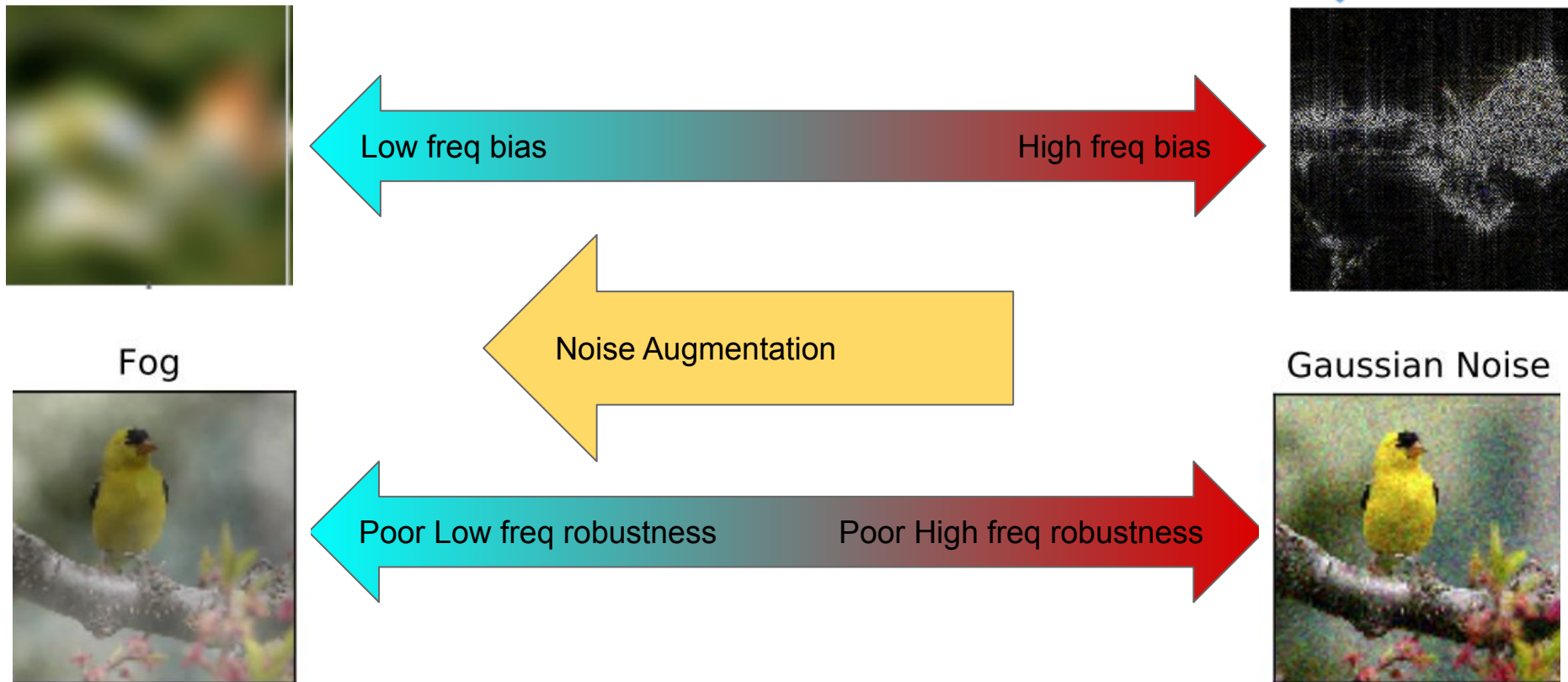
Google



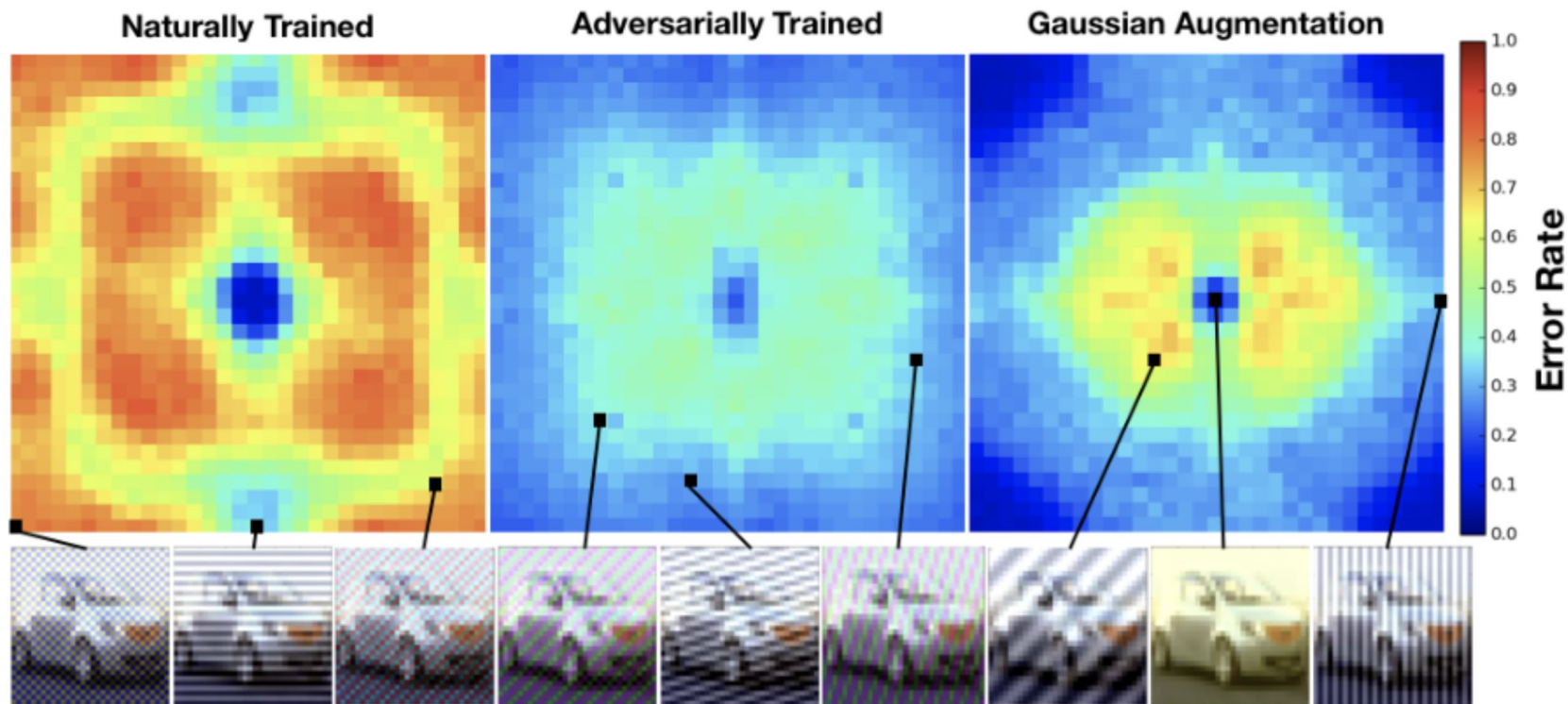
Gaussian Noise



# Data Augmentation Shifts Model Bias

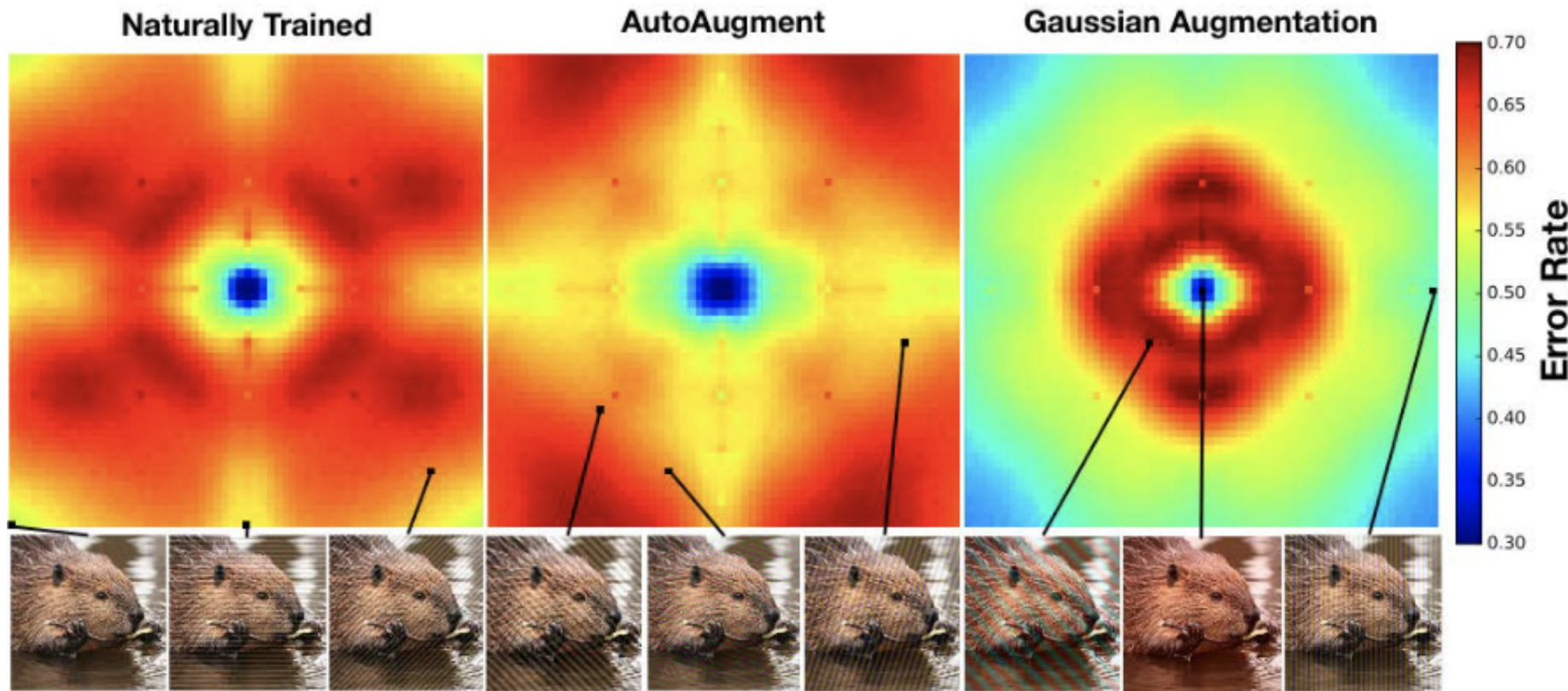


# Measuring the Effects of Data Augmentation - CIFAR10

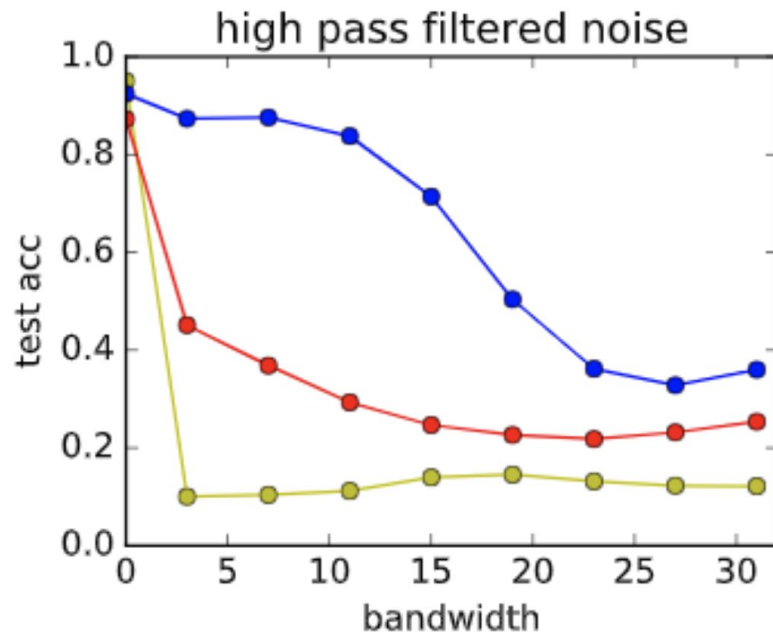
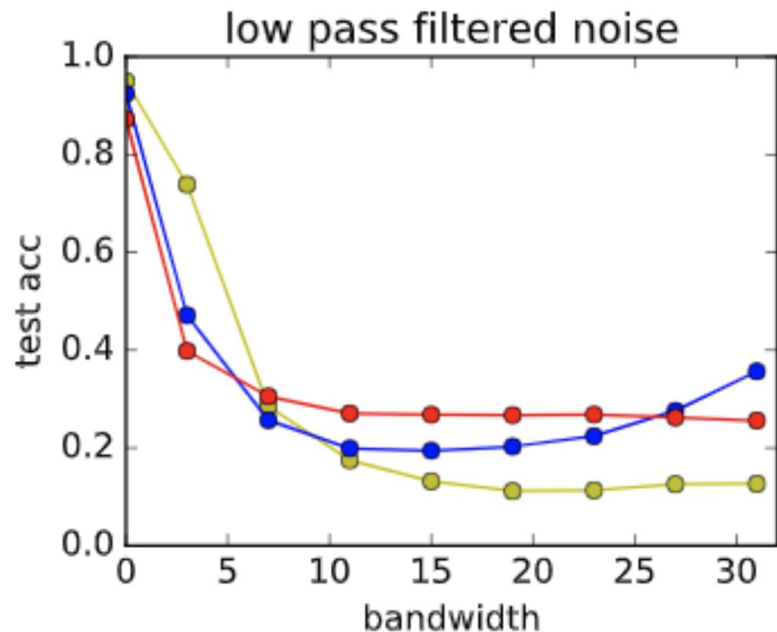




# Measuring the Effects of Data Augmentation - Imagenet

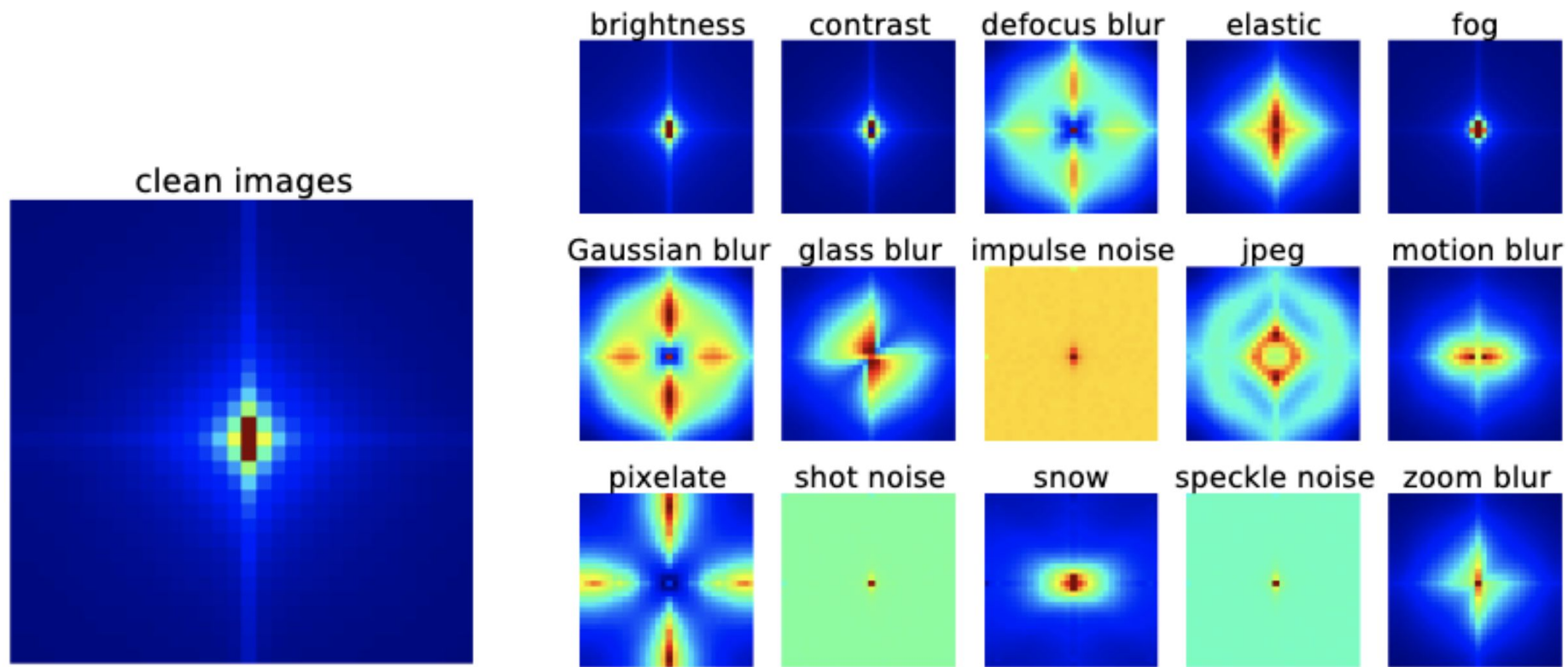


# Tradeoffs from Data Aug

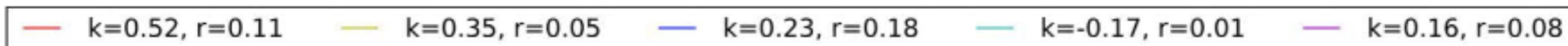
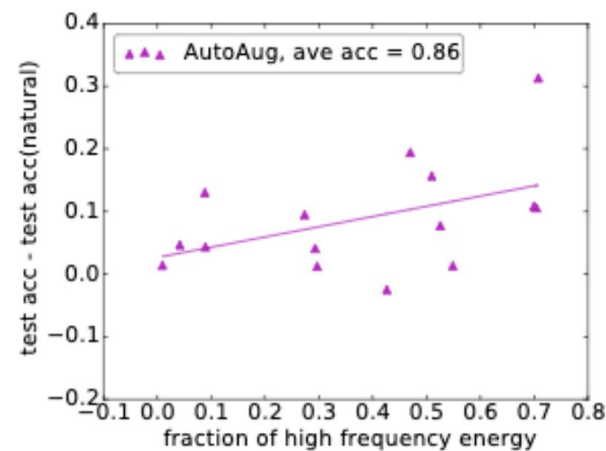
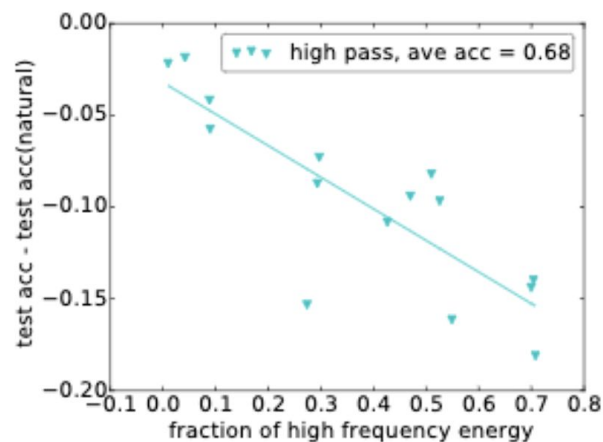
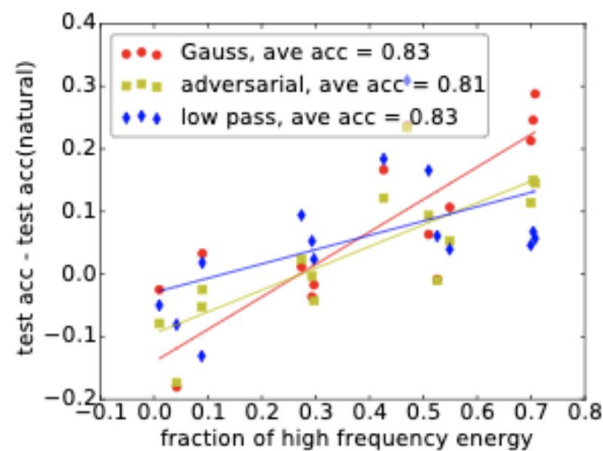
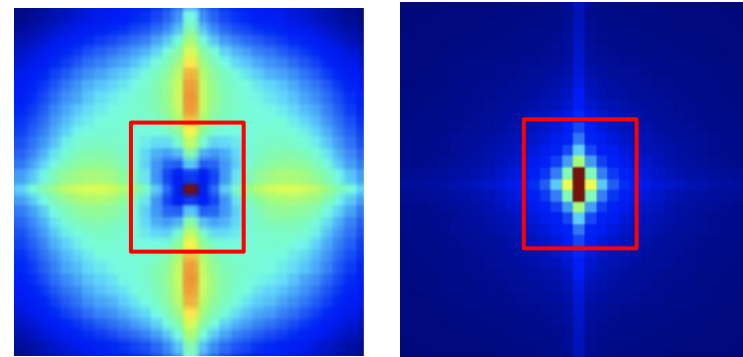


● naturally trained    ● Gaussian augmentation    ● adversarially trained

# A Fourier Perspective on Common Corruptions

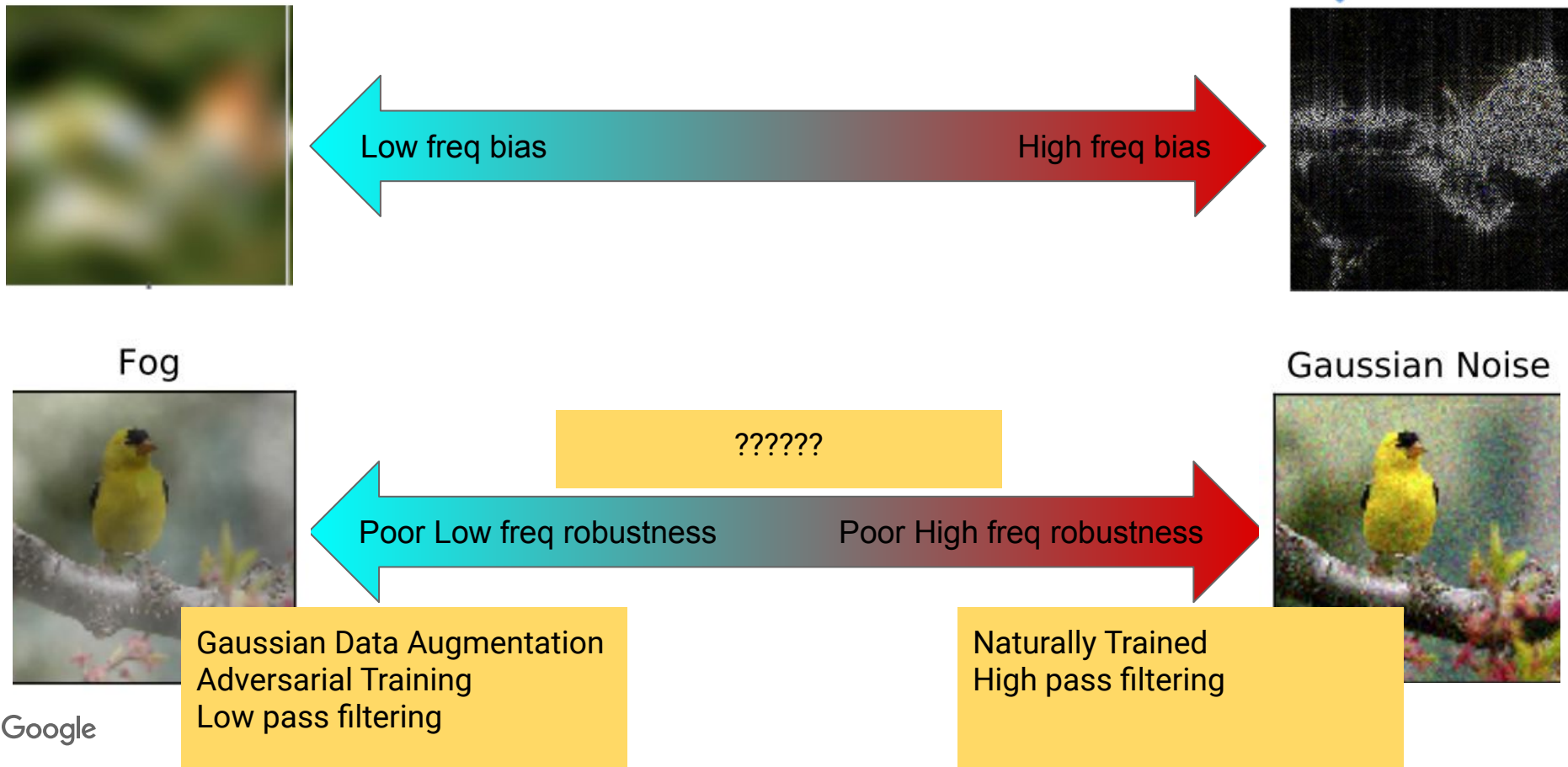


# Tradeoffs from Data Aug



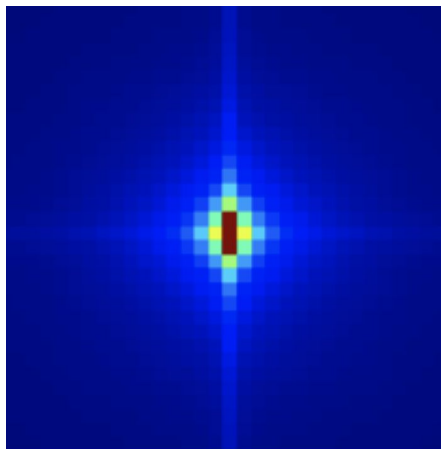


# Can we be robust to both high and low frequency?

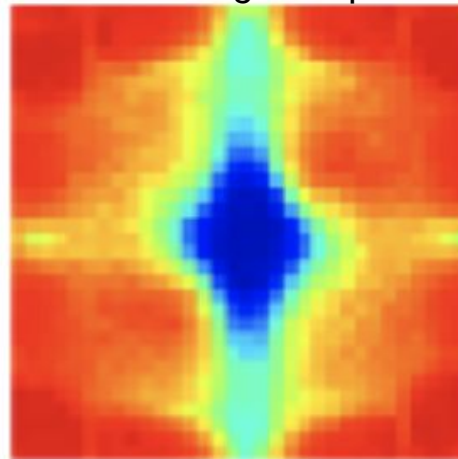


# Story is Complicated for Low Frequency Corruptions

Train on "Fog" noise

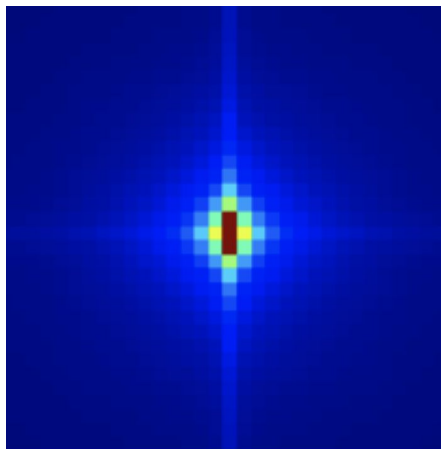


Increase High Freq Bias

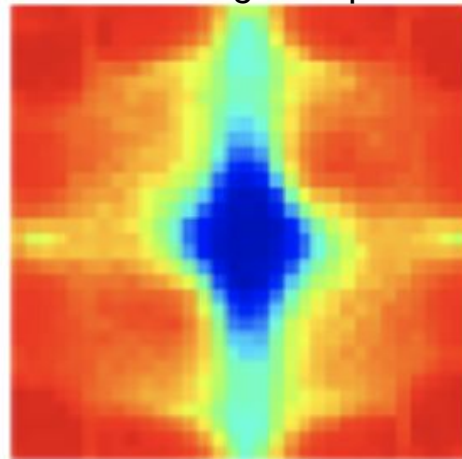


# Story is Complicated for Low Frequency Corruptions

Train on "Fog" noise



Increase High Freq Bias



Degraded performance in true fog???

fog severity	1	2	3	4	5
naturally trained	0.9606	0.9484	0.9395	0.9072	0.7429
fog noise augmentation	0.9090	0.8726	0.8120	0.7175	0.4626

# Maybe More Diverse Data Augmentation Needed?

	Operation 1	Operation 2
Sub-policy 0	(Posterize,0.4,8)	(Rotate,0.6,9)
Sub-policy 1	(Solarize,0.6,5)	(AutoContrast,0.6,5)
Sub-policy 2	(Equalize,0.8,8)	(Equalize,0.6,3)
Sub-policy 3	(Posterize,0.6,7)	(Posterize,0.6,6)
Sub-policy 4	(Equalize,0.4,7)	(Solarize,0.2,4)
Sub-policy 5	(Equalize,0.4,4)	(Rotate,0.8,8)
Sub-policy 6	(Solarize,0.6,3)	(Equalize,0.6,7)
Sub-policy 7	(Posterize,0.8,5)	(Equalize,1.0,2)
Sub-policy 8	(Rotate,0.2,3)	(Solarize,0.6,8)
Sub-policy 9	(Equalize,0.6,8)	(Posterize,0.4,6)
Sub-policy 10	(Rotate,0.8,8)	(Color,0.4,0)
Sub-policy 11	(Rotate,0.4,9)	(Equalize,0.6,2)
Sub-policy 12	(Equalize,0.0,7)	(Equalize,0.8,8)
Sub-policy 13	(Invert,0.6,4)	(Equalize,1.0,8)
Sub-policy 14	(Color,0.6,4)	(Contrast,1.0,8)
Sub-policy 15	(Rotate,0.8,8)	(Color,1.0,2)
Sub-policy 16	(Color,0.8,8)	(Solarize,0.8,7)
Sub-policy 17	(Sharpness,0.4,7)	(Invert,0.6,8)
Sub-policy 18	(ShearX,0.6,5)	(Equalize,1.0,9)
Sub-policy 19	(Color,0.4,0)	(Equalize,0.6,3)
Sub-policy 20	(Equalize,0.4,7)	(Solarize,0.2,4)
Sub-policy 21	(Solarize,0.6,5)	(AutoContrast,0.6,5)
Sub-policy 22	(Invert,0.6,4)	(Equalize,1.0,8)
Sub-policy 23	(Color,0.6,4)	(Contrast,1.0,8)
Sub-policy 24	(Equalize,0.8,8)	(Equalize,0.6,3)

Table 9. AutoAugment policy found on reduced ImageNet.

# AutoAugment Improves robustness on CIFAR-10-C

			noise			blur					weather			digital			
model	acc	mCE	speckle	shot	impulse	defocus	Gauss	glass	motion	zoom	snow	fog	bright	contrast	elastic	pixel	jpeg
natural	77	100	70	68	54	85	73	57	81	80	85	90	95	82	86	73	80
Gauss	83	98	<b>92</b>	<b>92</b>	83	84	79	<b>80</b>	77	82	88	72	92	57	84	<b>90</b>	<b>91</b>
adversarial	81	108	82	83	69	84	82	<b>80</b>	80	83	83	73	87	77	82	85	85
Auto	<b>86</b>	<b>64</b>	81	78	<b>86</b>	<b>92</b>	<b>88</b>	76	<b>85</b>	<b>90</b>	<b>89</b>	<b>95</b>	<b>96</b>	<b>95</b>	<b>87</b>	71	81

- Stylized imagenet training does better on Imagenet-C.
- Current SOTA on Imagenet-C is AugMix, which builds off of AutoAugment.

# Takeaways

- Model bias determines robustness.
- Data augmentation can help but there may be tradeoffs.
  - Shift bias towards low frequency -> improve robustness to high frequency.
  - Shift bias towards low frequency -> degrade robustness to low frequency.
- Diversity is needed for more general robustness.
  - See AugMix follow-up <https://openreview.net/forum?id=S1gmrxFvB>

Thank You!