

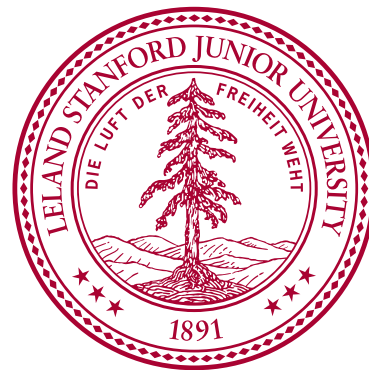
# The Gallager Converse

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# Information Theoretic Limits

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- Establishing information theoretic limits, e.g., channel capacity, requires:
  - Finding a **single-letter** expression for the limit
  - Proving **achievability**
  - Proving a **converse**
- While achievability tells us about how to improve system design, converse is necessary to prove optimality
- Proving a converse is typically harder and there are very few tools available, e.g., Fano's inequality, data processing inequality, convexity

# Information Theoretic Limits

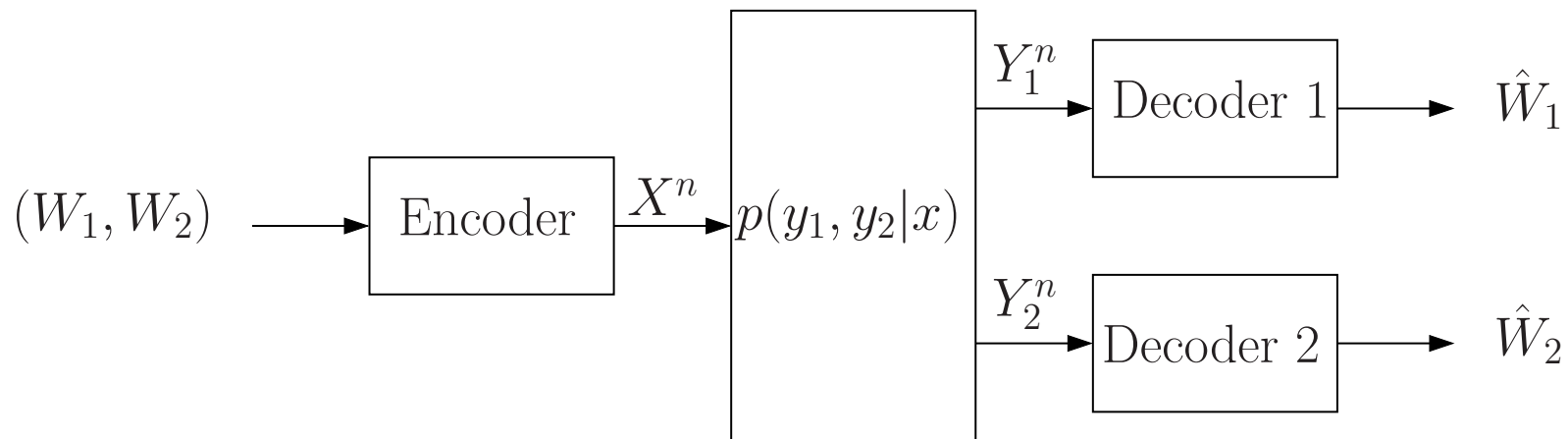
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- While achievability tells us about how to improve system design, converse is necessary to prove optimality
- Proving a converse is typically harder and there are very few tools available, e.g., Fano's inequality, data processing inequality, convexity
- **Gallager's identification of the auxiliary random variable:**
  - Crucial step in proof of the degraded broadcast channel converse
  - Has been used in the proof of *almost all* subsequent converses in multi-user information theory
  - I used it many times in my papers

# Broadcast Channel

T. M. Cover, "Broadcast Channels," *IEEE Trans. Info. Theory*, vol. IT-18, pp. 2-14, Jan. 1972

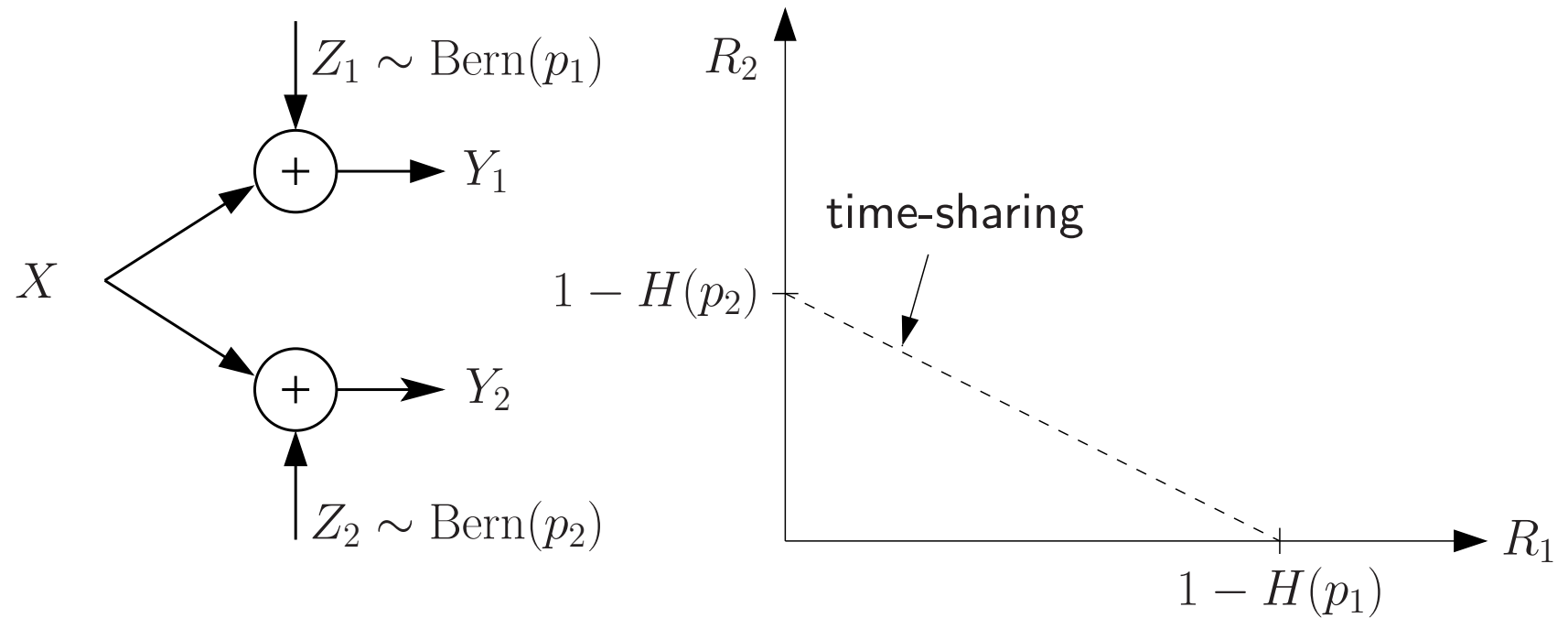
- Discrete memoryless (DM) broadcast channel  $(\mathcal{X}, p(y_1, y_2|x), \mathcal{Y}_1, \mathcal{Y}_2)$ :



- Send independent message  $W_j \in [1, 2^{nR_j}]$  to receiver  $j = 1, 2$
- Average probability of error:  $P_e^{(n)} = P\{\hat{W}_1 \neq W_1 \text{ or } \hat{W}_2 \neq W_2\}$
- $(R_1, R_2)$  achievable if there exists a sequence of codes with  $P_e^{(n)} \rightarrow 0$
- The *capacity region* is the closure of the set of achievable rates

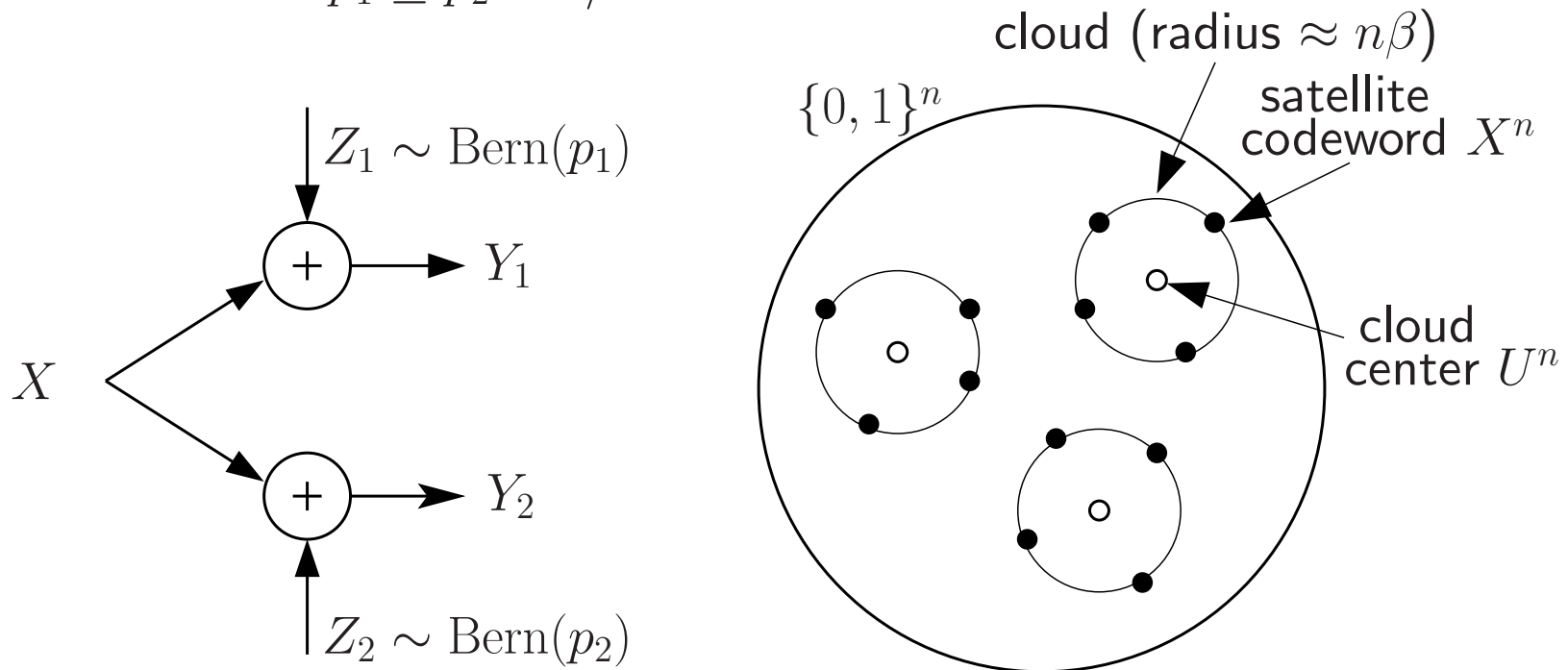
# Example 1: Binary Symmetric BC

- Assume that  $p_1 \leq p_2 < 1/2$ ,  $H(a)$ ,  $a \in [0, 1]$  binary entropy function



# Example 1: Binary Symmetric BC

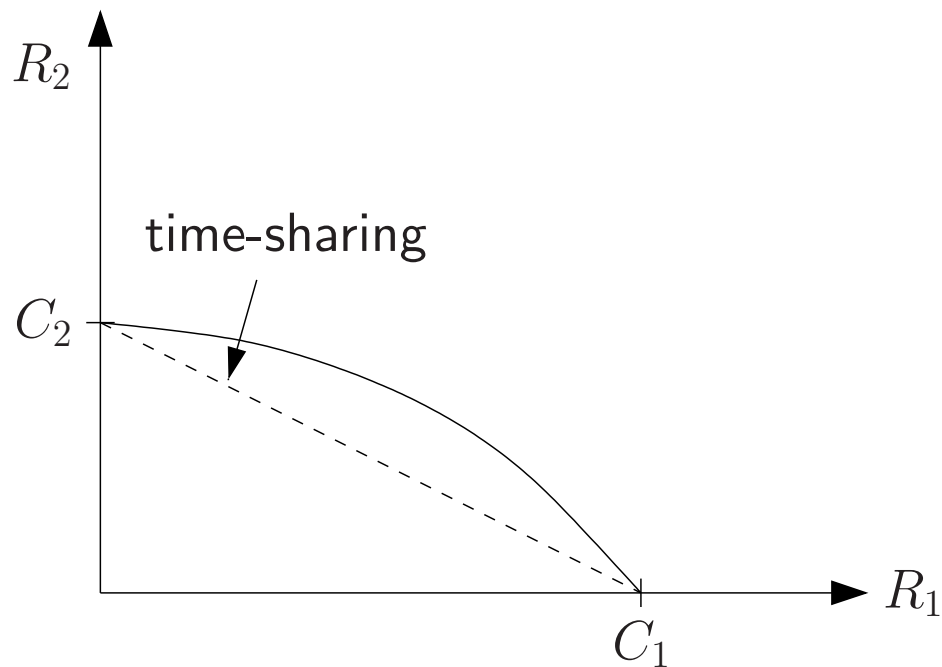
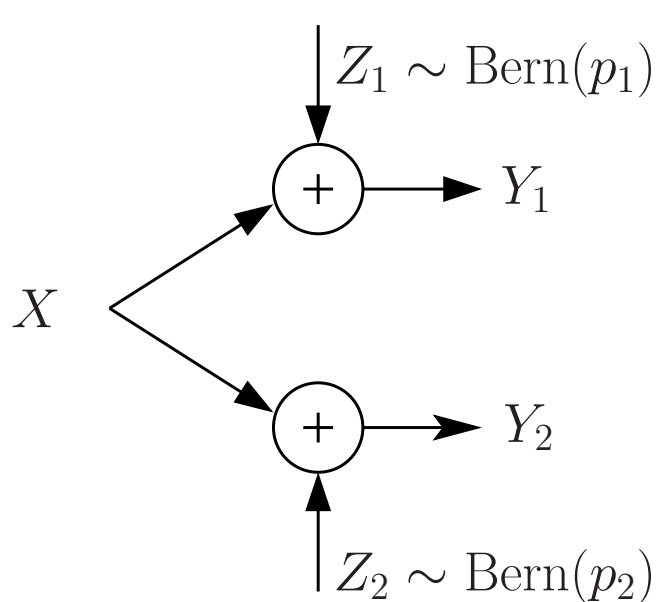
- Assume that  $p_1 \leq p_2 < 1/2$



- Superposition coding:** Use random coding. Let  $0 \leq \beta \leq 1/2$ ;  $U \sim \text{Bern}(1/2)$  and  $X' \sim \text{Bern}(\beta)$  independent,  $X = U + X'$ 
  - Generate  $2^{nR_2}$  i.i.d.  $U^n(w_2)$ ,  $w_2 \in [1, 2^{nR_2}]$  (cloud centers)
  - Generate  $2^{nR_1}$  i.i.d.  $X'^n(w_1)$ ,  $w_1 \in [1, 2^{nR_1}]$
  - Send  $X^n(w_1, w_2) = U^n(w_2) + X'^n(w_1)$  (satellite codeword)

# Example 1: Binary Symmetric BC

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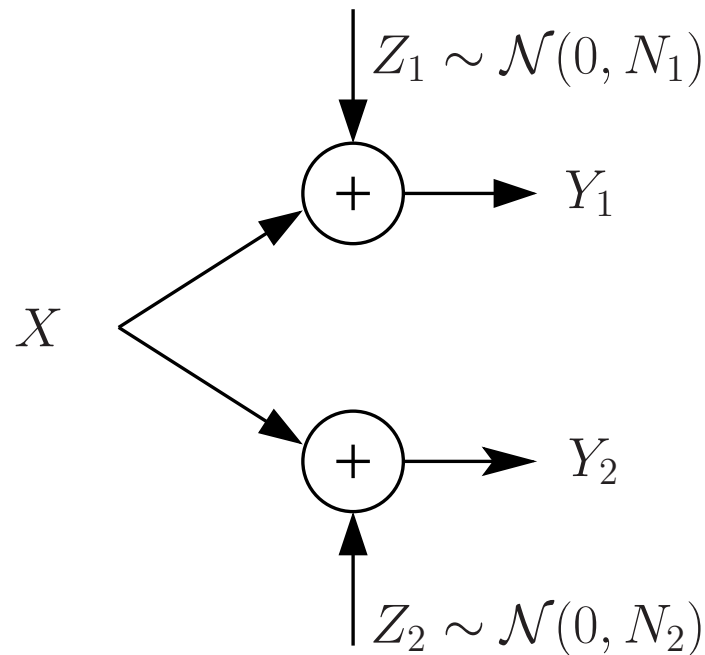
- Decoding:**

- Decoder 2 decodes  $U^n(W_2) \Rightarrow R_2 < 1 - H(\beta * p_2)$
- Decoder 1 first decodes  $U^n(W_2)$ , subtracts it off, then decodes  $X^n(W_1) \Rightarrow R_1 < H(\beta * p_1) - H(p_1)$

## Example 2: AWGN BC

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- Assume  $N_1 \leq N_2$ , average power constraint  $P$  on  $X$



- **Superposition coding:** Let  $\alpha \in [0, 1]$ ,  $U \sim \mathcal{N}(0, (1 - \alpha)P)$ ,  $X' \sim \mathcal{N}(0, \alpha P)$  independent,  $X = U + X'$ 
  - Decoder 2 decodes cloud center  $\Rightarrow R_2 \leq \mathcal{C}((1 - \alpha)P/(\alpha P + N_2))$
  - Decoder 1 decodes cloud center, subtracts it off, then decode the satellite codeword  $\Rightarrow R_1 \leq \mathcal{C}(\alpha P/N_1)$



# Degraded Broadcast Channel

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- **Stochastically degraded BC** [Cover 1972]: There exists  $p(y_2|y_1)$  such that

$$p(y_2|x) = \sum_{y_1} p(y_1|x)p(y_2|y_1)$$

- Special case of channel inclusion in:

C. E. Shannon, "A note on a partial ordering for communication channels," *Information and Control*, vol. 1, pp. 390-397, 1958

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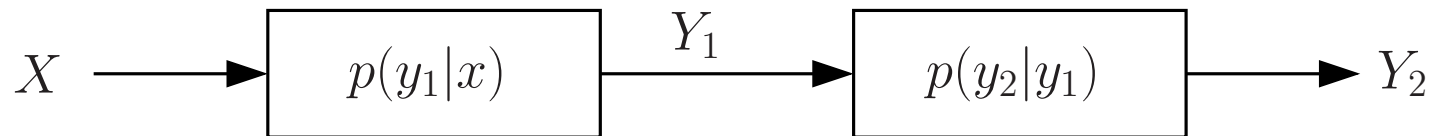
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- **Physically degraded** version [Bergmans 73]:



- Since the capacity region of *any* BC depends only on marginals  $p(y_1|x)$ ,  $p(y_2|x) \Rightarrow$  The capacity region of the degraded broadcast channel is the same as that of the physically degraded version

# Degraded Broadcast Channel

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- Cover conjectured that the capacity region is set of all  $(R_1, R_2)$  such that

$$R_2 \leq I(U; Y_2),$$
$$R_1 \leq I(X; Y_1|U),$$

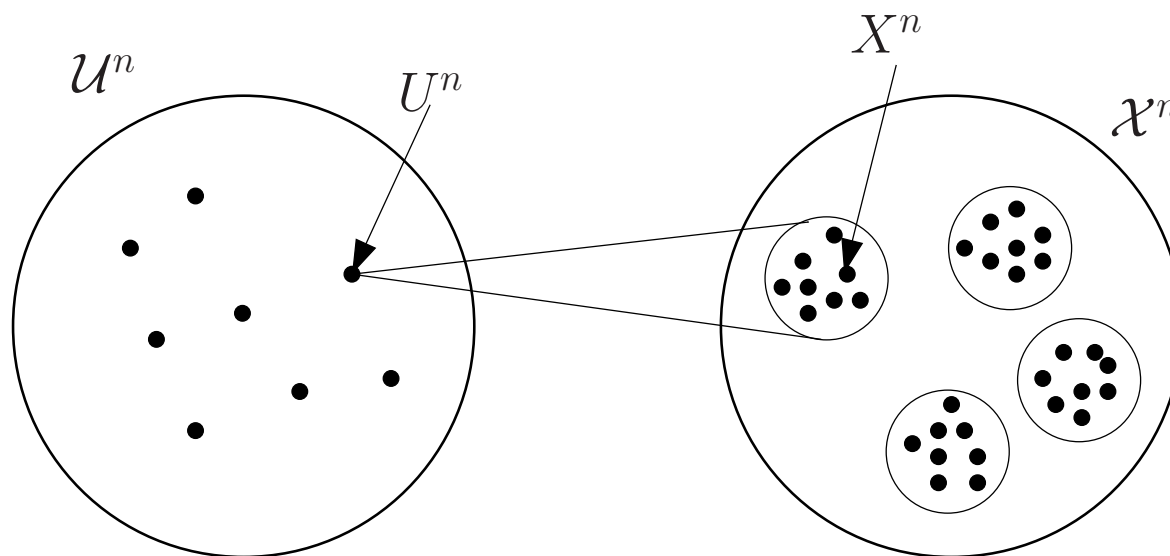
for some  $p(u, x)$

- First time an **auxiliary random variable** is used in characterizing an information theoretic limit

# Achievability

P. P. Bergmans, "Random Coding Theorem for Broadcast Channels with Degraded Components," *IEEE Trans. Info. Theory*, vol. IT-19, pp. 197-207, Mar. 1973

- Use superposition coding: Fix  $p(u)p(x|u)$



- Decoder 2 decodes the cloud center  $U^n \Rightarrow R_2 \leq I(U; Y_2)$
- Decoder 1 decodes first decodes the cloud center, then the satellite codeword  $X^n \Rightarrow R_1 \leq I(X; Y_1 | U)$

# The Converse

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**A. Wyner**, “A Theorem on the Entropy of Certain Binary Sequences and Applications: Part II” *IEEE Trans. Info. Theory*, vol. IT-10, pp. 772-777, Nov. 1973

- Proved weak converse for binary symmetric broadcast channel (used Mrs. Gerber’s Lemma)

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- Proved the converse for the AWGN BC (used Entropy Power Inequality — very similar to Wyner’s proof for binary symmetric case)

# The Converse

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**R. Gallager**, “Capacity and Coding for Degraded Broadcast Channels,” *Problemy Peredaci Informacii*, vol. 10, no. 3, pp. 3-14, July-Sept 1974

- Proved the weak converse for the discrete-memoryless degraded BC — identification of auxiliary random variable
- Established bound on cardinality of the auxiliary random variable

# Weak Converse for Shannon Channel Capacity

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- Shannon capacity:  $C = \max_{p(x)} I(X; Y)$  (only uses “channel” variables)
- Weak converse: Show that for any sequence of  $(n, R)$  codes with  $P_e^{(n)} \rightarrow 0$ ,  $R \leq C$ 
  - For each code form the empirical joint pmf:  
 $(W, X^n, Y^n) \sim p(w)p(x^n|w) \prod_{i=1}^n p(y_i|x_i)$
  - Fano’s inequality:  $H(W|Y^n) \leq nRP_e^{(n)} + H(P_e^{(n)}) = n\epsilon_n$
  - Now consider

$$\begin{aligned} nR &= I(W; Y^n) + H(W|Y^n) \\ &\leq I(W; Y^n) + n\epsilon_n \\ &\leq I(X^n; Y^n) + n\epsilon_n && \text{data processing inequality} \\ &= H(Y^n) - H(Y^n|X^n) + n\epsilon_n \\ &\leq \sum_{i=1}^n I(X_i; Y_i) + n\epsilon_n && \text{convexity, memorylessness} \\ &\leq n(C + \epsilon_n) \end{aligned}$$



# Gallager Converse

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- Show that given a sequence of  $(n, R_1, R_2)$  codes with  $P_e^{(n)} \rightarrow 0$ ,  
 $R_1 \leq I(X; Y_1|U)$ ,  $R_2 \leq I(U; Y_2)$  for some  $p(u, x)$  such that  
 $U \rightarrow X \rightarrow (Y_1, Y_2)$
- **Key is identifying  $U$** 
  - The converses for binary symmetric and AWGN BCs are direct and do not explicitly identify  $U$
- As before, by Fano's inequality

$$H(W_1|Y_1^n) \leq nR_1P_e^{(n)} + 1 = n\epsilon_{1n}$$

$$H(W_2|Y_2^n) \leq nR_2P_e^{(n)} + 1 = n\epsilon_{2n}$$

So, we have

$$nR_1 \leq I(W_1; Y_1^n) + n\epsilon_{1n},$$

$$nR_2 \leq I(W_2; Y_2^n) + n\epsilon_{2n}$$

- **A First attempt:**  $U = W_2$  (satisfies  $U \rightarrow X_i \rightarrow (Y_{i1}, Y_{2i})$ )

$$\begin{aligned}
I(W_1; Y_1^n) &\leq I(W_1; Y_1^n | W_2) \\
&= I(W_1; Y_1^n | U) \\
&= \sum_{i=1}^n I(W_1; Y_{1i} | U, Y_1^{i-1}) \quad \text{chain rule} \\
&\leq \sum_{i=1}^n (H(Y_{1i} | U) - H(Y_{1i} | U, Y_1^{i-1}, W_1)) \\
&\leq \sum_{i=1}^n (H(Y_{1i} | U) - H(Y_{1i} | U, Y_1^{i-1}, W_1, X_i)) \\
&= \sum_{i=1}^n I(X_i; Y_{1i} | U)
\end{aligned}$$

So far so good.

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&= I(W_1; Y_1^n | U) \\
&= \sum_{i=1}^n I(W_1; Y_{1i} | U, Y_1^{i-1}) \\
&\leq \sum_{i=1}^n (H(Y_{1i} | U) - H(Y_{1i} | U, Y_1^{i-1}, W_1)) \\
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&= \sum_{i=1}^n I(X_i; Y_{1i} | U)
\end{aligned}$$

So far so good. Now let's try the second inequality

$$I(W_2; Y_2^n) = \sum_{i=1}^n I(W_2; Y_{2i} | Y_2^{i-1}) = \sum_{i=1}^n I(U; Y_{2i} | Y_2^{i-1})$$

But  $I(U; Y_{2i} | Y_2^{i-1})$  is not necessarily  $\leq I(U; Y_{2i})$ , so  $U = W_2$  does not work

- **Gallager:** Ok, let's try  $U_i = W_2, Y_1^{i-1}$  ( $U_i \rightarrow X_i \rightarrow (Y_{1i}, Y_{2i})$ ), so

$$I(W_1; Y_1^n | W_2) \leq \sum_{i=1}^n I(X_i; Y_{1i} | U_i)$$

Now, let's consider the other term

$$\begin{aligned} I(W_2; Y_2^n) &\leq \sum_{i=1}^n I(W_2, Y_2^{i-1}; Y_{2i}) \\ &\leq \sum_{i=1}^n I(W_2, Y_2^{i-1}, Y_1^{i-1}; Y_{2i}) \\ &= \sum_{i=1}^n (H(Y_{2i}) - H(Y_{2i} | W_2, Y_2^{i-1}, Y_1^{i-1})) \end{aligned}$$

But  $H(Y_{2i} | W_2, Y_2^{i-1}, Y_1^{i-1})$  is not in general equal to  $H(Y_{2i} | W_2, Y_1^{i-1})$

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But  $H(Y_{2i} | W_2, Y_2^{i-1}, Y_1^{i-1})$  is not in general equal to  $H(Y_{2i} | W_2, Y_1^{i-1})$

- **Key insight:** Since capacity is the same as physically degraded, can assume  $X \rightarrow Y_1 \rightarrow Y_2$ , thus  $Y_2^{i-1} \rightarrow (W_2, Y_1^{i-1}) \rightarrow Y_{2i}$ , and

$$I(W_2; Y_2^n) \leq \sum_{i=1}^n I(U_i; Y_{2i}) \quad \text{Eureka !}$$

- Note: Proof also works with  $U_i = W_2, Y_2^{i-1}$  or  $U_i = W_2, Y_1^{i-1}, Y_2^{i-1}$  (both satisfy  $U_i \rightarrow X_i \rightarrow (Y_{1i}, Y_{2i})$ ). Let's try  $U_i = W_2, Y_2^{i-1}$

First consider

$$I(W_2; Y_2^n) \leq \sum_{i=1}^n I(W_2, Y_2^{i-1}; Y_{2i}) = \sum_{i=1}^n I(U_i; Y_{2i})$$

Now consider

$$\begin{aligned} I(W_1; Y_1^n | W_2) &= \sum_{i=1}^n I(W_1; Y_{1i} | W_2, Y_1^{i-1}) \\ &\leq \sum_{i=1}^n I(X_i; Y_{1i} | W_2, Y_1^{i-1}) \\ &= \sum_{i=1}^n (H(Y_{1i} | W_2, Y_1^{i-1}) - H(Y_{1i} | X_i)) \\ &= \sum_{i=1}^n (H(Y_{1i} | W_2, Y_1^{i-1}, Y_2^{i-1}) - H(Y_{1i} | X_i, U_i)) \\ &\leq \sum_{i=1}^n (H(Y_{1i} | W_2, Y_2^{i-1}) - H(Y_{1i} | X_i, U_i)) = \sum_{i=1}^n I(X_i; Y_{1i} | U_i) \end{aligned}$$

Thus we finally have

$$nR_1 \leq \sum_{i=1}^n I(X_i; Y_{1i}|U_i) + n\epsilon_{1n}$$
$$nR_2 \leq \sum_{i=1}^n I(U_i; Y_{2i}) + n\epsilon_{2n}$$

By convexity and letting  $n \rightarrow \infty$ , it follows that there exists  $U \rightarrow X \rightarrow Y_1 \rightarrow Y_2$  such that

$$R_1 \leq I(X; Y_1|U),$$
$$R_2 \leq I(U; Y_2)$$

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$$R_1 \leq I(X; Y_1|U) + \epsilon_{1n},$$

$$R_2 \leq I(U; Y_2) + \epsilon_{2n}$$

- But there is still a problem here;  $U$  can have unbounded cardinality, so this is *not* a computable expression
- Gallager then argues that  $|\mathcal{U}| \leq \min\{|\mathcal{X}|, |\mathcal{Y}_1|, |\mathcal{Y}_2|\}$  suffices
- Remark: Proof extends to more than 2 receivers



# Subsequent Broadcast Channel Results

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- Direct application of Gallager converse:
  - Physically degraded BC with feedback [El Gamal 1978]
  - Product and sum of degraded and reversely degraded BC [Poltyrev 1977, El Gamal 1980]

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- Direct application of Gallager converse:
  - Physically degraded BC with feedback [El Gamal 1978]
  - Product and sum of degraded and reversely degraded BC [Poltyrev 1977, El Gamal 1980]
- Gallager converse+Csizsar Lemma:
  - Less noisy [Korner, Marton 1975]:  $I(U; Y_1) \leq I(U; Y_2)$  for all  $U \rightarrow X \rightarrow (Y, Z)$
  - BC with degraded message sets [Korner, Marton 1977]
  - More capable [El Gamal 1979] ( $I(X; Y_1) \geq I(X; Y_2)$  for all  $p(x)$ )
  - Semi-deterministic BC [Gelfand-Pinsker 1980]
  - Nair-El Gamal outer bound for BC [2006]
- All BC channel converses have used Gallager converse

# Degraded Broadcast Channel With Feedback

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- Assume at time  $i$ :  $X_i = f_i(W_1, W_2, Y_1^{i-1}, Y_2^{i-1})$
- Capacity region can now depend on joint pmf  $p(y_1, y_2|x) \Rightarrow$  capacity of stochastically degraded BC with feedback not necessarily equal to that of physically degraded counterpart
- My first result was showing that feedback doesn't increase capacity of physically degraded BC [El Gamal 78]
- Converse:

$$\begin{aligned} nR_2 &\leq I(W_2; Y_2^n) + n\epsilon_n \\ &\leq \sum_{i=1}^n I(W_2, Y_2^{i-1}; Y_{2i}) + n\epsilon_n \\ &\leq \sum_{i=1}^n I(W_2, Y_1^{i-1}, Y_2^{i-1}; Y_{2i}) + n\epsilon_n \end{aligned}$$

But because of feedback,  $Y_2^{i-1}, (W_2, Y_1^{i-1}), Y_{2i}$  do not necessarily form a Markov chain, thus cannot in general get rid of  $Y_2^{i-1}$

Let  $U_i = W_2, Y_1^{i-1}, Y_2^{i-1}$  ( $U_i \rightarrow X_i \rightarrow Y_{1i} \rightarrow Y_{2i}$ )

Now consider

$$\begin{aligned}
 nR_1 &\leq I(W_1; Y_1^n | W_2) + n\epsilon_n \\
 &\leq I(W_1; Y_1^n, Y_2^n | W_2) + n\epsilon_n \\
 &= \sum_{i=1}^n I(W_1; Y_{1i}, Y_{2i} | W_2, Y_1^{i-1}, Y_2^{i-1}) + n\epsilon_n \\
 &= \sum_{i=1}^n I(W_1; Y_{1i}, Y_{2i} | U_i) + n\epsilon_n \\
 &\leq \sum_{i=1}^n I(X_i; Y_{1i}, Y_{2i} | U_i) + n\epsilon_n \\
 &= \sum_{i=1}^n I(X_i; Y_{1i} | U_i) + \sum_{i=1}^n I(X_i; Y_{2i} | Y_{1i}, U_i) + n\epsilon_n \\
 &= \sum_{i=1}^n I(X_i; Y_{1i} | U_i) + n\epsilon_n, \quad (U_i \rightarrow X_i \rightarrow Y_{1i} \rightarrow Y_{2i})
 \end{aligned}$$

- In general, feedback can enlarge the capacity region of degraded BC
- Capacity region of degraded BC with feedback is not known

# Broadcast Channel with Degraded Message Sets

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- Consider a general DM broadcast channel  $(\mathcal{X}, p(y_1, y_2|x), \mathcal{Y}_1, \mathcal{Y}_2)$
- Common message  $W_0$  is to be sent to both  $Y_1$  and  $Y_2$ , while message  $W_1$  is to be sent only to  $Y_1$
- Korner-Marton 77: The capacity region is given by the set  $\mathcal{C}_1$  of all  $(R_0, R_1)$  such that:

$$R_0 \leq I(U; Y_2)$$

$$R_1 \leq I(X; Y_1|U)$$

$$R_0 + R_1 \leq I(X; Y_1), \text{ for some } p(u, x)$$

- Achievability: Superposition coding
- Converse: Gallager-type converse doesn't seem to work:
  - The first inequality holds with either  $U_i = W_0, Y_2^{i-1}$  or  $U_i = W_0, Y_1^{i-1}, Y_2^{i-1}$ , but neither works for the second inequality
  - The second inequality works with  $U_i = W_0, Y_1^{i-1}$ , but the first doesn't

# BC with Degraded Message Sets

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- A Gallager type converse works, but needs a new ingredient [El Gamal]
- Define the set  $\mathcal{C}_2$  of all  $(R_0, R_1)$  such that:

$$R_0 \leq \min \{I(U; Y_1), I(U; Y_2)\}$$
$$R_0 + R_1 \leq \min \{I(X; Y_1), I(X; Y_1|U) + I(U; Y_2)\}, \text{ for some } p(u, x)$$

- It is easy to show that  $\mathcal{C}_2 \subseteq \mathcal{C}_1$
- Weak converse for  $\mathcal{C}_2$ : Define  $U_i = W_0, Y_1^{i-1}, Y_{2(i+1)}^n$  !!
- It is not difficult to show that

$$R_0 \leq \frac{1}{n} \min \left\{ \sum_{i=1}^n I(U_i; Y_{1i}), \sum_{i=1}^n I(U_i; Y_{2i}) \right\} + \epsilon_n, \quad R_0 + R_1 \leq \frac{1}{n} \sum_{i=1}^n I(X_i; Y_{1i}) + \epsilon_n$$

- The difficult step is to show that

$$R_0 + R_1 \leq \frac{1}{n} \sum_{i=1}^n (I(X_i; Y_{1i}|U_i) + I(U_i; Y_{2i})) + \epsilon_n$$

- To show this, consider

$$\begin{aligned}
n(R_0 + R_1) &\leq I(W_1; Y_1^n | W_0) + I(W_0; Y_2^n) + n\epsilon_n \\
&= \sum_{i=1}^n \left( I(W_1; Y_{1i} | W_0, Y_1^{i-1}) + I(W_0; Y_{2i} | Y_{2(i+1)}^n) \right) + n\epsilon_n \\
&\leq \sum_{i=1}^n \left( I(W_1, Y_{2(i+1)}^n; Y_{1i} | W_0, Y_1^{i-1}) + I(W_0, Y_{2(i+1)}^n; Y_{2i}) \right) + n\epsilon_n \\
&\leq \sum_{i=1}^n \left( I(X_i; Y_{1i} | U_i) + I(Y_{2(i+1)}^n; Y_{1i} | W_0, Y_1^{i-1}) \right) \\
&\quad + \sum_{i=1}^n \left( I(U_i; Y_{2i}) - I(Y_1^{i-1}; Y_{2i} | W_0, Y_{2(i+1)}^n) \right) + n\epsilon_n.
\end{aligned}$$

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$$\begin{aligned}
n(R_0 + R_1) &\leq I(W_1; Y_1^n | W_0) + I(W_0; Y_2^n) + n\epsilon_n \\
&= \sum_{i=1}^n \left( I(W_1; Y_{1i} | W_0, Y_1^{i-1}) + I(W_0; Y_{2i} | Y_{2(i+1)}^n) \right) + n\epsilon_n \\
&\leq \sum_{i=1}^n \left( I(W_1, Y_{2(i+1)}^n; Y_{1i} | W_0, Y_1^{i-1}) + I(W_0, Y_{2(i+1)}^n; Y_{2i}) \right) + n\epsilon_n \\
&\leq \sum_{i=1}^n \left( I(X_i; Y_{1i} | U_i) + I(Y_{2(i+1)}^n; Y_{1i} | W_0, Y_1^{i-1}) \right) \\
&\quad + \sum_{i=1}^n \left( I(U_i; Y_{2i}) - I(Y_1^{i-1}; Y_{2i} | W_0, Y_{2(i+1)}^n) \right) + n\epsilon_n.
\end{aligned}$$

- Now using the Csiszar lemma [Csiszar-Korner 1978]

$$\sum_{i=1}^n I(Y_1^{i-1}; Y_{2i} | W_0, Y_{2(i+1)}^n) = \sum_{i=1}^n I(Y_{2(i+1)}^n; Y_{1i} | W_0, Y_1^{i-1}),$$

the second and fourth terms cancel and the converse is proved

- Note: This problem is open for more than two users



# Tightest Bounds on Capacity of Broadcast Channel

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- Inner bound [Marton 79]: Set of rate triples  $(R_0, R_1, R_2)$  such that

$$R_0 \leq \min \{I(W; Y_1), I(W; Y_2)\},$$

$$R_0 + R_1 \leq I(W, U; Y_1),$$

$$R_0 + R_2 \leq I(W, V; Y_2),$$

$$R_0 + R_1 + R_2 \leq \min\{I(W, U; Y_1) + I(V; Y_2|W), I(U; Y_1|W) + I(W, V; Y_2)\} \\ - I(U; V|W),$$

for some  $p(w, u, v, x)$

- Outer bound [Nair-El Gamal 2006]: Set of rate triples  $(R_1, R_2)$  such that

$$R_0 \leq \min \{I(W; Y_1), I(W; Y_2)\}$$

$$R_0 + R_1 \leq I(W, U; Y_1)$$

$$R_0 + R_2 \leq I(W, V; Y_2)$$

$$R_0 + R_1 + R_2 \leq \min\{I(W, U; Y_1) + I(V; Y_2|W, U), I(W, V; Y_2) \\ + I(U; Y_1|W, V)\},$$

for some  $p(u, v, w, x) = p(u)p(v)p(w|u, v)p(x|u, v, w)$

# Tightest Bounds for Independent Messages

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- Inner bound: Set of all rate pairs  $(R_1, R_2)$  such that

$$R_1 \leq I(W, U; Y_1)$$

$$R_2 \leq I(W, V; Y_2)$$

$$R_1 + R_2 \leq \min\{I(W, U; Y_1) + I(V; Y_2|W), I(W, V; Y_2) + I(U; Y_1|V)\} \\ - I(U; V|W),$$

for some  $p(u, v, w, x) = p(w)p(u, v|w)p(x|u, v, w)$

- Outer bound: Set of all rate pairs  $(R_1, R_2)$  such that

$$R_1 \leq I(W, U; Y_1)$$

$$R_2 \leq I(W, V; Y_2)$$

$$R_1 + R_2 \leq \min\{I(W, U; Y_1) + I(V; Y_2|W, U), I(W, V; Y_2) + I(U; Y_1|W, V)\},$$

for some joint distribution  $p(u, v, w, x) = p(u)p(v)p(w|u, v)p(x|u, v, w)$

# Broadcast Channel: Summary

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- Marton region is tight for *all* classes of BC channels where capacity is known and contains *all* other achievable rate regions
- Nair-El Gamal region is tight for *all* classes of BC channels where capacity is known
  - Uses Gallager converse+Csizsar Lemma
- Capacity of BC is still not known in general
  - Is there a “single-letter” characterization?
  - Does it use auxiliary random variables?
  - If so, then the Gallager converse is again likely to play a key role

# Gallager Converse: Other Multi-User Channels/Sources

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- Lossless source coding with side information [Ahlsvede-Korner 1975]
- Lossy source coding with side information [Wyner-Ziv 1976]
- Channel with state known at encoder  
[Gelfand-Pinsker 1980] — uses Gallager converse + Csiszar Lemma
- MAC with partially cooperating encoders [Willems 1983]
- MAC with cribbing encoders [Willems-van der Meulen, 1985]
- Rate distortion when side information may be absent  
[Heegard-Berger 1985]
- Multi-terminal source coding with one distortion criterion  
[Berger-Yeung 1989]
- Relay without delay [El Gamal-Hassanpour 2005]
- and, many others . . .

# Conclusion

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- Gallager converse has had a *huge* impact on the development of multi-user information theory
- Gallager converse has had a *huge* impact on my work

# Conclusion

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- Gallager converse has had a *huge* impact on the development of multi-user information theory
- Gallager converse has had a *huge* impact on my work
- But, Bob, what does the identification  $U_i = W_2, Y_1^{i-1}$  really mean ?