

# **A 3D Multi-Aperture Image Sensor Architecture**

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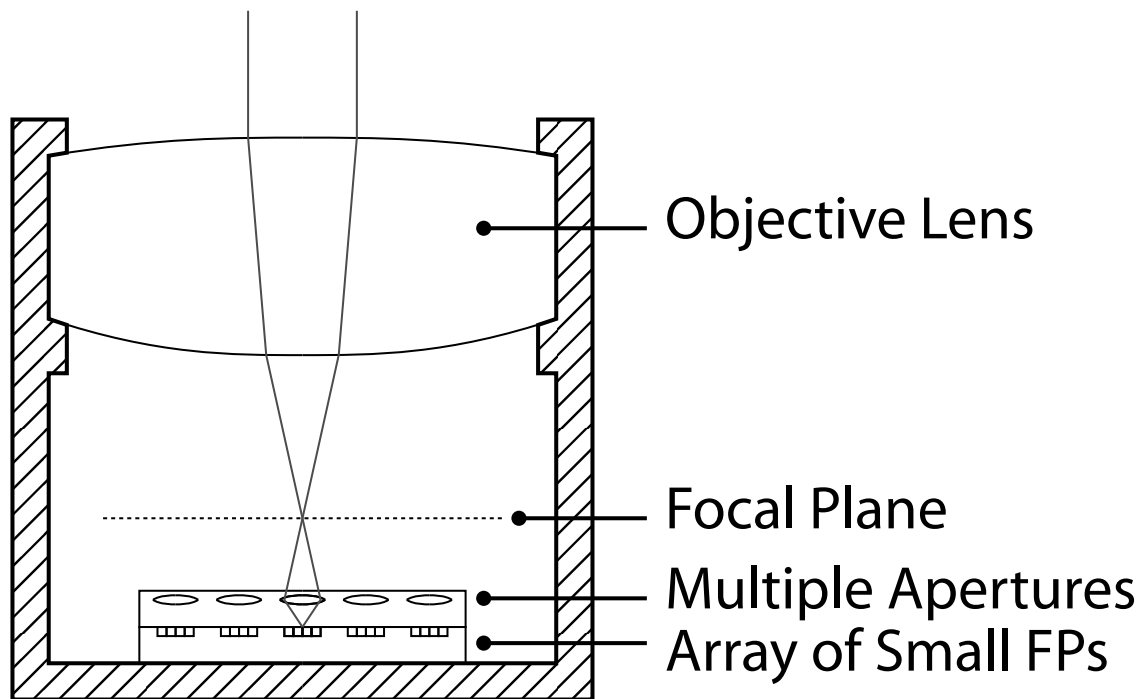
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# Outline

- **Multi-Aperture system overview**
- **Sensor architecture and operation**
- **Image extraction**
- **Calculation of depth and resolution**
- **Sensor and System parameters**
- **Circuit Implementation**

# Multi-Aperture System

- Scene focused via objective lens above detector plane
- Re-imaged via local optics onto disjoint arrays
- Arrays have overlapping fields of view
- Image is formed using digital signal processing

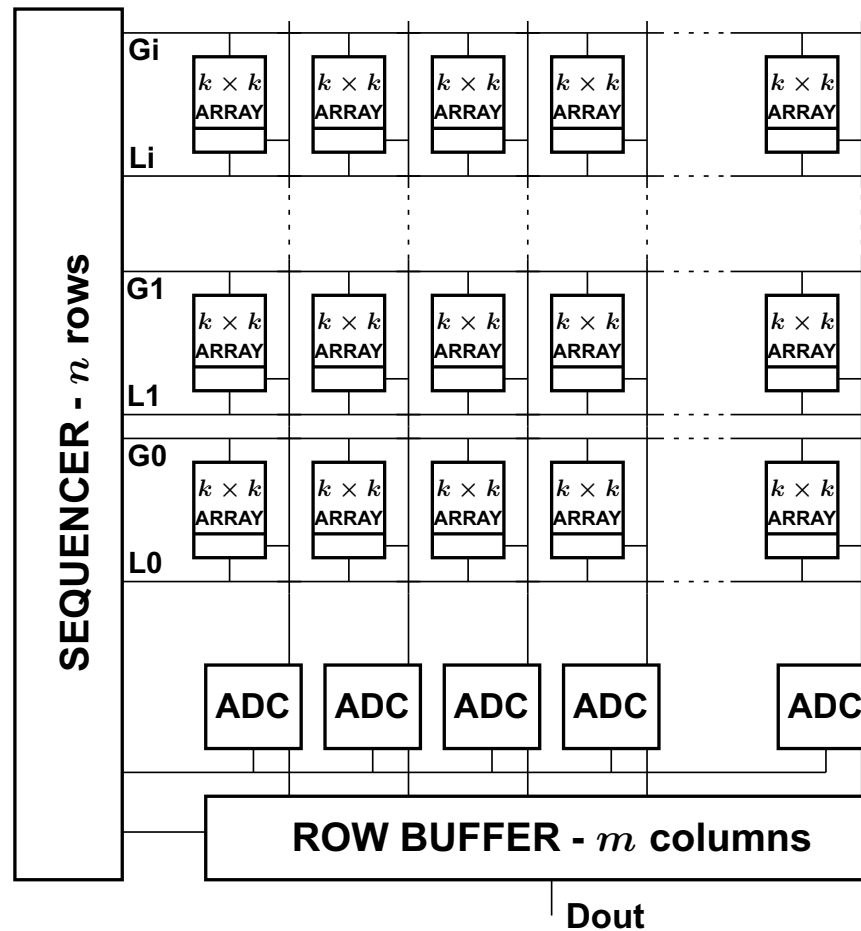


# Why Multi-Aperture Imaging

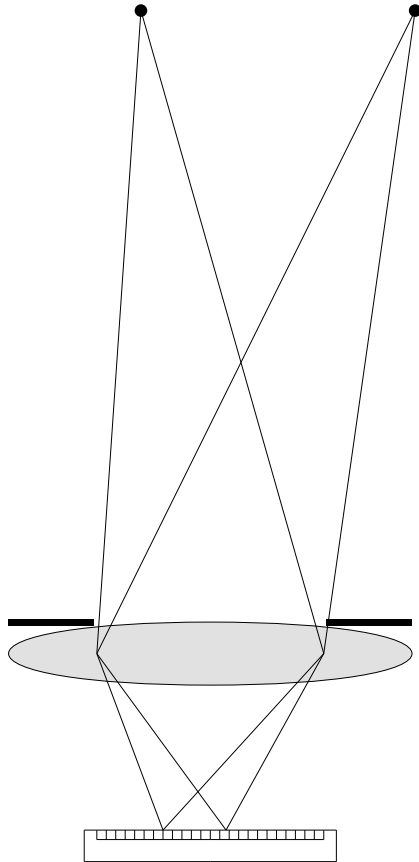
- **Capture depth information**
- **Reduce requirements of objective lens (cheaper optics)**
- **Achieve better color separation (less crosstalk)**
- **Redundant data allows for manufacturing defect correction**
- **Facilitate new circuit design architectures**
- **Benefit from pixel scaling**

# Architecture

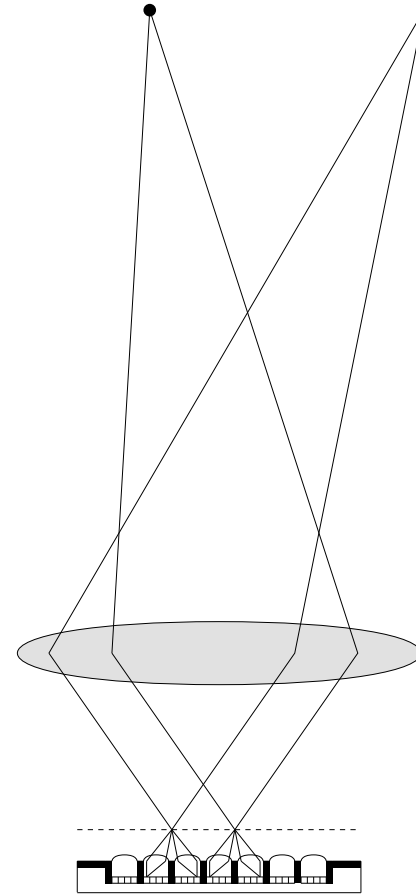
- The sensor contains an  $m \times n$  array of  $k \times k$  pixel groups



# Traditional vs Multi-Aperture



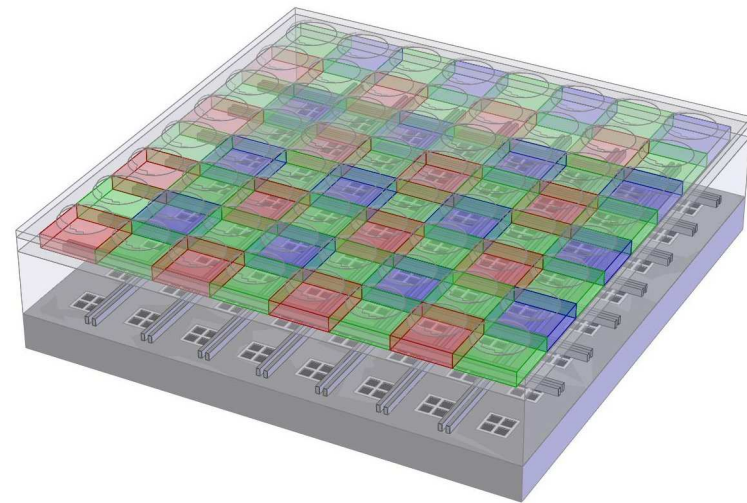
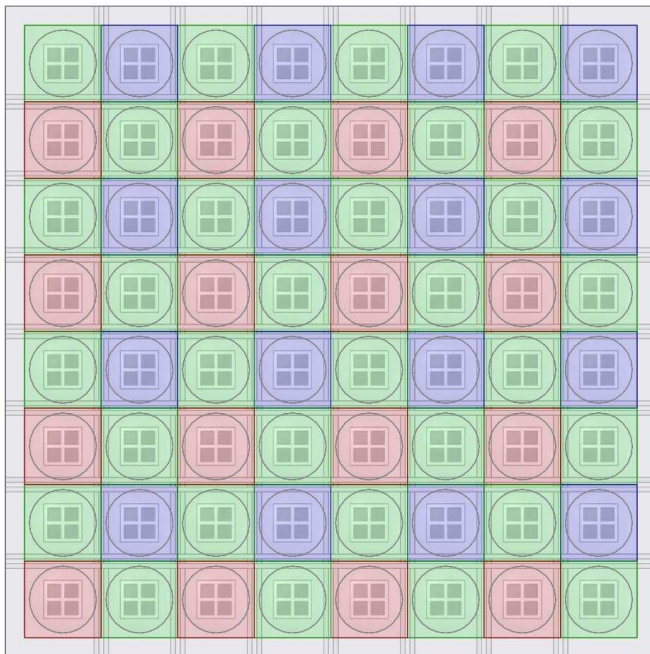
**Traditional optical configuration**



**Multi-aperture optical configuration**

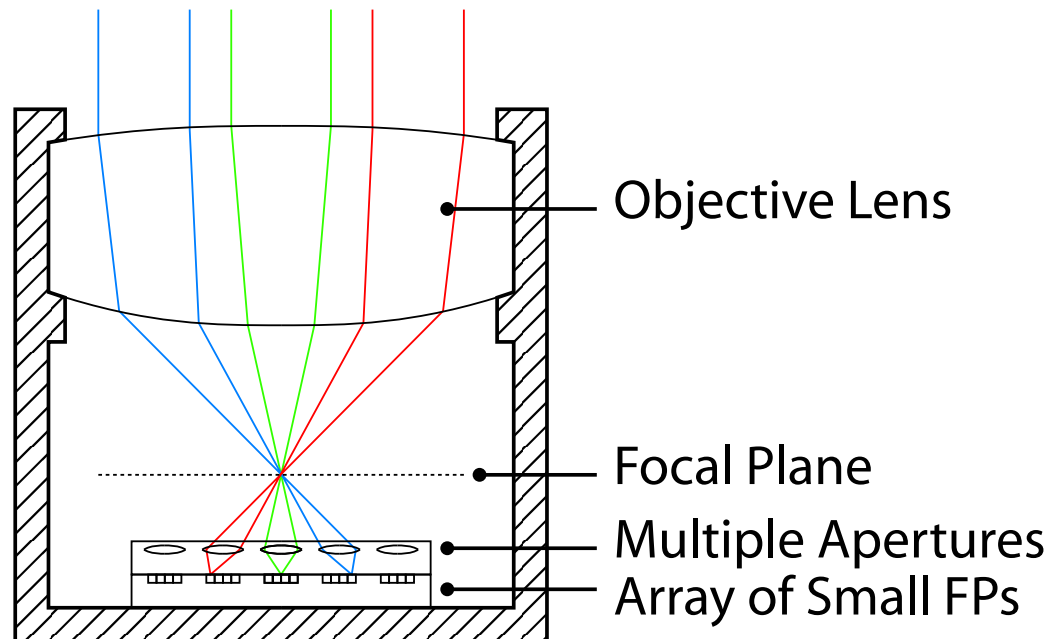
# Local Optics

- **Local optics and Color Filter Array (CFA) can be built with CMOS Image Sensor (CIS) process**



# Multi-Aperture Color System

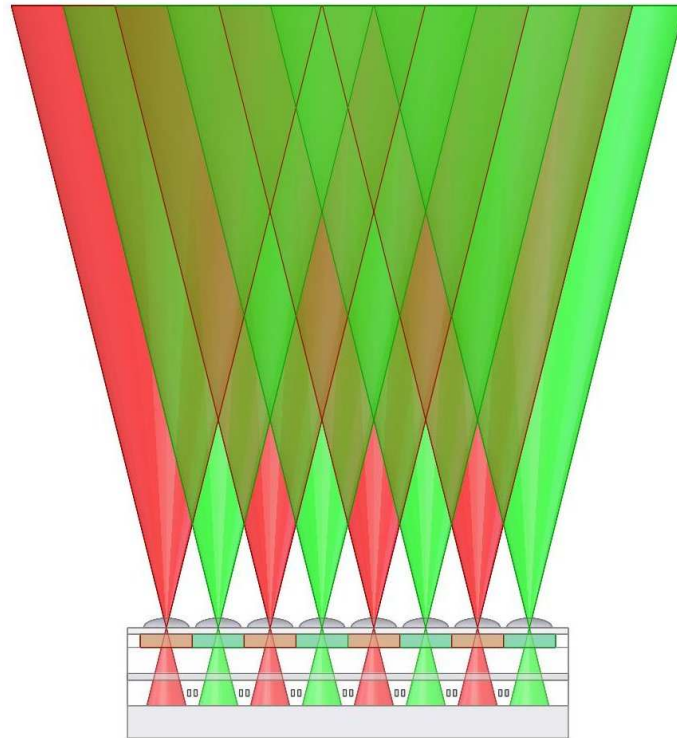
- Spectral separation by aperture
- No color contamination from neighboring pixels
- Facilitates the use of large dielectric stack height which allows high logic density





# Projected Color Channels

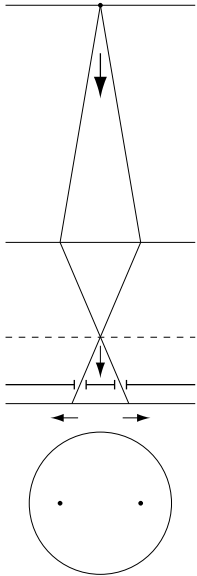
- **Color channels only overlap in the space above the detector**



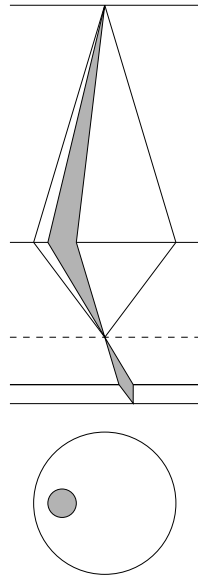
# 2D and 3D Image Extraction

- **Depth information is obtained from the disparity between apertures.**
- **Object movement translates to lateral displacement between corresponding points imaged by disjoint arrays.**
- **Solving the correspondence problem is eased by using several local apertures.**
- **The 2D image is formed by solving for the local correspondence and integrating the result across the sensor.**

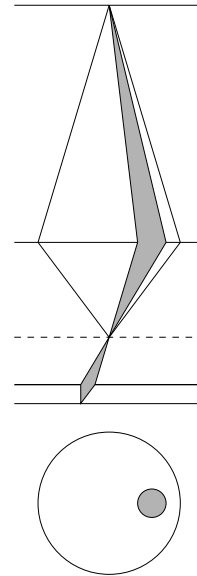
# Virtual Aperture Views



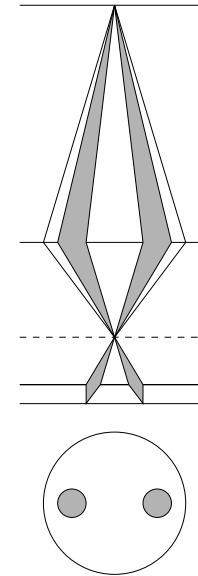
**Chief rays  
for a pair of  
apertures**



**Left virtual  
objective  
aperture**



**Right  
virtual  
objective  
aperture**



**Virtual  
apertures  
for stereo  
view**

# Depth Calculations

- By the geometry of the local optics and focal plane,

$$C/L = D_0/\Delta$$

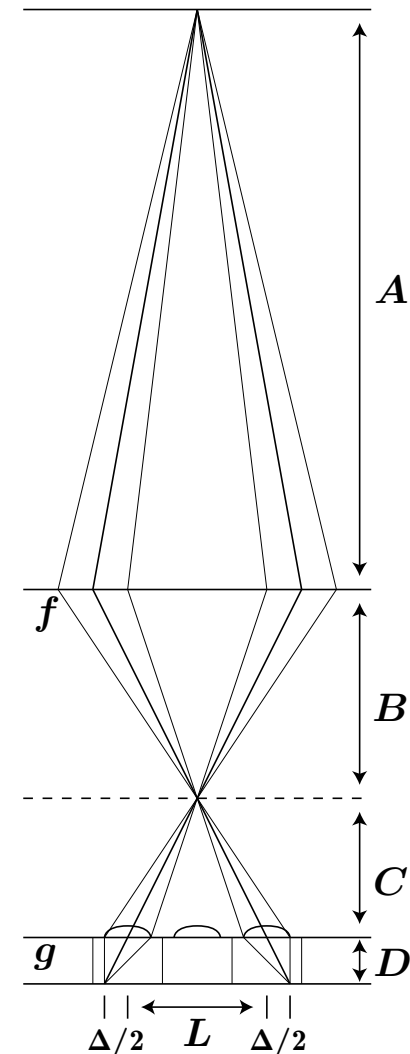
- Using the lens law for  $A$  as a function of  $B$  and making the substitution

$$B = E - C = B_0 + C_0 - C,$$

$$A = \left( \frac{1}{f} - \frac{1}{B} \right)^{-1} = \left( \frac{1}{f} - \frac{1}{B_0 + C_0 - C} \right)^{-1}$$

- Solving for  $A$  in terms of  $\Delta$  with  $M = B/A$  and  $N = D/C$  gives the depth equation,

$$A = \left[ \frac{1}{f} - \frac{1}{(M_0 + 1)f + D_0/N_0 - D_0L/\Delta} \right]^{-1}$$



# Depth Resolution Decreases with Distance

- The amount of depth information available falls off with the square of the object distance.
  - Solving for a measured displacement gives,

$$\Delta = \frac{D_0 L}{(M_0 - M)f + D_0/N_0}.$$

- As  $M$  decreases,  $\Delta$  rapidly approaches its limit of  $D_0 L / (M_0 f + D_0 / N_0)$ .
- The rate of change in  $\Delta$  with  $A$ ,

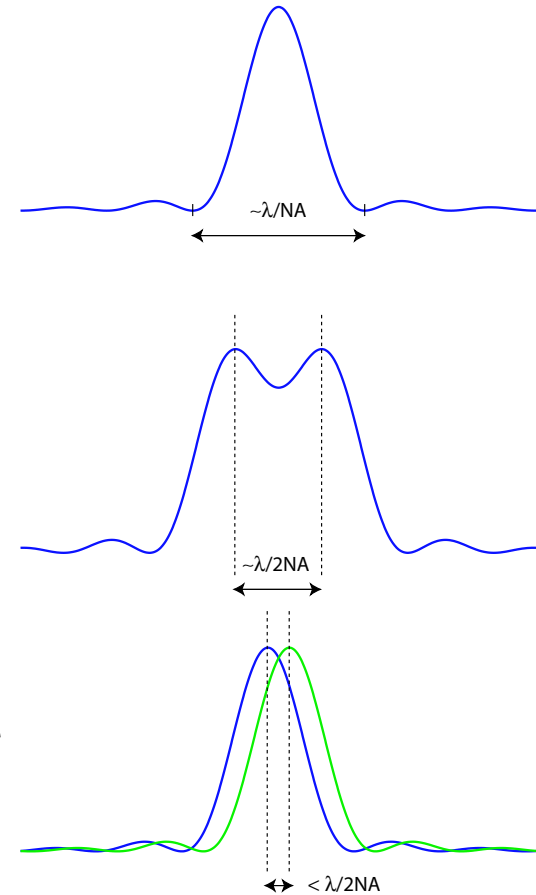
$$\partial \Delta / \partial A \approx -\frac{f^2}{A^2} \frac{DL}{C^2} \longrightarrow \partial \Delta / \partial A \approx -M^2 N^2 \frac{L}{D}.$$

# Spatial Resolution and Pixel Size

- **Spatial resolution is limited to the total number of pixels  $mnk^2$ .**
- **In order to achieve redundancy, the local magnification factor is set to  $N < 1$ .**
  - **Spatial resolution is reduced by  $1/N^2$ .**
  - **The total recoverable resolution is  $\approx mnk^2N^2$**
- **Example: A  $16 \times 16$  array of  $0.5\mu\text{m}$  pixels with a magnification factor of  $N_0 = 1/4$  produces a maximum resolution 16 times greater than the aperture count and 16 times lower than the pixel count.**

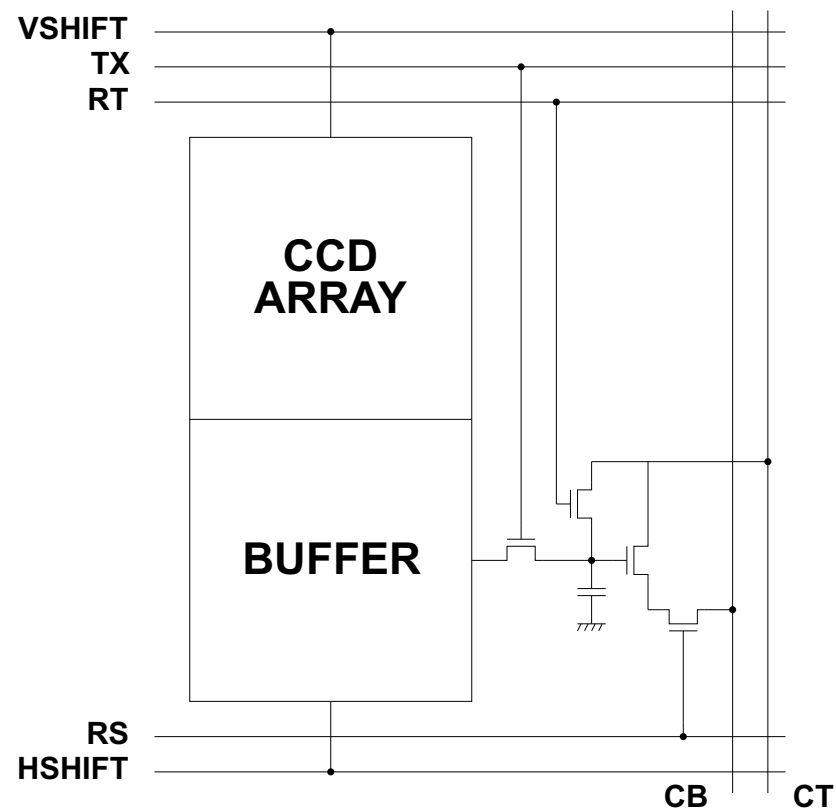
# Spot Size Comparison

- The minimum spot size for a diffraction limited system is approximately  $\lambda/NA$ .
- The minimum useful pixel pitch is half the spot size using Rayleigh criterion.
- Disparity from a Multi-Aperture system gives displacement which can be smaller than diffraction limit.



# Pixel Structure

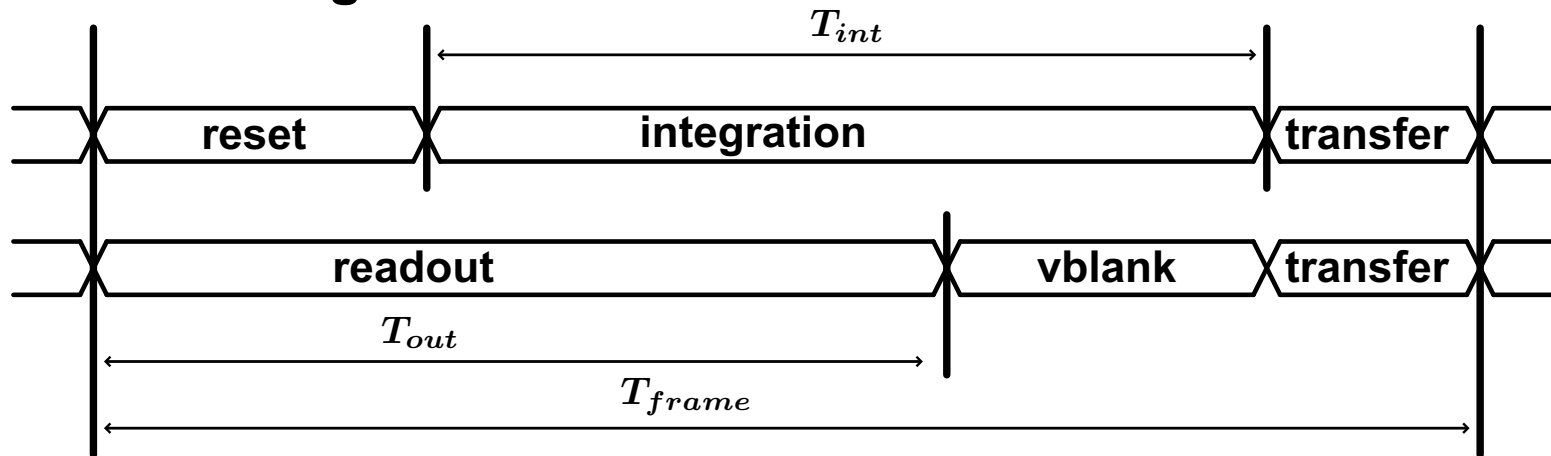
- Single aperture array with local readout
- Architecture enables global exposure



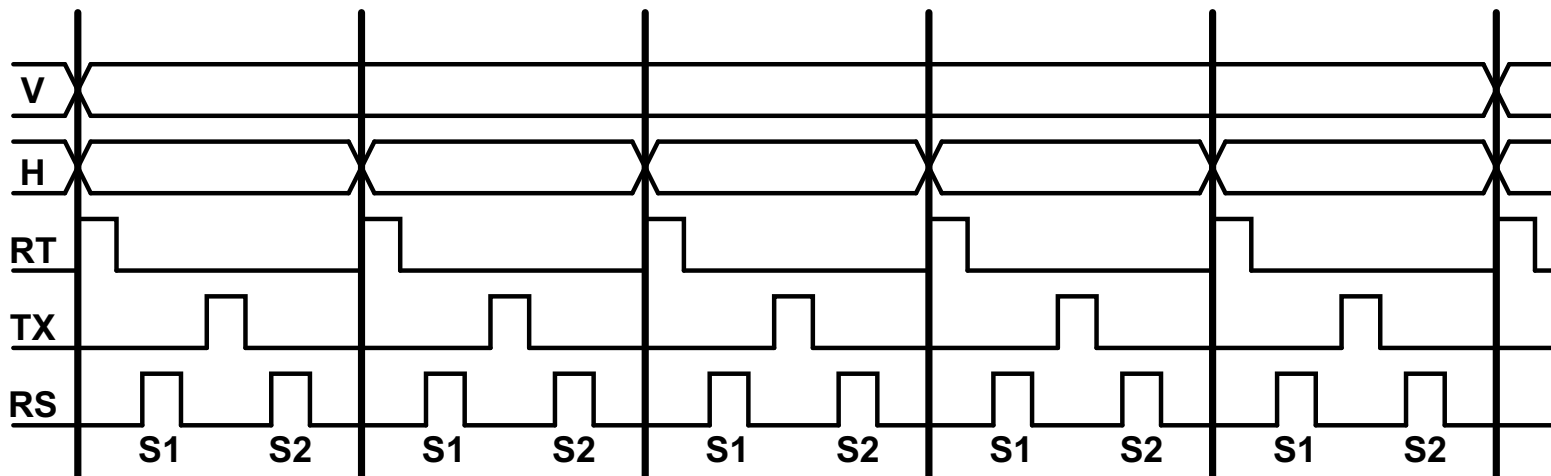


# Capture and Readout Sequence

- Frame timing



- Row timing



# Conclusion

- **Depth map is extracted by solving the correspondence problem between multiple views of the same points in the primary focal plane.**
- **The spatial resolution of the system is shown to be greater than the aperture count itself and governed by the magnification of the local optics and pixel size.**
- **The amount of depth resolution available increases with decreasing pixel size while the 2D spatial resolution remains limited.**
- **The sensor architecture may be useful in improving the performance of color imaging by employing a per-aperture color filter.**