

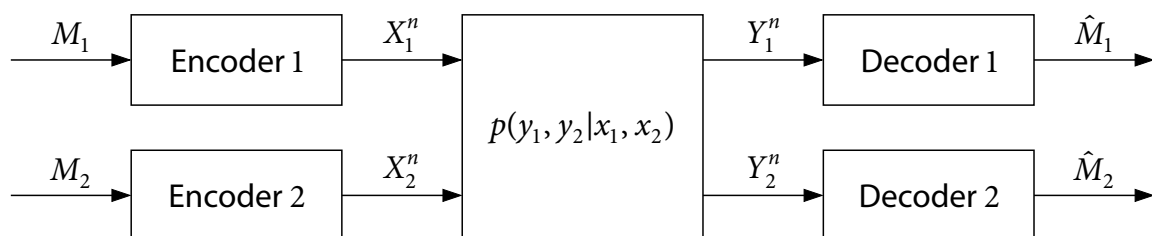
# Lecture #6 Interference Channels

(Reading: NIT 6.1–6.7)

- 
- Discrete memoryless interference channel
  - Coding schemes using point-to-point codes
  - Gaussian interference channel
  - Han–Kobayashi inner bound
  - Half-bit theorem for the Gaussian IC
  - IC with more than 2 user pairs
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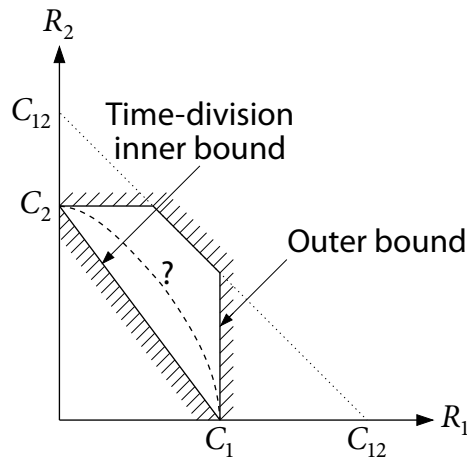
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## Two sender–receiver pair communication system



- DM interference channel (IC)  $(\mathcal{X}_1 \times \mathcal{X}_2, p(y_1, y_2 | x_1, x_2), \mathcal{Y}_1 \times \mathcal{Y}_2)$
- $(2^{nR_1}, 2^{nR_2}, n)$  code,  $P_e^{(n)}$ , achievability: Similar to MAC and BC
- **Capacity region**  $\mathcal{C}$ : Closure of the set of achievable  $(R_1, R_2)$
- As for BC,  $\mathcal{C}$  depends on  $p(y_1, y_2 | x_1, x_2)$  only through  $p(y_j | x_1, x_2), j = 1, 2$
- Capacity region is not known in general
- We study coding schemes that are optimal in some special cases

# Simple bounds on the capacity region



- Individual capacities:

$$C_1 = \max_{p(x_1, x_2)} I(X_1; Y_1 | X_2 = x_2), \quad C_2 = \max_{p(x_2, x_1)} I(X_2; Y_2 | X_1 = x_1)$$

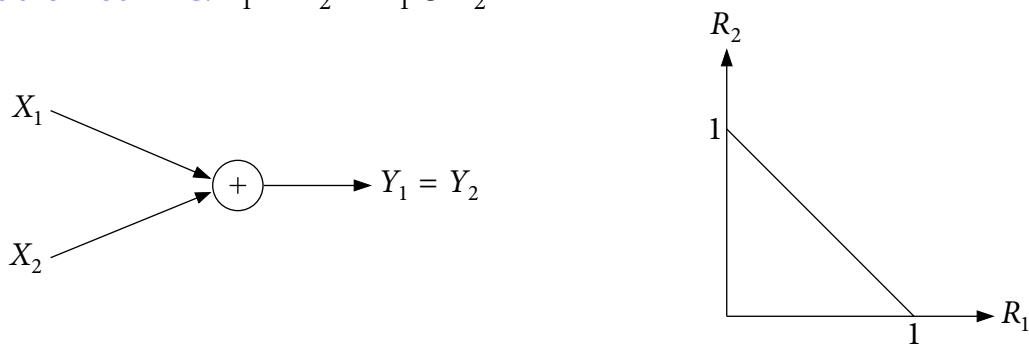
- Upper bound on the sum-rate:

$$R_1 + R_2 \leq C_{12} = \max_{p(x_1)p(x_2)} \min_{\tilde{p}(y_1, y_2 | x_1, x_2)} I(X_1, X_2; Y_1, Y_2)$$

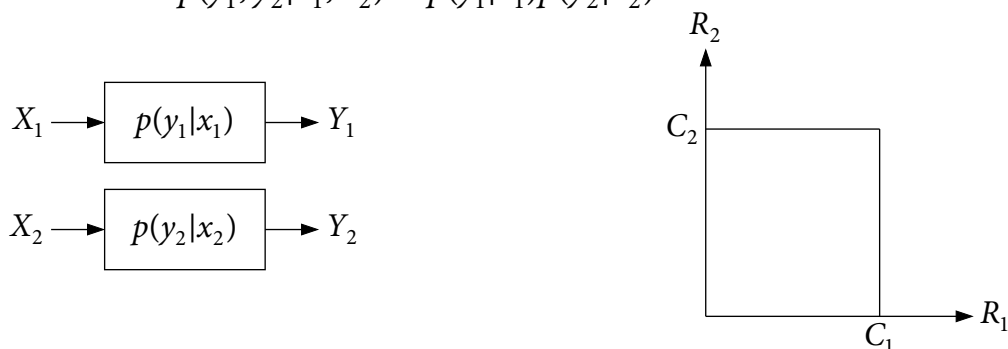
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## Examples

- Modulo-2 sum IC:  $Y_1 = Y_2 = X_1 \oplus X_2$



- No interference:  $p(y_1, y_2 | x_1, x_2) = p(y_1 | x_1)p(y_2 | x_2)$



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## Coding schemes using point-to-point codes

- **Codebook generation** (as for the MAC):
  - ▶ Fix  $p(q)p(x_1|q)p(x_2|q)$
  - ▶ Randomly generate a time-sharing sequence  $q^n \sim \prod_{i=1}^n p_Q(q_i)$
  - ▶ Conditionally independently generate  $x_1^n(m_1) \sim \prod_{i=1}^n p_{X_1|Q}(x_{1i}|q_i)$ ,  $m_1 \in [1:2^{nR_1}]$
  - ▶ Conditionally independently generate  $x_2^n(m_2) \sim \prod_{i=1}^n p_{X_2|Q}(x_{2i}|q_i)$ ,  $m_2 \in [1:2^{nR_2}]$
- **Encoding:**
  - ▶ To send message  $m_1$ , encoder 1 transmits  $x_1^n(m_1)$
  - ▶ To send message  $m_2$ , encoder 2 transmits  $x_2^n(m_2)$

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## Treating interference as noise

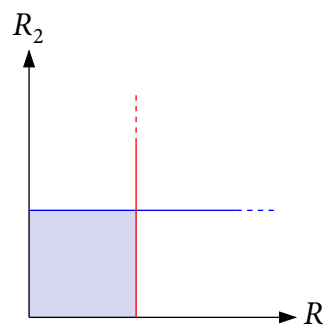
- **Decoding:** Find the unique  $\hat{m}_j$  such that

$$(q^n, x_j^n(\hat{m}_j), y_j^n) \in \mathcal{T}_\epsilon^{(n)}, \quad j = 1, 2$$

- **Interference-as-noise inner bound (IAN):**  $(R_1, R_2)$  is achievable if

$$\begin{aligned} R_1 &< I(X_1; Y_1|Q), \\ R_2 &< I(X_2; Y_2|Q) \end{aligned}$$

for some  $p(q)p(x_1|q)p(x_2|q)$



- Tight for no interference (and for the modulo-2 sum IC)
- Includes **time division** as a special case

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## Simultaneous decoding

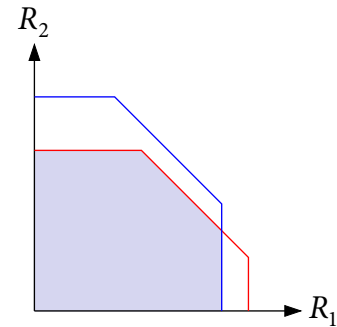
- **Decoding:** Find the unique  $(\hat{m}_1, \hat{m}_2)$  such that

$$(q^n, x_1^n(\hat{m}_1), x_2^n(\hat{m}_2), y_j^n) \in \mathcal{T}_\epsilon^{(n)}, \quad j = 1, 2$$

- **Simultaneous-decoding inner bound:**  $(R_1, R_2)$  is achievable if

$$\begin{aligned} R_1 &< \min\{I(X_1; Y_1|X_2, Q), I(X_1; Y_2|X_2, Q)\}, \\ R_2 &< \min\{I(X_2; Y_1|X_1, Q), I(X_2; Y_2|X_1, Q)\}, \\ R_1 + R_2 &< \min\{I(X_1, X_2; Y_1|Q), I(X_1, X_2; Y_2|Q)\} \end{aligned}$$

for some  $p(q)p(x_1|q)p(x_2|q)$



- Can be strictly larger than the region using (uncoded) time sharing
- Tight for the **symmetric DM-IC**  $p_{Y_1|X_1, X_2}(y|x_1, x_2) = p_{Y_2|X_1, X_2}(y|x_1, x_2)$

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## Simultaneous nonunique decoding

- **Decoding:** Find the unique  $\hat{m}_1$  such that

$$(q^n, x_1^n(\hat{m}_1), x_2^n(m_2), y_1^n) \in \mathcal{T}_\epsilon^{(n)} \quad \text{for some } m_2$$

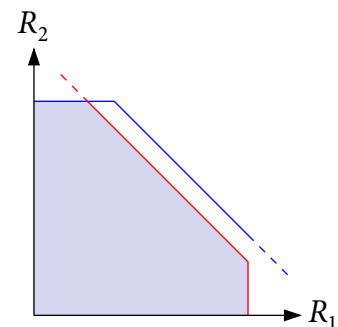
Find the unique  $\hat{m}_2$  such that

$$(q^n, x_1^n(m_1), x_2^n(\hat{m}_2), y_2^n) \in \mathcal{T}_\epsilon^{(n)} \quad \text{for some } m_1$$

- **Simultaneous-nonunique-decoding inner bound:**  $(R_1, R_2)$  is achievable if

$$\begin{aligned} R_1 &< I(X_1; Y_1|X_2, Q), \\ R_2 &< I(X_2; Y_2|X_1, Q), \\ R_1 + R_2 &< \min\{I(X_1, X_2; Y_1|Q), I(X_1, X_2; Y_2|Q)\} \end{aligned}$$

for some  $p(q)p(x_1|q)p(x_2|q)$



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## Analysis of the probability of error

- Consider  $P(\mathcal{E})$  conditioned on  $(M_1, M_2) = (1, 1)$
- Error events for decoder 1:

$$\mathcal{E}_1 = \{(Q^n, X_1^n(1), X_2^n(1), Y_1^n) \notin \mathcal{T}_\epsilon^{(n)}\},$$
$$\mathcal{E}_2 = \{(Q^n, X_1^n(m_1), X_2^n(m_2), Y_1^n) \in \mathcal{T}_\epsilon^{(n)} \text{ for some } m_1 \neq 1, m_2\}$$

- By the LLN,  $P(\mathcal{E}_1) \rightarrow 0$
- Decompose  $\mathcal{E}_2$  into

$$\mathcal{E}_{21} = \{(Q^n, X_1^n(m_1), X_2^n(1), Y_1^n) \in \mathcal{T}_\epsilon^{(n)} \text{ for some } m_1 \neq 1\},$$
$$\mathcal{E}_{22} = \{(Q^n, X_1^n(m_1), X_2^n(m_2), Y_1^n) \in \mathcal{T}_\epsilon^{(n)} \text{ for some } m_1 \neq 1, m_2 \neq 1\}$$

- By the packing lemma,

$$P(\mathcal{E}_{21}) \rightarrow 0 \text{ if } R_1 < I(X_1; Y_1 | X_2, Q),$$
$$P(\mathcal{E}_{22}) \rightarrow 0 \text{ if } R_1 + R_2 < I(X_1, X_2; Y_1 | Q)$$

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## Strong interference

- **Very strong interference** if

$$I(X_1; Y_1 | X_2) \leq I(X_1; Y_2),$$
$$I(X_2; Y_2 | X_1) \leq I(X_2; Y_1)$$

for all  $p(x_1)p(x_2)$

- **Strong interference** if

$$I(X_1; Y_1 | X_2) \leq I(X_1; Y_2 | X_2),$$
$$I(X_2; Y_2 | X_1) \leq I(X_2; Y_1 | X_1)$$

for all  $p(x_1)p(x_2)$

► Note similarity to **more capable** notion for BC

- Very strong  $\Rightarrow$  strong, but converse does not hold in general
- Example:  $X_1, X_2 \in \{0, 1\}$  and  $Y_1 = Y_2 = X_1 + X_2 \in \{0, 1, 2\}$   
This channel satisfies strong interference, but not very strong interference

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# Strong interference

## Theorem 6.1 (Costa–El Gamal 1987)

The capacity region with strong interference is the set of  $(R_1, R_2)$  such as

$$\begin{aligned} R_1 &\leq I(X_1; Y_1 | X_2, Q), \\ R_2 &\leq I(X_2; Y_2 | X_1, Q), \\ R_1 + R_2 &\leq \min\{I(X_1, X_2; Y_1 | Q), I(X_1, X_2; Y_2 | Q)\} \end{aligned}$$

for some  $p(q)p(x_1|q)p(x_2|q)$ , where  $|Q| \leq 4$

- Achievability: **simultaneous nonunique decoding**
- Converse:  $I(X_1^n; Y_1^n | X_2^n) \leq I(X_1^n; Y_2^n | X_2^n)$  for all  $(X_1^n, X_2^n) \sim p(x_1^n)p(x_2^n)$  and all  $n \geq 1$
- Capacity region with very strong interference: Set of  $(R_1, R_2)$  such that

$$\begin{aligned} R_1 &\leq I(X_1; Y_1 | X_2, Q), \\ R_2 &\leq I(X_2; Y_2 | X_1, Q) \end{aligned}$$

for some  $p(q)p(x_1|q)p(x_2|q)$ , where  $|Q| \leq 2$

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## More on simultaneous nonunique decoding

- SND: Find the unique  $\hat{m}_1$  such that  $(q^n, x_1^n(\hat{m}_1), x_2^n(m_2), y_1^n) \in \mathcal{T}_e^{(n)}$  for some  $m_2$
- Recall the error events for decoder 1 (when  $(M_1, M_2) = (1, 1)$ ):

$$\begin{aligned} \mathcal{E}_1 &= \{(Q^n, X_1^n(1), X_2^n(1), Y_1^n) \notin \mathcal{T}_e^{(n)}\}, \\ \mathcal{E}_2 &= \{(Q^n, X_1^n(m_1), X_2^n(m_2), Y_1^n) \in \mathcal{T}_e^{(n)} \text{ for some } m_1 \neq 1, m_2\} \end{aligned}$$

- Since  $(Q^n, X_1^n(m_1), X_2^n(m_2), Y_1^n) \in \mathcal{T}_e^{(n)} \Rightarrow (Q^n, X_1^n(m_1), Y_1^n) \in \mathcal{T}_e^{(n)}$  for every  $m_2$ ,

$$\mathcal{E}_2 \subseteq \mathcal{E}_2' = \{(Q^n, X_1^n(m_1), Y_1^n) \in \mathcal{T}_e^{(n)} \text{ for some } m_1 \neq 1\}$$

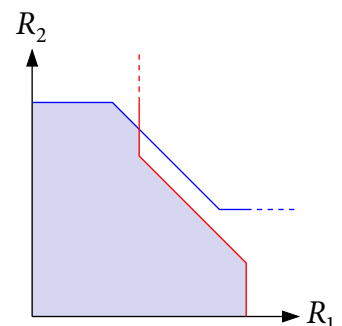
- By the packing lemma,  $P(\mathcal{E}_2') \rightarrow 0$  if  $R_1 < I(X_1; Y_1 | Q)$  (**SND includes IAN**)
- **Strengthened inequalities** (Bandemer–El Gamal–Kim 2012):

$$R_1 < I(X_1; Y_1 | X_2, Q), \quad R_1 + R_2 < I(X_1, X_2; Y_1 | Q)$$

or

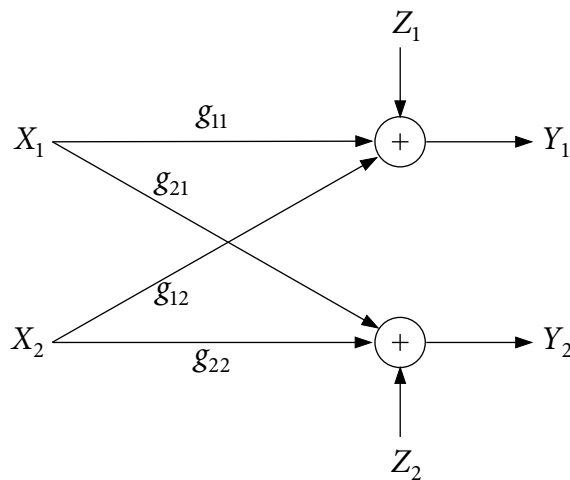
$$R_1 < I(X_1; Y_1 | Q)$$

- Similar inequalities for decoder 2



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# Gaussian interference channel



- $Z_j \sim N(0, 1)$  for  $j = 1, 2$
- Power constraint  $P$  of  $X_1$  and on  $X_2$
- Signal-to-noise ratios (SNRs)  $S_1 = g_{11}^2 P$ ,  $S_2 = g_{22}^2 P$
- Interference-to-noise ratios (INRs)  $I_1 = g_{12}^2 P$  and  $I_2 = g_{21}^2 P$

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## Coding schemes using point-to-point codes

- Treating interference as noise
  - ▶ Very difficult to evaluate (Gaussian is not optimal)
- Consider two special cases:
  - ▶ Time division with power control:  $P\{Q = 1\} = \alpha$ ,  $P\{Q = 2\} = \bar{\alpha}$ ,  
 $X_1|Q = 1 \sim N(0, P/\alpha)$ ,  $X_2|Q = 2 = 0$ ,  
 $X_2|Q = 1 = 0$ ,  $X_1|Q = 2 \sim N(0, P/\bar{\alpha})$

Achieves set of  $(R_1, R_2)$  such that

$$R_1 < \alpha C(S_1/\alpha),$$

$$R_2 < \bar{\alpha} C(S_2/\bar{\alpha}) \quad \text{for some } \alpha \in [0, 1]$$

- ▶ Treat interference as (Gaussian) noise:  $Q = \emptyset$ ,  $X_j \sim N(0, P)$ ,  $j = 1, 2$   
 Achieves set of  $(R_1, R_2)$  such that

$$R_1 < C(S_1/(1 + I_1)),$$

$$R_2 < C(S_2/(1 + I_2))$$

Sum-rate optimal under weak interference (see NIT 6.4.3)

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# Coding schemes using point-to-point codes

- **Simultaneous nonunique decoding:**  $(R_1, R_2)$  achievable if

$$\begin{aligned} R_1 &< C(S_1), \\ R_2 &< C(S_2), \\ R_1 + R_2 &< \min\{C(S_1 + I_1), C(S_2 + I_2)\} \end{aligned}$$

- Theorem 6.2 (Sato 1981): Optimal under **strong interference:**  $I_2 \geq S_1$  and  $I_1 \geq S_2$

- ▶ Need to show:

$$I_2 \geq S_1 \text{ and } I_1 \geq S_2 \text{ iff } I(X_1; Y_1|X_2) \leq I(X_1; Y_2|X_2) \text{ and } I(X_2; Y_2|X_1) \leq I(X_2; Y_1|X_1)$$

- Optimal under **very strong interference:**  $S_2 \leq I_1/(1 + S_1)$  and  $S_1 \leq I_2/(1 + S_2)$   
Capacity region is set of  $(R_1, R_2)$  such that

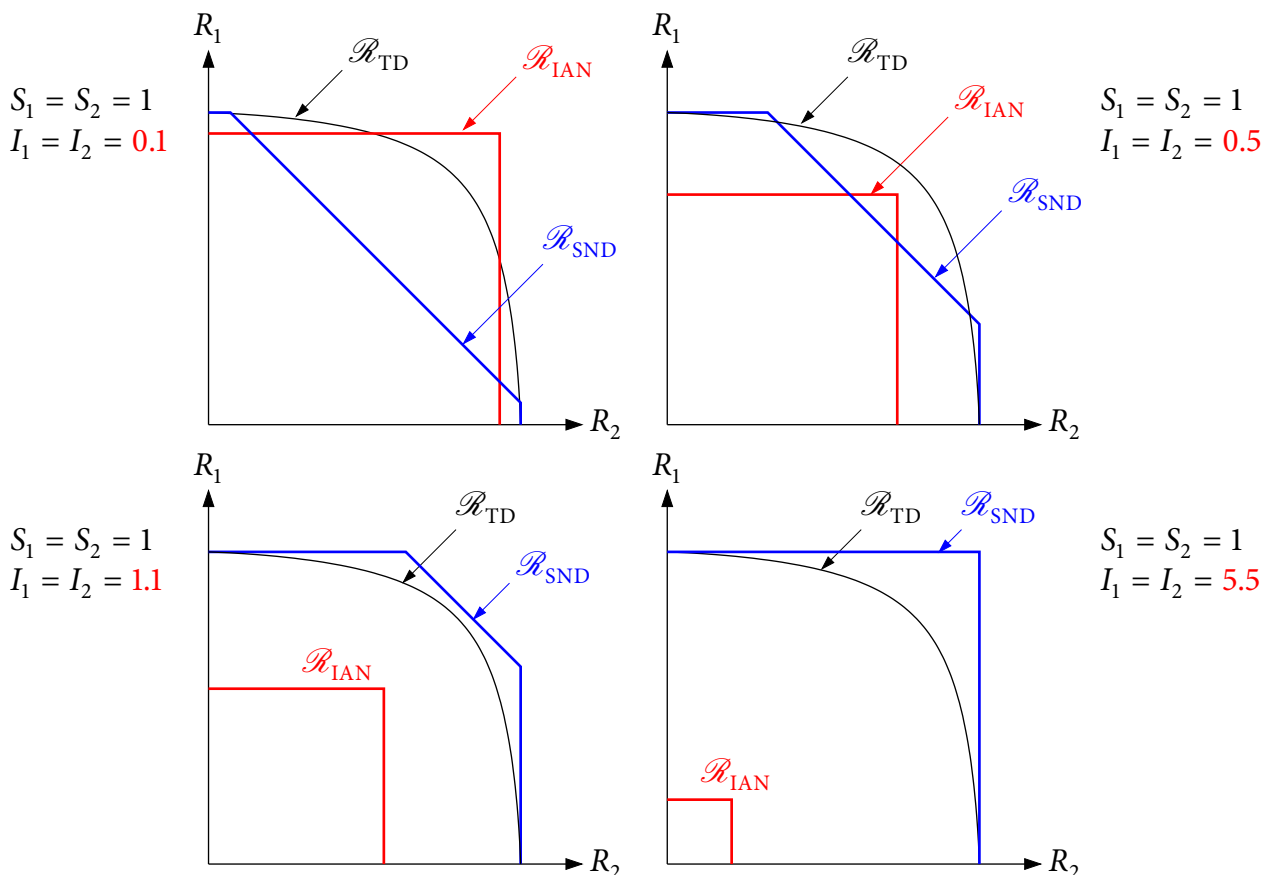
$$\begin{aligned} R_1 &< C(S_1), \\ R_2 &< C(S_2) \end{aligned}$$

- ▶ Interference does not impair communication!

- Remark: As for the DM case, SND region can be improved to include IAN

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## Comparison of coding schemes

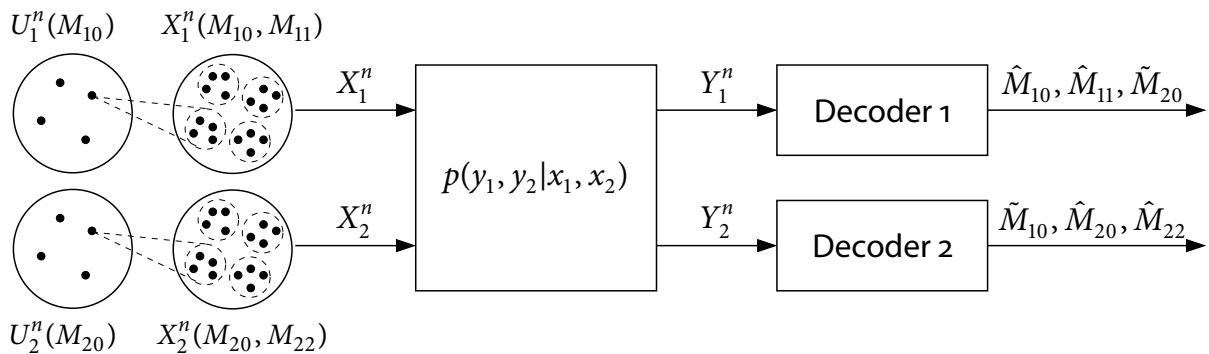


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# Han–Kobayashi coding scheme

- Point-to-point codes are good for two extreme cases:
  - ▶ Strong interference: Decode interference
  - ▶ Weak interference: Treat interference as noise
- H–K coding scheme: Decode part of interference, treat rest as noise
- Key new idea: **Rate splitting** ( $M_1 = (M_{10}, M_{11})$  and  $M_2 = (M_{20}, M_{22})$ )
- Superposition coding of **public message**  $M_{j0}$  and **private message**  $M_{jj}$



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# Han–Kobayashi inner bound

## Theorem 6.4 (Han–Kobayashi 1981)

$(R_1, R_2)$  is achievable if

$$R_1 < I(X_1; Y_1 | U_2, Q),$$

$$R_2 < I(X_2; Y_2 | U_1, Q),$$

$$R_1 + R_2 < I(X_1, U_2; Y_1 | Q) + I(X_2; Y_2 | U_1, U_2, Q),$$

$$R_1 + R_2 < I(X_1; Y_1 | U_1, U_2, Q) + I(X_2, U_1; Y_2 | Q),$$

$$R_1 + R_2 < I(X_1, U_2; Y_1 | U_1, Q) + I(X_2, U_1; Y_2 | U_2, Q),$$

$$2R_1 + R_2 < I(X_1, U_2; Y_1 | Q) + I(X_1; Y_1 | U_1, U_2, Q) + I(X_2, U_1; Y_2 | U_2, Q),$$

$$R_1 + 2R_2 < I(X_2, U_1; Y_2 | Q) + I(X_2; Y_2 | U_1, U_2, Q) + I(X_1, U_2; Y_1 | U_1, Q)$$

for some  $p(q)p(u_1, x_1 | q)p(u_2, x_2 | q)$ , where  $|\mathcal{U}_1| \leq |\mathcal{X}_1| + 4$ ,  $|\mathcal{U}_2| \leq |\mathcal{X}_2| + 4$ ,  $|\mathcal{Q}| \leq 7$

- Interference-as-noise inner bound: Set  $U_j = \emptyset$
- Simultaneous-nonunique-decoding inner bound: Set  $U_j = X_j$

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# Proof of the Han–Kobayashi inner bound

- **Codebook generation:** Rate splitting, superposition coding, coded time-sharing

- **Encoding:** Encoder  $j = 1, 2$  transmits  $x_j^n(m_{j0}, m_{jj})$

- **Decoding:** Use simultaneous nonunique decoding:

- ▶ Decoder 1 finds unique  $(\hat{m}_{10}, \hat{m}_{11})$  such that

$$(q^n, u_1^n(\hat{m}_{10}), u_2^n(m_{20}), x_1^n(\hat{m}_{10}, \hat{m}_{11}), y_1^n) \in \mathcal{T}_\epsilon^{(n)} \text{ for some } m_{20} \in [1 : 2^{nR_{20}}]$$

- ▶ Decoder 2 finds unique  $(\hat{m}_{20}, \hat{m}_{22})$  such that

$$(q^n, u_1^n(m_{10}), u_2^n(\hat{m}_{20}), x_2^n(\hat{m}_{20}, \hat{m}_{22}), y_2^n) \in \mathcal{T}_\epsilon^{(n)} \text{ for some } m_{10} \in [1 : 2^{nR_{20}}]$$

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## Analysis of the probability of error

- Assume message pair  $((1, 1), (1, 1))$  is sent
- Consider the average probability of error for decoder 1:

	$m_{10}$	$m_{20}$	$m_{11}$	Joint pmf
1	1	1	1	$p(u_1^n, x_1^n)p(u_2^n)p(y_1^n x_1^n, u_2^n)$
2	1	1	*	$p(u_1^n, x_1^n)p(u_2^n)p(y_1^n u_1^n, u_2^n)$
3	*	1	*	$p(u_1^n, x_1^n)p(u_2^n)p(y_1^n u_2^n)$
4	*	1	1	$p(u_1^n, x_1^n)p(u_2^n)p(y_1^n u_2^n)$
5	1	*	*	$p(u_1^n, x_1^n)p(u_2^n)p(y_1^n u_1^n)$
6	*	*	1	$p(u_1^n, x_1^n)p(u_2^n)p(y_1^n)$
7	*	*	*	$p(u_1^n, x_1^n)p(u_2^n)p(y_1^n)$
8	1	*	1	$p(u_1^n, x_1^n)p(u_2^n)p(y_1^n x_1^n)$

- Cases 3,4 and 6,7 share same pmf, and case 8 does not cause an error

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## Proof of the Han–Kobayashi inner bound

- The probability of error  $\rightarrow 0$  as  $n \rightarrow \infty$  for decoder 1 if:

$$\begin{aligned} R_{11} &< I(X_1; Y_1 | U_1, U_2, Q), \\ R_{11} + R_{10} &< I(X_1; Y_1 | U_2, Q), \\ R_{11} + R_{20} &< I(X_1, U_2; Y_1 | U_1, Q), \\ R_{11} + R_{10} + R_{20} &< I(X_1, U_2; Y_1 | Q) \end{aligned}$$

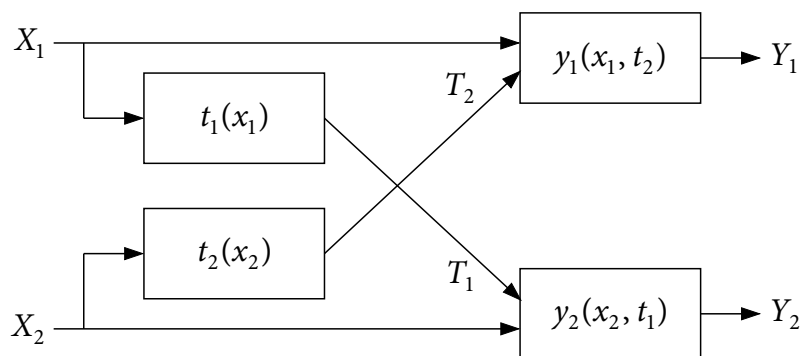
- Following similar steps for decoder 2, its probability of error  $\rightarrow 0$  as  $n \rightarrow \infty$  if:

$$\begin{aligned} R_{22} &< I(X_2; Y_2 | U_1, U_2, Q), \\ R_{22} + R_{20} &< I(X_2; Y_2 | U_1, Q), \\ R_{22} + R_{10} &< I(X_2, U_1; Y_2 | U_2, Q), \\ R_{22} + R_{20} + R_{10} &< I(X_2, U_1; Y_2 | Q) \end{aligned}$$

- Fourier–Motzkin elimination** finds the set of  $(R_1, R_2) = (R_{10} + R_{11}, R_{20} + R_{22})$   
(Read **NIT Appendix D**)

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## Injective deterministic IC (El Gamal–Costa 1982)



- For every  $x_1$ ,  $y_1(x_1, t_2)$  is a **one-to-one** function of  $t_2$
- For every  $x_2$ ,  $y_2(x_2, t_1)$  is a one-to-one function of  $t_1$
- In other words,  $H(Y_1 | X_1) = H(T_2)$  and  $H(Y_2 | X_2) = H(T_1)$  for all  $p(x_1)p(x_2)$

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# Injective deterministic IC (El Gamal–Costa 1982)

## Theorem 6.5

The capacity region of the injective deterministic IC is the set of  $(R_1, R_2)$  such that

$$R_1 \leq H(Y_1|T_2, Q),$$

$$R_2 \leq H(Y_2|T_1, Q),$$

$$R_1 + R_2 \leq H(Y_1|Q) + H(Y_2|T_1, T_2, Q),$$

$$R_1 + R_2 \leq H(Y_1|T_1, T_2, Q) + H(Y_2|Q),$$

$$R_1 + R_2 \leq H(Y_1|T_1, Q) + H(Y_2|T_2, Q),$$

$$2R_1 + R_2 \leq H(Y_1|Q) + H(Y_1|T_1, T_2, Q) + H(Y_2|T_2, Q),$$

$$R_1 + 2R_2 \leq H(Y_2|Q) + H(Y_2|T_1, T_2, Q) + H(Y_1|T_1, Q)$$

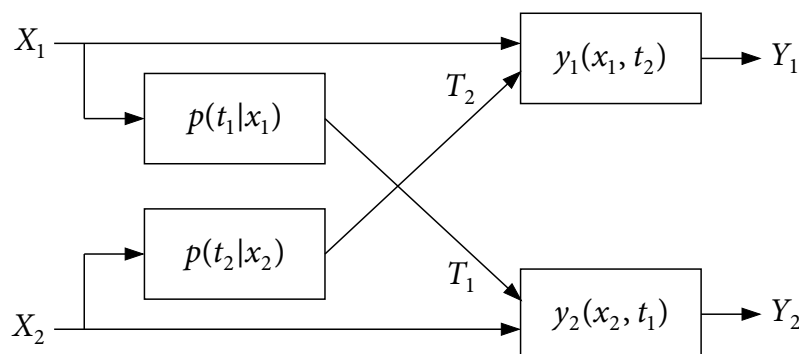
for some  $p(q)p(x_1|q)p(x_2|q)$

- Achievability: **Han–Kobayashi** ( $U_j = T_j, j = 1, 2$ )
- Converse: **Genie argument** ( $T_j \rightarrow Y_j, j = 1, 2$ )
- A special case turned out to be good model for Gaussian IC in high SNR (Avestimehr–Diggavi–Tse 2011)

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## Half-bit theorem for the Gaussian IC

- Han–Kobayashi inner bound **within 1/2 bit** per dimension from capacity region
- To prove this, consider the **injective semideterministic IC** (Telatar–Tse 2007)



- ▶ For every  $x_1, y_1(x_1, t_2)$  is a one-to-one function of  $t_2$
- ▶ For every  $x_2, y_2(x_2, t_1)$  is a one-to-one function of  $t_1$
- **Gaussian IC** is a special case:

$$T_1 = g_{21}X_1 + Z_2, \quad T_2 = g_{12}X_2 + Z_1,$$

$$Y_1 = g_{11}X_1 + T_2, \quad Y_2 = g_{22}X_2 + T_1$$

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## Injective semideterministic IC: Outer bound $\mathcal{R}_o$

### Proposition 6.1 (Telatar–Tse 2007)

If a rate pair  $(R_1, R_2)$  is achievable, then

$$\begin{aligned}
 R_1 &\leq H(Y_1|X_2, Q) - H(T_2|X_2, Q), \\
 R_2 &\leq H(Y_2|X_1, Q) - H(T_1|X_1, Q), \\
 R_1 + R_2 &\leq H(Y_1|Q) + H(Y_2|X_1, U_2, Q) - H(T_1|X_1, Q) - H(T_2|X_2, Q), \\
 R_1 + R_2 &\leq H(Y_1|U_1, X_2, Q) + H(Y_2|Q) - H(T_1|X_1, Q) - H(T_2|X_2, Q), \\
 R_1 + R_2 &\leq H(Y_1|U_1, Q) + H(Y_2|U_2, Q) - H(T_1|X_1, Q) - H(T_2|X_2, Q), \\
 2R_1 + R_2 &\leq H(Y_1|Q) + H(Y_1|U_1, X_2, Q) + H(Y_2|U_2, Q) \\
 &\quad - H(T_1|X_1, Q) - 2H(T_2|X_2, Q), \\
 R_1 + 2R_2 &\leq H(Y_2|Q) + H(Y_2|U_2, X_1, Q) + H(Y_1|U_1, Q) \\
 &\quad - 2H(T_1|X_1, Q) - H(T_2|X_2, Q)
 \end{aligned}$$

for some  $p(q)p(x_1|q)p(x_2|q)p_{T_1|X_1}(u_1|x_1)p_{T_2|X_2}(u_2|x_2)$

- Proof: [Genie argument](#) ( $U_j \rightarrow Y_j$ )

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## Injective semideterministic IC: Inner bound $\mathcal{R}_i$

### Proposition 6.2 (Telatar–Tse 2007)

A rate pair  $(R_1, R_2)$  is achievable if

$$\begin{aligned}
 R_1 &< H(Y_1|U_2, Q) - H(T_2|U_2, Q), \\
 R_2 &< H(Y_2|U_1, Q) - H(T_1|U_1, Q), \\
 R_1 + R_2 &< H(Y_1|Q) + H(Y_2|U_1, U_2, Q) - H(T_1|U_1, Q) - H(T_2|U_2, Q), \\
 R_1 + R_2 &< H(Y_1|U_1, U_2, Q) + H(Y_2|Q) - H(T_1|U_1, Q) - H(T_2|U_2, Q), \\
 R_1 + R_2 &< H(Y_1|U_1, Q) + H(Y_2|U_2, Q) - H(T_1|U_1, Q) - H(T_2|U_2, Q), \\
 2R_1 + R_2 &< H(Y_1|Q) + H(Y_1|U_1, U_2, Q) + H(Y_2|U_2, Q) \\
 &\quad - H(T_1|U_1, Q) - 2H(T_2|U_2, Q), \\
 R_1 + 2R_2 &< H(Y_2|Q) + H(Y_2|U_1, U_2, Q) + H(Y_1|U_1, Q) \\
 &\quad - 2H(T_1|U_1, Q) - H(T_2|U_2, Q)
 \end{aligned}$$

for some  $p(q)p(x_1|q)p(x_2|q)p_{T_1|X_1}(u_1|x_1)p_{T_2|X_2}(u_2|x_2)$

- Proof: [Han–Kobayashi](#) with  $p(u_j|x_j, q) = p_{T_j|X_j}(u_j|x_j)$

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## Half-bit theorem for the Gaussian IC

### Lemma 6.3 (Telatar–Tse 2007)

If  $(R_1, R_2) \in \mathcal{R}_o(Q, X_1, X_2)$ , then

$$(R_1 - I(X_2; T_2 | U_2, Q), R_2 - I(X_1; T_1 | U_1, Q)) \in \mathcal{R}_i(Q, X_1, X_2)$$

### Theorem 6.6 (Etkin–Tse–Wang 2008)

If  $(R_1, R_2) \in \mathcal{R}_o(Q, X_1, X_2)$ , then

$$(R_1 - 1/2, R_2 - 1/2) \in \mathcal{R}_i(Q, X_1, X_2)$$

- Proof: We have

$$T_1 = g_{21}X_1 + Z_2, \quad T_2 = g_{12}X_2 + Z_1,$$

$$U_1 = g_{21}X_1 + Z'_2, \quad U_2 = g_{12}X_2 + Z'_1$$

$Z'_j \sim N(0, 1)$  and  $Z_j \sim N(0, 1), j = 1, 2$  are independent. Then

$$I(X_j; T_j | U_j, Q) = h(T_j | U_j, Q) - h(T_j | U_j, X_j, Q) \leq h(T_j - U_j) - h(Z_j) = \frac{1}{2}$$

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## Interference channels with more than two user pairs

- IC with  $> 2$  user pairs much less understood, e.g.,
  - ▶ We don't know how to extend strong interference
- Straightforward extension of H–K for  $> 2$  user pairs can be improved:
  - ▶ Decoding **combined interference** instead of individual interfering signals (Bresler–Parekh–Tse 2010, Bandemer–El Gamal 2011)
  - ▶ Designing code so that combined interference is **aligned** (Cadambe–Jafar 2008)

## Summary

- Discrete memoryless interference channel (DM-IC)
- Simultaneous nonunique decoding is optimal under strong interference
- Han–Kobayashi coding scheme:
  - ▶ Rate splitting and superposition coding
  - ▶ Fourier–Motzkin elimination
  - ▶ Optimal for injective deterministic ICs
- Gaussian interference channel:
  - ▶ Capacity region under strong interference achieved via simultaneous decoding
  - ▶ Sum-capacity under weak interference achieved by treating interference as noise
  - ▶ Han–Kobayashi coding scheme achieves within half a bit of the capacity region

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